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**TRANSVERSELY AFFINE FOLIATIONS  
OF SOME SURFACE BUNDLES OVER  $S^1$   
OF PSEUDO-ANOSOV TYPE**

by Hiromichi NAKAYAMA

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**Introduction.**

E. Ghys and V. Sergiescu classified codimension one foliations without compact leaves of torus bundles over  $S^1$  whose monodromy matrices are hyperbolic automorphism ([2]). They cut the manifold along some fiber transverse to the foliation  $\mathcal{F}$  and modified the resulting foliation  $\mathcal{F}|(T^2 \times I)$  ( $I = [0, 1]$ ) so that  $\mathcal{F}|(T^2 \times I)$  is tangent to each  $\{*\} \times I$  ( $* \in T^2$ ). Then  $\mathcal{F}|(T^2 \times \{0\})$  is equal to  $\mathcal{F}|(T^2 \times \{1\})$ . However it is difficult to classify foliations without compact leaves of higher genus surface bundles over  $S^1$  because it is difficult to find a fiber  $S$  so that the singular foliation  $\mathcal{F}|(S \times \{0\})$  coincides with  $\mathcal{F}|(S \times \{1\})$  and to classify the foliation of  $\Sigma \times I$ . In this paper, we restrict our attention to transversely affine foliations without compact leaves of some higher genus surface bundles over  $S^1$  of pseudo-Anosov type and obtain the following results :

**MAIN THEOREM.** — *Let  $\Sigma$  be a closed orientable surface with genus greater than 1 and let  $\pi : M \rightarrow S^1$  be an oriented  $\Sigma$ -bundle over  $S^1$  of pseudo-Anosov type such that the real eigenvalues of its monodromy matrix are  $\lambda$  and  $\frac{1}{\lambda}$ , and the eigenspace with respect to  $\lambda$  (resp.  $\frac{1}{\lambda}$ ) is one dimensional, where  $\lambda (> 1)$  is the dilatation number of  $M$ . Suppose that  $\mathcal{F}$  is a transversely oriented and transversely affine codimension one*

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foliation of  $M$  without compact leaves satisfying the Euler class equality  $\chi(T\mathcal{F}) = \pm\chi(T\pi)$  ( $\in H^2(M; \mathbb{Z})$ ), where  $T\mathcal{F}$  and  $T\pi$  denote the tangent bundles of the foliation  $\mathcal{F}$  and the bundle foliation of  $\pi$  respectively. Then there is a finite covering of  $\mathcal{F}$  which is  $C^0$  isotopic to a suspension foliation of a pseudo-Anosov diffeomorphism.

PROPOSITION. — *There is an orientable  $\Sigma$ -bundle over  $S^1$  of pseudo-Anosov type satisfying the conditions of the main theorem. (I.e. the real eigenvalues of its monodromy matrix are  $\lambda$  and  $\frac{1}{\lambda}$ , and the eigenspace with respect to  $\lambda$  (resp.  $\frac{1}{\lambda}$ ) is one dimensional, where  $\lambda$  is the dilatation number.)*

In Section 1, we give a precise definition of suspension foliations of pseudo-Anosov diffeomorphisms introduced by Meigniez [8], and prove the above proposition. For each bundle structure of pseudo-Anosov type, there exist suspension foliations of the pseudo-Anosov diffeomorphism. The hypothesis of the main theorem on the real eigenvalues of the monodromy and their eigenspaces restricts the bundle structures of  $M$ . S. Matsumoto showed the author examples of transversely affine foliations of  $M$  which are not isotopic to the suspension foliations of pseudo-Anosov diffeomorphisms and have the same holonomy representation as the suspension foliations have ( $\chi(T\mathcal{F}) \neq \pm\chi(T\pi$ )), which we also describe. In Section 2, we show the existence of a finite covering  $\widehat{p}: \widehat{M} \rightarrow M$  and an embedding  $\widehat{g}: \Sigma \rightarrow \widehat{M}$  isotopic to a fiber of the  $\Sigma$ -bundle  $\widehat{M}$  over  $S^1$  such that  $\widehat{g}^*\widehat{p}^*\mathcal{F}$  is  $C^0$  isotopic to a stable or unstable foliation of a pseudo-Anosov diffeomorphism which is  $C^0$  isotopic to the monodromy map of  $\widehat{M}$  (Theorem 2). We prove the main theorem in Section 3.

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## 1. Pseudo-Anosov diffeomorphisms and their suspension foliations.

Let  $\Sigma$  be a closed orientable surface with genus greater than 1. A pseudo-Anosov diffeomorphism  $f: \Sigma \rightarrow \Sigma$  ([1]) is a homeomorphism with two measured foliations  $(\mathcal{G}^s, \mu^s)$  and  $(\mathcal{G}^u, \mu^u)$  such that  $\mathcal{G}^s$  and  $\mathcal{G}^u$  are mutually transverse with the same saddle singularities,  $f(\mathcal{G}^s, \mu^s) =$

$(\mathcal{G}^s, \frac{1}{\lambda}\mu^s)$  ( $\lambda > 1$ ) and  $f(\mathcal{G}^u, \mu^u) = (\mathcal{G}^u, \lambda\mu^u)$ , where we adopt the definition of measured foliations written in [1] and  $f$  is supposed to be a  $C^\infty$  diffeomorphism except at the saddle singularities of  $\mathcal{G}^s$ . The measured foliation  $(\mathcal{G}^s, \mu^s)$  (resp.  $(\mathcal{G}^u, \mu^u)$ ) is called the *stable* (resp. *unstable*) foliation of  $f$ , and  $\lambda$  is called the *dilatation number* of  $f$ .

W. Thurston showed that every diffeomorphism of  $\Sigma$  is  $C^0$  isotopic to a “reducible” diffeomorphism or a periodic map or a pseudo-Anosov diffeomorphism ([1], [16]), and a pseudo-Anosov diffeomorphism is  $C^0$  isotopic to neither a “reducible” diffeomorphism nor a periodic map.

Throughout this paper, we assume that  $\mathcal{G}^\sigma$  ( $\sigma = s, u$ ) is transversely oriented and  $f$  preserves the transverse orientation of  $\mathcal{G}^\sigma$ . In particular, the number of separatrices passing through each saddle singularity is an even number.

A surface bundle  $M$  over  $S^1$  is of *pseudo-Anosov type* if its monodromy map is  $C^0$  isotopic to a pseudo-Anosov diffeomorphism. The *dilatation number*  $\lambda$  of  $M$  is defined by that of the pseudo-Anosov diffeomorphism. By the arguments of Exposé 12 of [1],  $\lambda$  does not depend on the choice of pseudo-Anosov diffeomorphisms  $C^0$  isotopic to the monodromy map of  $M$ . The *monodromy matrix* of  $M$  is the linear automorphism of  $H_1(\Sigma)$  induced by  $f$ . Since we assume that  $f$  preserves the transverse orientation of  $\mathcal{G}^\sigma$ ,  $\lambda$  and  $\frac{1}{\lambda}$  are eigenvalues of the monodromy matrix.

Next we define suspension foliations of pseudo-Anosov diffeomorphisms. Let  $M$  be an oriented  $\Sigma$ -bundle over  $S^1$  of pseudo-Anosov type and let  $f$  be a pseudo-Anosov diffeomorphism  $C^0$  isotopic to the monodromy map of  $M$ . Denote by  $(\mathcal{G}^s, \mu^s)$  and  $(\mathcal{G}^u, \mu^u)$  the stable and unstable foliations of  $f$  respectively, and denote by  $K$  the set of saddle singularities of  $\mathcal{G}^s$ . Since  $\mathcal{G}^\sigma$  ( $\sigma = s, u$ ) is transversely oriented, there exists a non-singular closed 1-form  $\omega^\sigma$  of  $\Sigma - K$  defining the measured foliation  $(\mathcal{G}^\sigma, \mu^\sigma)$ . (I.e. the kernel of  $\omega^\sigma$  coincides with the tangent bundle of  $\mathcal{G}^\sigma$  and  $\int_\gamma \omega^\sigma = \mu^\sigma(\gamma)$ , where  $\gamma$  is a transverse arc of  $\mathcal{G}^\sigma$  oriented by the transverse orientation of  $\mathcal{G}^\sigma$ .) Let  $\mathcal{H}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)$  ( $\sigma = s, u$ ,  $\alpha \neq 0$ ) denote the foliation of  $(\Sigma - K) \times \mathbb{R}$  defined by the non-singular 1-form  $\lambda^{\varepsilon(\sigma)t}\omega^\sigma + \alpha dt$  ( $t \in \mathbb{R}$ ), where  $\varepsilon(s) = 1$  and  $\varepsilon(u) = -1$ . (I.e.  $T\mathcal{H}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma) = \text{Ker}(\lambda^{\varepsilon(\sigma)t}\omega^\sigma + \alpha dt)$ .) The completion of  $\mathcal{H}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)$  in  $\Sigma \times \mathbb{R}$  is denoted by  $\widehat{\mathcal{H}}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)$ . For the  $\mathbb{Z}$ -action  $\theta$  of  $\Sigma \times \mathbb{R}$  given by  $\theta_n(x, t) = (f^{-n}(x), t + n)$  ( $n \in \mathbb{Z}$ ), the quotient space of  $\Sigma \times \mathbb{R}$  by

$\theta$  is  $C^0$  isotopic to  $M$ . Since  $\theta_n^*(\lambda^{\varepsilon(\sigma)t}\omega^\sigma + \alpha dt) = \lambda^{\varepsilon(\sigma)t}\omega^\sigma + \alpha dt$  (here  $f^*\omega^\sigma = \lambda^{\varepsilon(\sigma)}\omega^\sigma$ ),  $\widehat{\mathcal{H}}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)/\theta$  is a transversely orientable minimal  $C^0$  foliation of  $M$  with holonomy (having a locally dense resilient leaf [4]), denoted by  $\mathcal{F}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma, f)$ .

PROPOSITION. — Let  $f$  and  $\bar{f}$  be pseudo-Anosov diffeomorphisms  $C^0$  isotopic to the monodromy map of  $M$ , and let  $(\mathcal{G}^\sigma, \mu^\sigma)$  and  $(\bar{\mathcal{G}}^\sigma, \bar{\mu}^\sigma)$  be the (un-)stable foliations of  $f$  and  $\bar{f}$  respectively ( $\sigma = s, u$ ). Then  $\mathcal{F}(\sigma, \alpha, \bar{\mathcal{G}}^\sigma, \bar{\mu}^\sigma, \bar{f})$  is  $C^0$  isotopic to  $\mathcal{F}(\sigma, \pm 1, \mathcal{G}^\sigma, \mu^\sigma, f)$  for any non-zero number  $\alpha$ .

Proof. — Since  $f$  and  $\bar{f}$  are  $C^0$  isotopic pseudo-Anosov diffeomorphisms, there is a diffeomorphism  $g$  of  $\Sigma$  isotopic to the identity map satisfying  $gf = \bar{f}g$  and  $g(\mathcal{G}^\sigma, \mu^\sigma) = (\bar{\mathcal{G}}^\sigma, k\bar{\mu}^\sigma)$  ( $\sigma = s, u$ ) for some  $k > 0$  ([1], Exposé 12). Denote by  $\omega^\sigma$  (resp.  $\bar{\omega}^\sigma$ ) the closed 1-form defining  $(\mathcal{G}^\sigma, \mu^\sigma)$  (resp.  $(\bar{\mathcal{G}}^\sigma, \bar{\mu}^\sigma)$ ), which is defined except at the saddle singularities of  $\mathcal{G}^\sigma$  (resp.  $\bar{\mathcal{G}}^\sigma$ ). Then  $g^*\bar{\omega}^\sigma = \pm \frac{1}{k}\omega^\sigma$ . We define the diffeomorphism  $h : \Sigma \times \mathbb{R} \rightarrow \Sigma \times \mathbb{R}$

by  $h(x, t) = \left(g(x), t + \frac{\varepsilon(\sigma) \log(k|\alpha|)}{\log \lambda}\right)$  ( $(x, t) \in \Sigma \times \mathbb{R}$ ). Then  $h$  satisfies that

$$h^*(\lambda^{\varepsilon(\sigma)t}\bar{\omega}^\sigma + \alpha dt) = \pm|\alpha|\left(\lambda^{\varepsilon(\sigma)t}\omega^\sigma \pm (\alpha/|\alpha|)dt\right) \quad \text{and}$$

$$h\theta_n = \bar{\theta}_n h,$$

where  $\theta_n(x, t) = (f^{-n}(x), t + n)$  and  $\bar{\theta}_n(x, t) = (\bar{f}^{-n}(x), t + n)$  ( $n \in \mathbb{Z}$ ). This implies that  $\mathcal{F}(\sigma, \alpha, \bar{\mathcal{G}}^\sigma, \bar{\mu}^\sigma, \bar{f})$  is  $C^0$  isotopic to  $\mathcal{F}(\sigma, \pm 1, \mathcal{G}^\sigma, \mu^\sigma, f)$ .  $\square$

We call  $\mathcal{F}(\sigma, \pm 1, \mathcal{G}^\sigma, \mu^\sigma, f)$  ( $\sigma = s, u$ ) the suspension foliations of the pseudo-Anosov diffeomorphism of  $M$ , denoted by  $\mathcal{F}_\pm^\sigma$ . By the above proposition, the definition of the suspension foliations of the pseudo-Anosov diffeomorphism of  $M$  does not depend on the choice of pseudo-Anosov diffeomorphisms  $C^0$  isotopic to the monodromy map of  $M$ .

Next we construct a smooth model of  $\mathcal{F}_\pm^\sigma$ , where  $\mathcal{F}_\pm^\sigma$  is a  $C^\infty$  foliation except at  $(K \times \mathbb{R})/\theta$ , denoted by  $K'$ . First we choose a small closed tubular neighborhood  $V$  of  $K'$  in  $M$  such that  $\mathcal{F}_\pm^\sigma|_{\partial V}$  is the union of  $C^\infty$  product foliations of tori whose leaves are isotopic to  $\partial V \cap ((\Sigma \times \{t\})/\theta)$  ( $t \in \mathbb{R}$ ). By attaching the copies of the product foliation  $\{D^2 \times \{*\}; * \in S^1\}$  of  $D^2 \times S^1$

to  $\mathcal{F}_\pm^\sigma|(M - \text{int } V)$  along the leaves of  $\partial D^2 \times S^1$  and  $\partial V$ , we obtain a  $C^\infty$  foliation of  $M$ , denoted by  $\tilde{\mathcal{F}}_\pm^\sigma$ . The foliation  $\tilde{\mathcal{F}}_\pm^\sigma$  is  $C^0$  isotopic to  $\mathcal{F}_\pm^\sigma$ .

The transverse orientation of  $\tilde{\mathcal{F}}_+^\sigma$  (resp.  $\tilde{\mathcal{F}}_-^\sigma$ ) is given by the positive orientation of  $\lambda^{\varepsilon(\sigma)t}\omega^\sigma + dt$  (resp.  $\lambda^{\varepsilon(\sigma)t}\omega^\sigma - dt$ ). Then the Euler class  $\chi(T\tilde{\mathcal{F}}_+^\sigma)$  (resp.  $\chi(T\tilde{\mathcal{F}}_-^\sigma)$ ) is equal to  $\chi(T\pi)$  (resp.  $-\chi(T\pi)$ ). By using this fact and Seke's theorem ([12]), Meigniez ([8]) showed that  $\tilde{\mathcal{F}}_+^\sigma$  is not isotopic to  $\tilde{\mathcal{F}}_-^\sigma$ .

We say that a transversely orientable codimension one foliation  $\mathcal{F}$  is *transversely affine* if there exists a system of transition functions consisting of elements of  $\text{Aff}^+\mathbf{R} = \{x \mapsto ax + b; a > 0\}$ . By Seke's theorem ([12]), transversely affine structures are characterized by the pairs  $(\omega, \omega_1)$  of 1-forms of  $M$  such that

- 1)  $\omega$  defines the foliation  $\mathcal{F}$ ,
- (i.e. the tangent bundle of  $\mathcal{F}$  coincides with  $\ker \omega$ .)
- 2)  $d\omega = \omega \wedge \omega_1$ ,
- 3)  $d\omega_1 = 0$ ,

modulo the identifications  $(\omega, \omega_1) \sim (g\omega, \omega_1 - \frac{dg}{g})$  where  $g$  is a non-zero function of  $M$ .

For example,  $\tilde{\mathcal{F}}_\pm^\sigma$  is a transversely affine foliation. In fact,  $\tilde{\mathcal{F}}_\pm^\sigma|(M - \text{int } V)$  has the transversely affine structure  $(\lambda^{\varepsilon(\sigma)t}\omega^\sigma \pm dt, -\varepsilon(\sigma) \log \lambda \cdot dt)$ , and this transversely affine structure extends to  $M$ .

Next we define the holonomy representation of a transversely affine foliation  $\mathcal{F}$ . Let  $x_0$  denote the base point of  $M$  and let  $p : (\tilde{M}, \tilde{x}_0) \rightarrow (M, x_0)$  be a universal covering of  $M$  with the base point  $\tilde{x}_0$  ( $p(\tilde{x}_0) = x_0$ ). Then there exist two functions  $k : (\tilde{M}, \tilde{x}_0) \rightarrow (\mathbf{R}, 0)$  and  $h : (\tilde{M}, \tilde{x}_0) \rightarrow (\mathbf{R}_+^*, 1)$  ( $\mathbf{R}_+^* = \{t > 0\}$ ) satisfying  $p^*(\omega, \omega_1) = (\frac{dk}{h}, \frac{dh}{h})$  ([12]). For each element  $\gamma \in \pi_1(M, x_0)$ , there is an element  $(a, b) \in \mathbf{R}_+^* \times \mathbf{R}$  such that  $k \cdot \gamma = ak + b$  and  $h \cdot \gamma = ah$ . We define the *holonomy representation*  $\text{hol}_\mathcal{F} : \pi_1(M, x_0) \rightarrow \text{Aff}^+\mathbf{R}$  of  $\mathcal{F}$  by  $\text{hol}_\mathcal{F}(\gamma) = (x \mapsto ax + b)$ . The holonomy representation is uniquely determined up to an inner automorphism of  $\text{Aff}\mathbf{R} (= \{x \mapsto ax + b; a \neq 0\})$ .

For example, the holonomy representation of  $\tilde{\mathcal{F}}_\pm^\sigma$  is as follows (up to an inner automorphism of  $\text{Aff}\mathbf{R}$ ). Let  $\beta$  be a section of  $\pi : M \rightarrow S^1$  passing through the base point  $x_0$  and oriented by the positive orientation of  $S^1$ .

Then  $\text{hol}_{\mathcal{F}_{\pm}^{\sigma}}([\beta])$  is equal to  $(x \mapsto \lambda^{-\varepsilon(\sigma)}x)$ . Let  $\iota : \Sigma \rightarrow M$  denote the inclusion map of the fiber passing through  $x_0$  and let  $y_0 = \iota^{-1}(x_0)$ . Then  $\text{hol}_{\mathcal{F}_{\pm}^{\sigma}}(\iota_*\pi_1(\Sigma, y_0))$  is contained in the group of translations  $\{x \mapsto x + b\}$ , identified with  $\mathbf{R}$ , and  $[\text{hol}_{\mathcal{F}_{\pm}^{\sigma}} \cdot \iota_*] \in H^1(\Sigma; \mathbf{R})$  is cohomologous to  $[\text{Per}_{\mu}\sigma]$ , where  $\text{Per}_{\mu}\sigma : \pi_1(\Sigma, y_0) \rightarrow \mathbf{R}$  is defined by  $\text{Per}_{\mu}\sigma(\gamma) = \int_{\gamma} \omega^{\sigma}$ .

S. Matsumoto constructed examples of transversely affine foliations of  $M$  which are not isotopic to the suspension foliations of the pseudo-Anosov diffeomorphisms.

**THEOREM (S. Matsumoto).** — *Let  $\Sigma$  be a closed orientable surface with genus greater than 1 and let  $\pi : M \rightarrow S^1$  be an orientable  $\Sigma$ -bundle over  $S^1$  of pseudo-Anosov type such that the saddle singularities of the (un-)stable foliation  $\mathcal{G}^{\sigma}$  ( $\sigma = s, u$ ) of the pseudo-Anosov diffeomorphism  $f$  isotopic to the monodromy map of  $M$  are the fixed points of  $f$  and have 4 separatrices (4-saddle singularities). Then, for each  $k \in \mathbf{Z}$  satisfying  $|k| \leq -\chi(\Sigma)/2$ , there exists a transversely affine foliation  $\mathcal{F}_k^{\sigma}$  of  $M$  satisfying the following conditions :*

- 1)  $\langle \chi(T\mathcal{F}_k^{\sigma}), [\Sigma] \rangle = 2k$  where  $[\Sigma] \in H_2(M; \mathbf{Z})$  denotes the homology class represented by the fiber of  $\pi$ .
- 2)  $\text{hol}_{\mathcal{F}_k^{\sigma}}$  is equal to  $\text{hol}_{\mathcal{F}_{\pm}^{\sigma}}$  up to an inner automorphism of  $\text{Aff}\mathbf{R}$ .
- 3)  $\mathcal{F}_k^{\sigma}$  has no compact leaves.

*Proof.* — Let  $K = \{s_1, s_2, s_3, \dots, s_n\}$  denote the set of the saddle singularities of the (un-)stable foliation  $\mathcal{G}^{\sigma}(\sigma = s, u)$  of  $f$ . The foliation of  $(\Sigma - K) \times \mathbf{R}$  defined by the non-singular 1-form  $\lambda^{\varepsilon(\sigma)t}\omega^{\sigma}$  is denoted by  $\mathcal{H}_v^{\sigma}$ . Since  $\mathcal{H}_v^{\sigma}$  is invariant under the  $\mathbf{Z}$ -action  $\theta$  ( $\theta_n(x, t) = (f^{-n}(x), t + n)$ ,  $n \in \mathbf{Z}$ ),  $\mathcal{H}_v^{\sigma}/\theta$  is the foliation of  $M - K'$  ( $K' = (K \times \mathbf{R})/\theta$ ), denoted by  $\mathcal{F}_v^{\sigma}$ . The transverse orientation of  $\mathcal{F}_v^{\sigma}$  is given by the positive orientation of  $\lambda^{\varepsilon(\sigma)t}\omega^{\sigma}$ .

Denote by  $\sigma_j^i$  ( $j = 1, 2, 3, 4$ ) the separatrices of  $\mathcal{G}^{\sigma}$  passing through the saddle singularity  $s_i$  ( $1 \leq i \leq n$ ). To simplify the explanation, we assume that  $f(\sigma_j^i) = \sigma_j^i$  ( $1 \leq j \leq n, 1 \leq i \leq 4$ ).

The leaf  $(\sigma_j^i \times \mathbf{R})/\theta$  of  $\mathcal{F}_v^{\sigma}$  is diffeomorphic to  $S^1 \times \mathbf{R}$  and has holonomy. Hence there exists a small closed tubular neighborhood  $V_i$  of  $(\{s_i\} \times \mathbf{R})/\theta$  in  $M$  such that  $\partial V_i$  is transverse to  $\mathcal{F}_v^{\sigma}$  and  $\mathcal{F}_v^{\sigma}|_{\partial V_i}$  consists of four 2-dimensional Reeb components (Fig. 1).

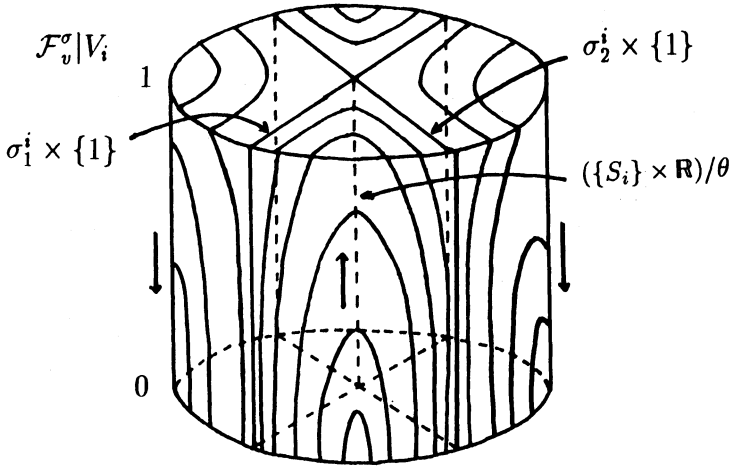


Figure 1

Next we construct two transversely oriented foliations  $\mathcal{K}_+$  and  $\mathcal{K}_-$  of  $S^1 \times D^2$  satisfying the following conditions (Fig. 2) :

1)  $\mathcal{K}_\pm|(S^1 \times \partial D^2)$  is isotopic to  $\mathcal{F}_v^\sigma|\partial V_i$  with the same transverse orientation.

2)  $\mathcal{K}_\pm$  has two annular leaves tangent to  $S^1 \times \{*\}$  ( $* \in D^2$ ), and the other leaves of  $\mathcal{K}_\pm$  are transverse to  $S^1 \times \{*\}$  (any  $* \in D^2$ ).

3) The transverse orientation of  $S^1 \times \{0\}$  ( $0 \in D^2$ ) induced by the transverse orientation of  $\mathcal{K}_+$  (resp.  $\mathcal{K}_-$ ) coincides with the positive (resp. negative) orientation of  $S^1$ .

( $\mathcal{K}_\pm$  consists of two plus half Reeb components [14] and one dead-end component of  $D^1 \times S^1 \times S^1$ .)

By attaching  $\mathcal{F}_v^\sigma|(M - \bigcup_{i=1}^n \text{int } V_i)$  with  $k - \frac{\chi(\Sigma)}{2}$  copies of  $\mathcal{K}_+$  and  $-k - \frac{\chi(\Sigma)}{2}$  copies of  $\mathcal{K}_-$  along the leaves of  $\mathcal{F}_v^\sigma|(\bigcup_{i=1}^n \partial V_i)$ ,  $\partial \mathcal{K}_+$  and  $\partial \mathcal{K}_-$ , we obtain a transversely orientable  $C^\infty$  foliation of  $M$ , denoted by  $\mathcal{F}_k^\sigma$ . By Thurston's proposition of [15],  $\langle \chi(T\mathcal{F}_k^\sigma), [\Sigma] \rangle = 2k$ . Furthermore,  $\mathcal{F}_k^\sigma$  has no compact leaves, because all the leaves of  $\mathcal{F}_v^\sigma|(M - \bigcup_{i=1}^n \text{int } V_i)$  are non-compact.



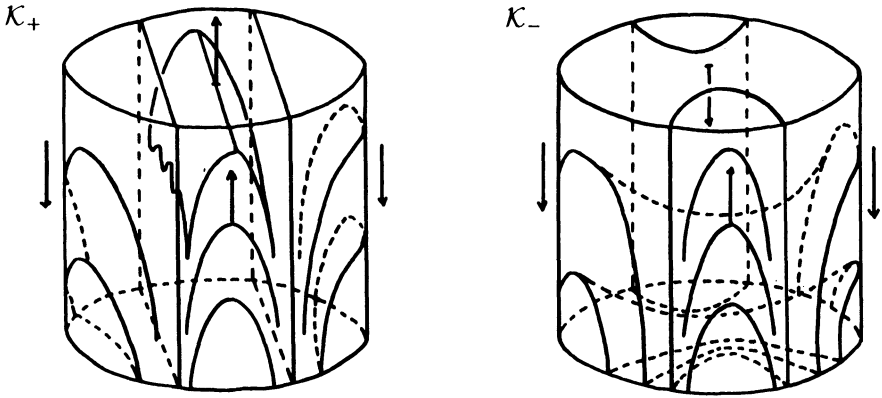


Figure 2

The transversely affine structure of  $\mathcal{F}_k^\sigma$  is given as follows. First we define the transversely affine structure of  $\mathcal{F}_v^\sigma|(M - \bigcup_{i=1}^n \text{int } V_i)$  by  $(\lambda^{\varepsilon(\sigma)t}\omega^\sigma, -\varepsilon(\sigma) \log \lambda \cdot dt)$ . The foliation  $\mathcal{K}_\pm$  also has a transversely affine structure. By Seke's theorem ([12]), which shows the uniqueness of the transversely affine structure of a foliation with holonomy, the transversely affine structures of  $\mathcal{F}_v^\sigma|(\bigcup_{i=1}^n \partial V_i)$  and  $\partial \mathcal{K}_\pm$  are unique. Therefore the transversely affine structure of  $\mathcal{K}_\pm$  can be attached to that of  $\mathcal{F}_v^\sigma|(M - \bigcup_{i=1}^n \text{int } V_i)$ . For this transversely affine structure of  $\mathcal{F}_k^\sigma$ , the holonomy representation is equal to  $\text{hol}_{\tilde{\mathcal{F}}_\pm^\sigma}$  up to an inner automorphism of  $\text{Aff } \mathbf{R}$ .  $\square$

*Remark.* — If  $2k \neq \pm\chi(\Sigma)$ , then  $\mathcal{F}_k^\sigma$  is not homotopic to  $\tilde{\mathcal{F}}_\pm^\sigma$ . Therefore  $\mathcal{F}_k^\sigma$  is not isotopic to  $\tilde{\mathcal{F}}_\pm^\sigma$ .

In the end of this section, we prove the proposition in the introduction.

*Proof of Proposition.* — Let  $f$  denote the hyperbolic automorphism of the torus  $T^2$  given by the  $2 \times 2$  matrix  $\begin{pmatrix} 5 & 3 \\ 3 & 2 \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 1 & 1 \end{pmatrix}^2$ . Then the fixed points of  $f$  are  $[(0,0)]$ ,  $[\frac{1}{5}, \frac{2}{5}]$ ,  $[\frac{2}{5}, \frac{4}{5}]$ ,  $[\frac{3}{5}, \frac{1}{5}]$  and  $[\frac{4}{5}, \frac{3}{5}]$ , where  $T^2$  is identified with the quotient of  $\mathbf{R}^2$  by the integer

lattice and the element of  $T^2$  represented by  $z \in \mathbb{R}^2$  is denoted by  $[z]$ . Let  $K$  denote the set  $\left\{ \left[ \left( \frac{1}{5}, \frac{2}{5} \right) \right], \left[ \left( \frac{4}{5}, \frac{3}{5} \right) \right] \right\}$  and let  $\alpha, \beta$  and  $\varepsilon$  denote the generators of  $\pi_1(T^2 - K)$  where  $\alpha, \beta$  and  $\varepsilon$  are represented by  $([0, 1] \times \{0\})/\sim, (\{0\} \times [0, 1])/\sim$  and a loop winding around  $\left[ \left( \frac{1}{5}, \frac{2}{5} \right) \right]$ , respectively.

Let  $S_1$  and  $S_2$  denote two copies of  $T^2 - \left\{ [(t, 2t)]; -\frac{1}{5} \leq t \leq \frac{1}{5} \right\}$ . By attaching  $S_1$  to  $S_2$  along  $\left\{ [(t, 2t)]; -\frac{1}{5} < t < \frac{1}{5} \right\}$  alternatively, we obtain a double covering  $p : \overset{\circ}{\Sigma}_2 \rightarrow T^2 - K$ , where  $\overset{\circ}{\Sigma}_2$  is a 2-punctured surface with genus 2. Let  $\eta : \pi_1(T^2 - K) \rightarrow \mathbb{Z}/2\mathbb{Z}$  denote the homomorphism satisfying  $\eta(\alpha) = \eta(\beta) = \eta(\varepsilon) = 1$ . Then  $p_*\pi_1(\overset{\circ}{\Sigma}_2) = \text{Ker } \eta$ . Since  $\eta f_*([\alpha]) = \eta f_*([\beta]) = \eta f_*([\varepsilon]) = 1$ , there is a lift  $f'$  of  $f$ .

By collapsing two holes of  $\overset{\circ}{\Sigma}_2$ ,  $f'$  extends to a homeomorphism  $f''$  of the closed orientable surface  $\Sigma_2$  with genus 2, which is a pseudo-Anosov diffeomorphism ([1], Exposé 13). We take two lifts of  $\left\{ \left[ \left( t, \frac{1}{2} \right) \right]; 0 \leq t \leq 1 \right\}$  and  $\left\{ \left[ \left( \frac{1}{2}, t \right) \right]; 0 \leq t \leq 1 \right\}$  as the generators of  $H_1(\Sigma_2)$ . Since  $f$  maps  $\left\{ \left[ \left( t, \frac{1}{2} \right) \right]; 0 \leq t \leq 1 \right\}$  (resp.  $\left\{ \left[ \left( \frac{1}{2}, t \right) \right]; 0 \leq t \leq 1 \right\}$ ) on  $\left\{ \left[ \left( 5t + \frac{3}{2}, 3t + 1 \right) \right]; 0 \leq t \leq 1 \right\}$  (resp.  $\left\{ \left[ \left( 3t + \frac{5}{2}, 2t + \frac{3}{2} \right) \right]; 0 \leq t \leq 1 \right\}$ ) which intersects  $\left\{ [(t, 2t)]; -\frac{1}{5} < t < \frac{1}{5} \right\}$  two times, the isomorphism of  $H_1(\Sigma_2; \mathbb{Z})$  induced

by  $f''$  is represented by the  $4 \times 4$  matrix  $\begin{pmatrix} 2 & 2 & 3 & 1 \\ 1 & 1 & 2 & 1 \\ 3 & 1 & 2 & 2 \\ 2 & 1 & 1 & 1 \end{pmatrix}$ , whose eigenvalues are  $\frac{7 \pm 3\sqrt{5}}{2}$  and  $\frac{-1 \pm \sqrt{-3}}{2}$ . Therefore the  $\Sigma_2$ -bundle over  $S^1$  whose monodromy map is  $C^0$  isotopic to  $f''$  satisfies the conditions of the main theorem. □

**2. An embedded surface with the (un-)stable foliation.**

The purpose of this section is to prove the existence of a finite covering of  $\mathcal{F}$  whose restriction to a fiber is  $C^0$  isotopic to an (un-)stable foliation of a pseudo-Anosov diffeomorphism (Theorem 2). First we show the following theorem.

**THEOREM 1.** — *Let  $\pi : M \rightarrow S^1$  be as in the main theorem. If  $\mathcal{F}$  is a transversely oriented and transversely affine foliation of  $M$  without compact leaves, then the holonomy representation of  $\mathcal{F}$  is equal to  $\text{hol}_{\tilde{\mathcal{F}}_{\pm}^u}$  or  $\text{hol}_{\tilde{\mathcal{F}}_{\pm}^s}$  up to an inner automorphism of  $\text{Aff } \mathbf{R}$ , where  $\text{hol}_{\tilde{\mathcal{F}}_{\pm}^{\sigma}}$  ( $\sigma = s, u$ ) is the holonomy representation of the suspension foliation of the pseudo-Anosov diffeomorphism defined in Section 1.*

*Proof.* — We define homomorphisms  $u : \mathbf{R} \rightarrow \text{Aff}^+ \mathbf{R}$  by  $u(b) = (x \mapsto x + b)$  and  $v : \text{Aff}^+ \mathbf{R} \rightarrow \mathbf{R}_+^*$  by  $v(x \mapsto ax + b) = a$ . Then the sequence  $0 \rightarrow \mathbf{R} \xrightarrow{u} \text{Aff}^+ \mathbf{R} \xrightarrow{v} \mathbf{R}_+^* \rightarrow 1$  is an exact sequence ([8]).

Let  $\iota : \Sigma \rightarrow M$  be the inclusion map of a fiber, and let  $f : \Sigma \rightarrow \Sigma$  be a monodromy map of  $M$  according to  $\iota$ . (I.e. there is a diffeomorphism  $\phi : (\Sigma \times I)/((x, 1) \sim (f(x), 0)) \rightarrow M$  ( $I = [0, 1]$ ) such that  $\phi|(\Sigma \times \{0\}) = \iota$ .) Choose a fixed point  $y_0$  of  $f$ , and the base point of  $M$  is given by  $\iota(y_0)$ . Let  $\ell$  denote the loop  $\phi(\{y_0\} \times I)$  of  $M$  oriented by the positive orientation of  $\{y_0\} \times I$ , let  $\beta$  denote the element of  $\pi_1(M, \iota(y_0))$  represented by  $\ell$ . Then  $\iota_* f_* \gamma = \beta^{-1}(\iota_* \gamma)\beta$  for any  $\gamma \in \pi_1(\Sigma, y_0)$ .

For the homomorphism  $\log \cdot v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_* : \pi_1(\Sigma, y_0) \rightarrow \mathbf{R}$ , the following equation holds for any  $\gamma \in \pi_1(\Sigma, y_0)$  :

$$\begin{aligned} & \log \cdot v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_*(f_* \gamma) \\ &= \log \cdot v \cdot \text{hol}_{\mathcal{F}}(\beta^{-1}(\iota_* \gamma)\beta) \\ &= \log \cdot v \cdot \text{hol}_{\mathcal{F}}(\beta) + \log \cdot v \cdot \text{hol}_{\mathcal{F}}(\iota_* \gamma) + \log \cdot v \cdot \text{hol}_{\mathcal{F}}(\beta^{-1}) \\ &= \log \cdot v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_*(\gamma). \end{aligned}$$

This shows that the cohomology class  $[\log \cdot v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_*] (\in H^1(\Sigma; \mathbf{R}))$  is a fixed point of  $f^{\#} : H^1(\Sigma; \mathbf{R}) \rightarrow H^1(\Sigma; \mathbf{R})$ . Since  $f^{\#} : H_1(\Sigma; \mathbf{Z}) \rightarrow H_1(\Sigma; \mathbf{Z})$  has no eigenvalue equal to 1,  $[\log \cdot v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_*] = 0$  in  $H^1(\Sigma; \mathbf{R})$ , and  $v \cdot \text{hol}_{\mathcal{F}} \cdot \iota_*(\pi_1(\Sigma, y_0)) = \{1\}$ . Thus the following commutative diagram

exists :

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \pi_1(\Sigma, y_0) & \xrightarrow{\iota_*} & \pi_1(M, \iota(y_0)) & \xrightarrow{\pi_*} & \pi_1(S^1) \longrightarrow 1 \\
 & & \downarrow H_N & & \downarrow \text{hol}_{\mathcal{F}} & & \downarrow H_L \\
 1 & \longrightarrow & \mathbf{R} & \xrightarrow{u} & \text{Aff}^+ \mathbf{R} & \xrightarrow{v} & \mathbf{R}_+^* \longrightarrow 1
 \end{array}$$

where the upper sequence is the homotopy exact sequence of the fibration  $\pi$ . For the cohomology class  $[H_N]$  represented by  $H_N$ , the following equation holds for any element  $\gamma \in \pi_1(\Sigma, y_0)$  :

$$\begin{aligned}
 f^\#[H_N](\gamma) &= u^{-1} \text{hol}_{\mathcal{F}} \iota_*(f_*\gamma) \\
 &= u^{-1} \text{hol}_{\mathcal{F}}(\beta^{-1}(\iota_*\gamma)\beta) \\
 &= u^{-1}(x \mapsto x + ce)
 \end{aligned}$$

where  $\text{hol}_{\mathcal{F}}(\beta) = (x \mapsto \frac{1}{c}x + d)$  and  $\text{hol}_{\mathcal{F}}(\iota_*\gamma) = (x \mapsto x + e)$

$$\begin{aligned}
 &= cu^{-1}(\text{hol}_{\mathcal{F}}(\iota_*\gamma)) \\
 &= c[H_N](\gamma).
 \end{aligned}$$

First assume that  $[H_N] \neq 0$  in  $H^1(\Sigma; \mathbf{R})$ . Then  $c$  is an eigenvalue of  $f^\#$  and  $[H_N]$  is an eigenvector with respect to  $c$ . By the conditions of the monodromy matrix,  $c$  is equal to  $\lambda$  or  $\frac{1}{\lambda}$ . Since the cohomology class  $[\text{Per}_\mu s]$  (resp.  $[\text{Per}_\mu u]$ ) is also an eigenvector of  $f^\#$  with respect to  $\lambda$  (resp.  $\frac{1}{\lambda}$ ), there is a non-zero number  $c'$  such that  $[H_N] = c'[\text{Per}_\mu s]$  (resp.  $[H_N] = c'[\text{Per}_\mu u]$ ) if  $c = \lambda$  (resp.  $c = \frac{1}{\lambda}$ ). Therefore  $\text{hol}_{\mathcal{F}}$  is equal to  $\text{hol}_{\tilde{\mathcal{F}}_\pm}$  or  $\text{hol}_{\tilde{\mathcal{F}}_\pm^{-1}}$  up to an inner automorphism of  $\text{Aff} \mathbf{R}$ .

If  $[H_N] = 0$ , then  $\text{hol}_{\mathcal{F}}\pi_1(M, \iota(y_0))$  is an abelian subgroup. Such transversely affine foliations were studied in [12], [17]. Since  $\mathcal{F}$  has no compact leaves,  $\mathcal{F}$  has no holonomy and  $\mathcal{F}$  is defined by a non-singular closed 1-form ([12], Theorem 7, 8). The cohomology class of this closed 1-form is  $\pi^*(c''[dt])$  for some non-zero number  $c''$  where  $[dt]$  is the generator of  $H^1(S^1; \mathbf{Z})$ . By the theorem ([6]) of Laudenbach-Blank in a weak form,  $\mathcal{F}$  is isotopic to a bundle foliation (the referee showed the author the existence of direct proofs). This contradicts the non-existence of compact leaves of  $\mathcal{F}$ . □

**THEOREM 2.** — *Let  $\pi : M \rightarrow S^1$  be an oriented  $\Sigma$ -bundle over  $S^1$  of pseudo-Anosov type. If  $\mathcal{F}$  is a transversely oriented and transversely affine foliation of  $M$  without compact leaves such that  $\chi(T\mathcal{F}) = \pm\chi(T\pi)$  and the holonomy representation of  $\mathcal{F}$  is equal to  $\text{hol}_{\widetilde{\mathcal{F}}_{\pm}}$  (resp.  $\text{hol}_{\widetilde{\mathcal{F}}_{\pm}^u}$ ) up to an inner automorphism of  $\text{Aff } \mathbb{R}$ , then there exists a finite covering  $\widehat{p} : \widehat{M} \rightarrow M$  and an embedding  $\widehat{g} : \Sigma \rightarrow \widehat{M}$  isotopic to a fiber of the  $\Sigma$ -bundle  $\widehat{M}$  over  $S^1$  such that  $\widehat{g}^* \widehat{p}^* \mathcal{F}$  is  $C^0$  isotopic to the stable (resp. unstable) foliation of a pseudo-Anosov diffeomorphism which is  $C^0$  isotopic to the monodromy map of  $\widehat{M}$ .*

The holonomy representation  $\text{hol}_{\mathcal{F}}$  satisfies that either  $v \cdot \text{hol}_{\mathcal{F}}(\beta) = \frac{1}{\lambda}$  and  $[H_N] = c[\text{Per}_{\mu}s]$  ( $c \neq 0$ ) or  $v \cdot \text{hol}_{\mathcal{F}}(\beta) = \lambda$  and  $[H_N] = c[\text{Per}_{\mu}u]$  ( $c \neq 0$ ). To simplify the following proof of Theorem 2, we assume that  $v \cdot \text{hol}_{\mathcal{F}}(\beta) = \lambda$  and  $[H_N] = c[\text{Per}_{\mu}u]$ .

By the Roussarie’s lemma ([11], [9]), there exists an embedding  $g : \Sigma \rightarrow M$  isotopic to a fiber of  $M$  such that  $g^* \mathcal{F}$  is a singular foliation with 4-saddle singularities, which are saddle singularities with four separatrices.

Let  $f : \Sigma \rightarrow \Sigma$  be a monodromy map of  $M$  with respect to  $g(\Sigma)$ . (I.e. there exists a diffeomorphism  $\phi : (\Sigma \times I)/((x, 1) \sim (f(x), 0)) \rightarrow M$  satisfying  $\phi|(\Sigma \times \{0\}) = g$ .) We define the infinite cyclic covering  $q : N \rightarrow M$  ( $N = \Sigma \times \mathbb{R}$ ) by  $q(x, t) = \phi(f^i(x), t - i)$  ( $i \leq t \leq i + 1, i \in \mathbb{Z}$ ). In the following, we give the base point  $\bar{x}_0$  of  $N$  by  $(y_0, 0)$  where  $y_0$  is a fixed point of  $f$ , and the base point  $x_0$  of  $M$  by  $g(y_0)$ . The holonomy representation does not depend on the choice of the base points up to inner automorphisms.

Let  $r : (\widetilde{M}, \widetilde{x}_0) \rightarrow (N, \bar{x}_0)$  be a universal covering of  $N$  with the base point and let  $p = q \cdot r$ . For the transversely affine structure  $(\omega, \omega_1)$  of  $\mathcal{F}$ , there are two functions  $h : (\widetilde{M}, \widetilde{x}_0) \rightarrow (\mathbb{R}_+, 1)$  and  $k : (\widetilde{M}, \widetilde{x}_0) \rightarrow (\mathbb{R}, 0)$  such that  $p^*(\omega, \omega_1) = \left(\frac{dk}{h}, \frac{dh}{h}\right)$ .

In order to prove Theorem 2, we need the following lemmas.

**LEMMA 1.** —  *$q^* \mathcal{F}$  is defined by a non-singular closed 1-form. Especially  $g^* \mathcal{F} (= (q|\Sigma \times \{0\})^* \mathcal{F})$  is defined by a closed 1-form.*

*Proof.* — For each element  $\gamma \in \pi_1(N, \bar{x}_0)$ ,  $q_* \gamma \in \pi_1(M, x_0)$  is homotopic to an element of  $g_* \pi_1(\Sigma, y_0)$ . Hence  $\text{hol}_{\mathcal{F}}(q_* \gamma)$  is a translation, and  $h \cdot q_* \gamma(x) = h(x)$  ( $x \in M$ ) by the definition of the holonomy

representation. For any elements  $z_1$  and  $z_2$  ( $\in \widetilde{M}$ ),  $h(z_1) = h(z_2)$  if  $r(z_1) = r(z_2)$ .

We define  $s : (N, \bar{x}_0) \rightarrow (\mathbb{R}_+^*, 1)$  by  $s = h \cdot r^{-1}$ . Since  $r^*(q^*\omega_1 - \frac{ds}{s}) = p^*\omega_1 - \frac{d(s \cdot r)}{s \cdot r} = 0$ ,  $q^*\omega_1$  is equal to  $\frac{ds}{s}$ . Hence  $d(sq^*\omega) = ds \wedge q^*\omega + sdq^*\omega = 0$ . Therefore  $q^*\mathcal{F}$  is defined by the non-singular closed 1-form  $sq^*\omega$ .  $\square$

In the following, the non-singular closed 1-form  $sq^*\omega$  is denoted by  $\Omega$ , which defines  $q^*\mathcal{F}$ .

LEMMA 2. — *There exists a non-singular vector field  $X$  of  $M$  transverse to both  $\mathcal{F}$  and  $g(\Sigma)$ .*

*Proof.* — Let  $s_i$  ( $1 \leq i \leq n$ ) denote the saddle singularities of  $\mathcal{F}|g(\Sigma)$ . Then there exists a non-singular vector field  $X$  of  $M$  and pairwise disjoint small neighborhoods  $U_i$  of  $s_i$  contained in  $g(\Sigma)$  such that  $X$  is transverse to  $\mathcal{F}$  and tangent to  $g(\Sigma) - \bigcup_{i=1}^n U_i$ .

The saddle singularity  $s_i$  is called *positive* (resp. *negative*) if the orientation of  $X$  at  $s_i$  is equal to the positive (resp. negative) orientation of the base space  $S^1$ . Let  $I_+$  (resp.  $I_-$ ) denote the number of positive (resp. negative) saddle singularities. By Thurston's lemma ([15]), the following equations hold :

$$1) -I_+ + I_- = \langle \chi(T\mathcal{F}), [g(\Sigma)] \rangle,$$

$$2) -I_+ - I_- = \chi(\Sigma),$$

where  $\chi(T\mathcal{F}) \in H^2(M; \mathbb{Z})$  denotes the Euler class of the tangent bundle of  $\mathcal{F}$ , and  $[g(\Sigma)]$  denotes the element of  $H_2(M; \mathbb{Z})$  represented by  $g(\Sigma)$ . Since  $\chi(T\mathcal{F}) = \pm\chi(T\pi)$ , either  $I_+$  or  $I_-$  is equal to 0. Hence the saddle singularities of  $\mathcal{F}|g(\Sigma)$  are all negative or all positive. If all the saddle singularities of  $\mathcal{F}|g(\Sigma)$  are positive (resp. negative), then we can perturb  $X$  toward the positive (resp. negative) direction of the base space  $S^1$  in a neighborhood of  $g(\Sigma)$  so that  $X$  is transverse to both  $\mathcal{F}$  and  $g(\Sigma)$ .  $\square$

LEMMA 3. — *There exists an embedding  $\Gamma : \Sigma \times \mathbb{R}_+ \rightarrow N$  such that  $\Gamma(\Sigma \times \{0\}) = \Sigma \times \{0\}$ ,  $\Gamma(\Sigma \times \mathbb{R}_+) \subset \Sigma \times \mathbb{R}_+$  and  $\Gamma^*\Omega = \iota_0^*\Omega \pm dt$ , where the inclusion map  $\iota_t : \Sigma \rightarrow N$  ( $t \in \mathbb{R}$ ) is defined by  $\iota_t(x) = (x, t)$ .*

*Proof.* — Let  $\widetilde{X}$  denote the lift of  $X$  with respect to  $q$ . Then there is a non-singular vector field  $Y$  of  $N$  such that  $\Omega(Y) = \pm 1$ ,  $Y = u\widetilde{X}$  for some

non-zero function  $u$  of  $N$ , and the orientation of  $Y$  at  $\Sigma \times \{0\}$  coincides with the positive orientation of  $\{*\} \times \mathbf{R}$  ( $* \in \Sigma$ ). The integral manifolds of  $Y$  are called the leaves of  $Y$ , which are to be oriented by  $Y$ .

Let  $z$  be an element of  $N$ . Denote by  $L$  the leaf of  $Y$  passing through  $z$ . The point  $w$  of  $L$  satisfying  $\int_z^w \Omega|L = \Omega(Y)t$  ( $t \in \mathbf{R}$ ) is denoted by  $\psi(z, t)$ . Then  $\psi$  is the flow of  $Y$  because  $\Omega\left(\frac{\partial\psi}{\partial t}\right) = \frac{d}{dt}\left(\int_0^t \Omega\left(\frac{\partial\psi}{\partial t}\right)dt\right) = \frac{d}{dt}(\Omega(Y)t) = \Omega(Y)$ . Note that  $\psi$  is not always defined in the whole  $N \times \mathbf{R}$ . However  $\psi$  is defined on  $(\Sigma \times \{0\}) \times \mathbf{R}_+$ , which will be shown in the following.

Let  $L(x)$  denote the leaf of  $Y$  passing through  $(x, 0) \in \Sigma \times \{0\} \subset N$ , and let  $L_i(x) = L(x) \cap (\Sigma \times [i, i + 1])$  and  $L_+(x) = L(x) \cap (\Sigma \times [0, \infty))$ .

When  $L_+(x)$  is contained in  $\Sigma \times [0, n_0)$  for some integer  $n_0$  ( $> 0$ ),  $\psi$  is defined on  $(x, 0) \times \mathbf{R}_+$  because  $\psi|(\Sigma \times [0, n_0])$  is the flow of the compact manifold  $\Sigma \times [0, n_0]$  transverse to the boundary.

Suppose that  $L_+(x)$  is not contained in a compact region. Then  $L_i(x)$  is not empty for every  $i \geq 0$  ( $i \in \mathbf{Z}$ ). Let  $\ell$  denote  $\min_{y \in \Sigma} \Omega(Y)\left(\int_{L_0(y)} \Omega\right) > 0$ .  $\ell$  is the shortest time to reach  $\Sigma \times \{1\}$  from  $\Sigma \times \{0\}$  by the flow  $\psi$ . We define the covering transformation  $\theta : \Sigma \times \mathbf{R} \rightarrow \Sigma \times \mathbf{R}$  of  $q$  by  $\theta(x, t) = (f^{-1}(x), t + 1)$ . Since  $\theta^*\Omega = \theta^*(sq^*\omega) = (s \cdot \theta)(q\theta)^*\omega = \lambda sq^*\omega = \lambda\Omega$ ,  $\theta^*\Omega = \lambda\Omega$ . Thus the following inequality holds :

$$\Omega(Y) \int_{L_i(x)} \Omega = \Omega(Y) \int_{\theta^{-i}L_i(x)} (\theta^i)^*\Omega = \Omega(Y) \int_{L_0(\theta^{-i}(x_i, i))} \lambda^i \Omega \geq \lambda^i \ell,$$

where  $\{x_i\} = L(x) \cap (\Sigma \times \{i\})$ . Hence  $\Omega(Y) \int_{L_+(x)} \Omega = \infty$  and  $\psi$  is defined on  $(x, 0) \times \mathbf{R}_+$ . Therefore  $\psi$  is defined on  $(\Sigma \times \{0\}) \times \mathbf{R}_+$ .

We define an embedding  $\Gamma : \Sigma \times \mathbf{R}_+ \rightarrow N$  by  $\Gamma(x, t) = \psi((x, 0), t)$ . Then

$$\begin{aligned} \Gamma^*\Omega(v, a) & \quad (v \in T_x\Sigma, a \in T_t\mathbf{R}_+ = \mathbf{R}) \\ & = \Gamma^*\Omega\left(\iota_t_*v + a\left(\frac{\partial}{\partial t}\right)\right) \\ & = \iota_t^*\Gamma^*\Omega(v) + a\Omega\Gamma_*\left(\frac{\partial}{\partial t}\right) \end{aligned}$$

$$\begin{aligned}
 &= (\Gamma \cdot \iota_t)^* \Omega(v) + a\Omega(Y) \\
 &= (\psi_t \cdot \iota_0)^* \Omega(v) \pm a \quad (\psi_t(z) = \psi(z, t), z \in N, t \in \mathbf{R}) \\
 &= \iota_0^* \psi_t^* \Omega(v) \pm a \\
 &= \iota_0^* \Omega(v) \pm a \quad (\text{See [3], Chapter VIII, Lemma 1.1.2}) \\
 &= (p_1^* \iota_0^* \Omega \pm dt) \left( (\iota_t)_* v + a \left( \frac{\partial}{\partial t} \right) \right) \quad (p_1(x, t) = x) \\
 &= (\iota_0^* \Omega \pm dt)(v, a).
 \end{aligned}$$

Therefore  $\Gamma^* \Omega = \iota_0^* \Omega \pm dt$ . □

LEMMA 4. — There exists a non-zero number  $c$  such that  $\int_{\gamma} \iota_0^* \Omega = c[\text{Per}_{\mu} u](\gamma)$  for any  $\gamma \in \pi_1(\Sigma, y_0)$ .

Proof. — For any  $\gamma \in \pi_1(\Sigma, y_0)$ ,  $\text{hol}_{\mathcal{F}}(g_* \gamma) = (x \mapsto x + \int_{(\iota_0)_* \gamma} \Omega)$ . In fact,

$$\begin{aligned}
 &k \cdot g_* \gamma(\tilde{x}_0) - k(\tilde{x}_0) \\
 &= \int_{g_* \gamma} dk \quad \text{where } \overline{g_* \gamma} \text{ is the lift of } g_* \gamma \text{ with respect to } p \\
 &\quad \text{whose starting point is } \tilde{x}_0, \\
 &= \int_{g_* \gamma} hp^* \omega \\
 &= \int_{g_* \gamma} r^*(sq^* \omega) \\
 &= \int_{r_* g_* \gamma} \Omega \\
 &= \int_{(\iota_0)_* \gamma} \Omega.
 \end{aligned}$$

Since  $\text{hol}_{\mathcal{F}}(g_* \gamma)$  is also equal to  $(x \mapsto x + c[\text{Per}_{\mu} u](\gamma))$  for some non-zero number  $c$ ,  $\int_{(\iota_0)_* \gamma} \Omega = c[\text{Per}_{\mu} u](\gamma)$ . □

By changing the differentiable structure of  $\Sigma$ , there exists a closed 1-form  $\widehat{\omega}^{\sigma}$  ( $\sigma = s, u$ ) of  $\Sigma$  such that  $\widehat{\omega}^{\sigma}$  defines  $(\mathcal{G}^{\sigma}, \mu^{\sigma})$  and  $\widehat{\omega}^{\sigma} = 0$  at the saddle singularities of  $\mathcal{G}^{\sigma}$ . (I.e. there is a homeomorphism  $\rho$  of  $\Sigma$  isotopic to the identity map such that  $\rho^*(\mathcal{G}^{\sigma}, \mu^{\sigma})$  is the measured foliation defined by  $\widehat{\omega}^{\sigma}$ .) By Lemma 4,  $\int_{\gamma} \iota_0^* \Omega = c \int_{\gamma} \widehat{\omega}^u$  for any  $\gamma \in \pi_1(\Sigma, y_0)$ .



LEMMA 5. — *There exist embeddings  $\eta_+, \eta_- : \Sigma \rightarrow \Sigma \times \mathbb{R}_+$  satisfying the following conditions :*

$$1) \ c\widehat{\omega}^u = \eta_+^*(\iota_0^*\Omega + dt) = \eta_-^*(\iota_0^*\Omega - dt).$$

2)  $\eta_{\pm}(\Sigma)$  is transverse to  $\{*\} \times \mathbb{R}_+$  for each  $*$   $\in \Sigma$ , and  $\eta_{\pm}$  is isotopic to  $\Sigma \times \{0\}$ .

*Proof.* — By the above argument,  $[\iota_0^*\Omega]$  and  $[c\widehat{\omega}^u]$  are cohomologous in  $H^1(\Sigma; \mathbb{R})$ . Hence there is a function  $\xi : \Sigma \rightarrow \mathbb{R}$  such that  $\iota_0^*\Omega - c\widehat{\omega}^u = d\xi$ . We define  $\eta_+ : \Sigma \rightarrow \Sigma \times \mathbb{R}_+$  by  $\eta_+(x) = (x, \text{Max}(\xi) - \xi(x))$  and  $\eta_- : \Sigma \rightarrow \Sigma \times \mathbb{R}_+$  by  $\eta_-(x) = (x, \xi(x) - \text{Min}(\xi))$ . Then

$$\begin{aligned} \eta_{\pm}^*(p_1^*\iota_0^*\Omega \pm p_2^*dt) & \quad (p_1(x, t) = x, \quad p_2(x, t) = t) \\ & = (p_1\eta_{\pm})^*\iota_0^*\Omega \pm (p_2\eta_{\pm})^*dt \\ & = \iota_0^*\Omega - d\xi \\ & = c\widehat{\omega}^u. \end{aligned} \quad \square$$

*Proof of Theorem 2.* — There exists a sufficiently large integer  $m (> 0)$  such that  $\Gamma\eta_+(\Sigma)$  and  $\Gamma\eta_-(\Sigma)$  are contained in  $\Sigma \times [0, m)$ . Let  $q' : N \rightarrow \widehat{M}$  denote the quotient map of  $N$  by  $\theta^m$ . Denote by  $\widehat{p} : \widehat{M} \rightarrow M$  the finite covering satisfying  $q = \widehat{p} \cdot q'$ . If  $\Gamma^*\Omega = \iota_0^*\Omega + dt$  (resp.  $\Gamma^*\Omega = \iota_0^*\Omega - dt$ ), then we define  $\widehat{g} : \Sigma \rightarrow \widehat{M}$  by  $q'\Gamma\eta_+$  (resp.  $q'\Gamma\eta_-$ ). Then  $\widehat{g} : \Sigma \rightarrow \widehat{M}$  is an embedding isotopic to the fiber of  $\widehat{M}$ . Since  $\widehat{g}^*\widehat{p}^*\mathcal{F}$  is defined by  $(\Gamma\eta_{\pm})^*\Omega = \eta_{\pm}^*(\iota_0^*\Omega \pm dt) = c\widehat{\omega}^u$ ,  $\widehat{g}^*\widehat{p}^*\mathcal{F}$  is  $C^0$  isotopic to  $\mathcal{G}^u$ , which is an unstable foliation of a pseudo-Anosov diffeomorphism which is  $C^0$  isotopic to the monodromy map  $f^m$  of  $\widehat{M}$ . □

*Remark.* — The foliation  $\mathcal{H}$  obtained by cutting  $\widehat{p}^*\mathcal{F}$  along  $\widehat{g}(\Sigma)$  is a  $C^0$  foliation of  $\Sigma \times I$  with a transverse invariant measure with full support such that  $\mathcal{H}|(\Sigma \times \{0\})$  is the (un-)stable foliation of a pseudo-Anosov diffeomorphism which is  $C^0$  isotopic to  $f^m$ . If we choose the pseudo-Anosov diffeomorphism as the monodromy map of  $\widehat{M}$ , then  $\mathcal{H}|(\Sigma \times \{0\})$  is equal to  $\mathcal{H}|(\Sigma \times \{1\})$ . (Here  $\mathcal{H}$  is not a foliation at the saddle singularities of  $\mathcal{H}|(\Sigma \times \partial I)$  by the ordinary definition of foliations. Such foliations are called pseudo-foliations in [9]. However, in this paper, we call them also foliations.)

**3. Foliations of  $\Sigma \times I$  with transverse invariant measures.**

By Theorems 1 and 2 (see also Remark of Section 2), the main theorem obviously follows from the following Theorem 3.

**THEOREM 3.** — *Let  $\Sigma$  be a closed orientable surface with genus greater than 1. Let  $f$  be a pseudo-Anosov diffeomorphism with an (un-)stable foliation  $(\mathcal{G}^\sigma, \mu^\sigma)$  ( $\sigma = s, u$ ). Suppose that  $\mathcal{H}$  is a transversely orientable  $C^0$  foliation of  $\Sigma \times I$  ( $I = [0, 1]$ ) satisfying the following conditions :*

- 1)  $\mathcal{H}$  has a transverse invariant measure  $\nu$  with full support.
- 2)  $\mathcal{H}|(\Sigma \times \{0\}) = \mathcal{H}|(\Sigma \times \{1\}) = \mathcal{G}^\sigma$ .

Then  $\mathcal{H}$  is  $C^0$  isotopic to  $\widehat{\mathcal{H}}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)|(\Sigma \times I)$  with the boundary fixed for some non-zero number  $\alpha$ , where  $\widehat{\mathcal{H}}(\sigma, \alpha, \mathcal{G}^\sigma, \mu^\sigma)$  is the foliation of  $\Sigma \times \mathbb{R}$  defined in Section 1.

In order to prove Theorem 3, we need some consideration.

First we consider some properties of singular foliations of  $\Sigma$ . Let  $\mathcal{G}$  be a singular foliation of  $\Sigma$  (all the singularities of  $\mathcal{G}$  are saddle ones). A leaf  $L$  of  $\mathcal{G}$  is called *ordinary* if  $L$  is neither a saddle singularity nor a separatrix, and  $\mathcal{G}$  is called *minimal* if all the leaves except for the saddle singularities are dense in  $\Sigma$ . The next lemma is the generalization of Levitt’s pantalon decomposition theorem ([7]) to singular foliations having saddle singularities with many separatrices.

**LEMMA 6.** — *Let  $\mathcal{G}$  be a transversely orientable minimal singular foliation of  $\Sigma$ . Then there exist disjoint simple closed curves  $\gamma_i$  ( $1 \leq i \leq n$ ) satisfying the following conditions :*

- 1)  $\gamma_i$  ( $1 \leq i \leq n$ ) is transverse to  $\mathcal{G}$ . Denote by  $S_j$  ( $1 \leq j \leq m$ ) the connected components obtained by cutting  $\Sigma$  along  $\bigcup_{i=1}^n \gamma_i$ . Then,
- 2)  $\mathcal{G}|S_j$  ( $1 \leq j \leq m$ ) is a singular foliation transverse to  $\partial S_j$  with a unique saddle singularity whose separatrices reach  $\partial S_j$ .
- 3) All the ordinary leaves of  $\mathcal{G}|S_j$  are properly embedded arcs which connect different boundaries of  $S_j$ , and there are ordinary leaves  $\beta_1^j, \beta_2^j, \beta_3^j, \dots, \beta_{p_j}^j$  which cut  $S_j$  into a 2-disk.

*Proof.* — Suppose that disjoint submanifolds  $S_j$  ( $1 \leq j \leq q \leq m$ ) satisfying the conditions 2) and 3) of Lemma 6 are constructed. Denote by  $N$  the closure of  $\Sigma - \bigcup_{j=1}^q S_j$ .

If  $\mathcal{G}|N$  has no saddle singularities, then  $N$  is the disjoint union of annuli, say  $A_i$  ( $1 \leq i \leq n$ ), and each  $\mathcal{G}|A_i$  is the product foliation  $\{D^1 \times \{*\}; * \in S^1\}$ . Denote by  $\gamma_i$  one of the boundaries of  $A_i$ . Then  $\gamma_i$ 's ( $1 \leq i \leq n$ ) satisfy the conditions of Lemma 6.

Next suppose that  $\mathcal{G}|N$  has a saddle singularity  $s$ . Denote by  $\sigma_1, \sigma_2, \sigma_3, \dots, \sigma_{2r}$  the separatrices of  $s$  in the clockwise order. Since the singular foliation  $\mathcal{G}$  is minimal,  $\sigma_{2k}$  ( $k = 1, 2, 3, \dots, r$ ) intersects  $\partial N$ . Hence there exist pairwise disjoint closed transversals  $\rho_k$  ( $k = 1, 2, 3, \dots, r$ ) contained in the interior of  $N$  and intersecting  $\sigma_{2k} - \{s\}$ . Let  $z_k$  denote the point of  $\sigma_{2k} \cap (\bigcup_{l=1}^r \rho_l)$  nearest to  $s$  along  $\sigma_{2k}$ . The closed transversal  $\rho_l$  containing  $z_k$  is denoted by  $\rho'_k$  and the restriction of  $\sigma_{2k}$  to  $[s, z_k]$  is denoted by  $w_k$ . Then there exists a sufficiently small closed neighborhood  $S_{q+1}$  ( $\subset \text{int } N$ ) of  $\bigcup_{k=1}^r (w_k \cup \rho'_k)$  whose boundary is transverse to  $\mathcal{G}$ . The singular foliation  $\mathcal{G}|S_{q+1}$  satisfies the conditions 2) and 3) of Lemma 6. By induction on the number of the saddle singularities of  $\mathcal{G}| \bigcup_{j=1}^q S_j$ , Lemma 6 holds.  $\square$

Next we prove the following lemmas about foliations obtained by cutting  $\mathcal{H}$  along  $\bigcup_{i=1}^n (\gamma_i \times I)$ .

Let  $S$  be an orientable surface with boundary. A transversely orientable  $C^0$  foliation  $\mathcal{U}$  of  $S \times I$  having a transverse invariant measure  $\nu$  with full support is called a *unit foliation* if it satisfies the following conditions :

1)  $(\mathcal{U}, \nu)|(S \times \{0\})$  is a measured foliation of  $S$  transverse to  $\partial S$  satisfying the conditions 2) and 3) of Lemma 6.

2)  $(\mathcal{U}, \nu)|(S \times \{1\}) = (\mathcal{U}, \nu)|(S \times \{0\})$ .

3)  $\mathcal{U}$  is transverse to  $\partial S \times I$ .

LEMMA 7. — *Let  $(\mathcal{U}, \nu)$  be a unit foliation. Then  $\mathcal{U}|(\partial S \times I)$  has no vertical leaves, where a leaf of  $\mathcal{U}|(\partial S \times I)$  is called vertical if it is isotopic to  $\{*\} \times I$  with  $\{*\} \times \partial I$  fixed.*

*Proof.* — If  $\mathcal{U}|(\partial S \times I)$  has a vertical leaf, then all the leaves of the component of  $\mathcal{U}|(\partial S \times I)$  containing the vertical leaf are vertical because  $\mathcal{U}$  has the transverse invariant measure  $\nu$ .

Let  $\ell$  be a vertical leaf of  $\mathcal{U}|(\partial S \times I)$  such that  $\partial\ell$  is not contained in any separatrix of  $\mathcal{U}|(S \times \partial I)$ . Let  $x_0$  (resp.  $x_1$ ) denote the endpoint of  $\ell$  contained in  $\partial S \times \{0\}$  (resp.  $\partial S \times \{1\}$ ). Denote by  $\beta_{x_0}$  (resp.  $\beta_{x_1}$ ) the ordinary leaf of  $\mathcal{U}|(S \times \partial I)$  containing  $x_0$  (resp.  $x_1$ ), and denote by  $y_0$  (resp.  $y_1$ ) the other endpoint of  $\beta_{x_0}$  (resp.  $\beta_{x_1}$ ). Since  $\mathcal{U}|(\partial S \times I)$  has no holonomy,  $\mathcal{U}|(\partial S \times I)$  contains no interior compact leaves. Hence there exists a properly embedded arc  $\alpha \subset \partial S \times I$  connecting  $y_0$  and  $y_1$  and isotopic to  $\{*\} \times I$  ( $* \in \partial S$ ) with  $\{*\} \times \partial I$  fixed such that  $\alpha$  is either transverse or tangent to  $\mathcal{U}|(\partial S \times I)$ .

If  $\alpha$  is transverse to  $\mathcal{U}|(\partial S \times I)$ , then there exists a null-homotopic closed transversal near  $\ell \cup \beta_{x_0} \cup \alpha \cup \beta_{x_1}$ . Since this contradicts the existence of the transverse invariant measure  $\nu$  with full support,  $\alpha$  is tangent to  $\mathcal{U}|(\partial S \times I)$ .

By Roussarie’s theorem ([11], see also [9] for foliations with saddle singularities in the boundary), a null-homotopic simple closed curve  $\ell \cup \beta_{x_0} \cup \alpha \cup \beta_{x_1}$  bounds a leaf of  $\mathcal{U}$  homeomorphic to the 2-disk  $D^2$ . By Reeb’s global stability theorem, there exists an immersion  $\psi : D^2 \times [-1, 1] \rightarrow S \times I$  satisfying the following conditions 1), 2) and 3) :

1)  $\psi(D^2 \times \{t\})(t \in (-1, 1))$  is a leaf of  $\mathcal{U}$ .

2)  $\psi|(D^2 \times (-1, 1))$  is an embedding.

3) Both  $\psi(\partial D^2 \times \{1\})$  and  $\psi(\partial D^2 \times \{-1\})$  contain two saddle singularities of  $\mathcal{U}|(S \times \partial I)$ .

By considering the transverse orientation of  $\mathcal{U}|(S \times \{0\})$  in the neighborhood of the saddle singularity of  $\mathcal{U}|(S \times \{0\})$ , there exists a number  $t_0 \in (-1, 1)$  sufficiently near 1 or  $-1$  such that  $\psi(D^2 \times \{t_0\})$  contains a properly embedded short arc crossing the saddle singularity of  $\mathcal{U}|(S \times \{0\})$  (Fig. 3). However this contradicts the non-existence of saddle connections of  $\mathcal{U}|(S \times \{0\})$ .

Thus  $\mathcal{U}|(\partial S \times I)$  has no vertical leaves. □

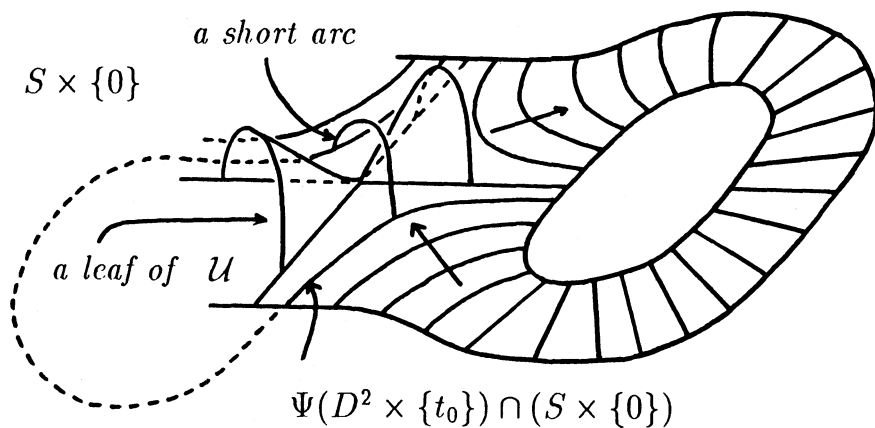


Figure 3

*Remark.* — The original proof of Roussarie's theorem demands that the foliations are of class  $C^r$  ( $r \geq 2$ ). However it has already been known that his theorem is true for  $C^0$  foliations (see [3], [5], [13]).

A unit foliation  $(\mathcal{U}, \nu)$  is called *normalized* if  $\mathcal{U}|(\partial S \times I)$  is transverse to  $\{*\} \times I$  for any  $* \in \partial S$ .

**LEMMA 8.** — Let  $(\mathcal{U}, \nu)$  be a normalized unit foliation. For any  $x, y \in \partial S$ ,  $\nu(\{x\} \times I) = \nu(\{y\} \times I)$  and the orientation of  $\{x\} \times I$  induced by the transverse orientation of  $\mathcal{U}$  coincides with that of  $\{y\} \times I$ .

*Proof.* — If  $x$  and  $y$  are contained in the same connected component of  $\partial S$ , then  $\nu(\{x\} \times I) = \nu(\{y\} \times I)$  and the orientation of  $\{x\} \times I$  induced by the transverse orientation of  $\mathcal{U}$  coincides with that of  $\{y\} \times I$ .

Let  $\mathcal{G}$  denote  $\mathcal{U}|(S \times \{0\})$ . Suppose that an ordinary leaf  $\beta$  of  $\mathcal{G}$  connects  $x$  and  $y$  ( $x, y \in \partial S$ ). Since  $\{x\} \times I$  is homotopic to  $(\beta \times \{1\}) \cup (\{y\} \times I) \cup (\beta \times \{0\})$ ,  $\nu(\{x\} \times I)$  is equal to  $\nu(\{y\} \times I)$ . If the orientation of  $\{x\} \times I$  induced by the transverse orientation of  $\mathcal{U}$  is opposite to that of  $\{y\} \times I$ , then there is a null-homotopic closed transversal, which contradicts the existence of the transverse invariant measure  $\nu$  with full support.

Let  $\gamma$  and  $\gamma'$  be connected components of  $\partial S$ . Denote by  $\sigma$  and  $\sigma'$  the separatrices of  $\mathcal{G}$  intersecting  $\gamma$  and  $\gamma'$ , respectively. Then there exists a series of separatrices  $\sigma = \sigma_1, \sigma_2, \sigma_3, \dots, \sigma_k = \sigma'$  where  $\sigma_i$  is adjacent to  $\sigma_{i+1}$  for each  $i$ . Since there is an ordinary leaf of  $\mathcal{G}$  near  $\sigma_i \cup \sigma_{i+1}$  for each

$i, \nu(\{x\} \times I)$  ( $x \in \gamma$ ) is equal to  $\nu(\{y\} \times I)$  ( $y \in \gamma'$ ), and the orientation of  $\{x\} \times I$  coincides with that of  $\{y\} \times I$ . □

LEMMA 9. — *Let  $(\mathcal{U}_1, \nu_1)$  and  $(\mathcal{U}_2, \nu_2)$  be normalized unit foliations of  $S \times I$  satisfying  $(\mathcal{U}_1, \nu_1)|\partial(S \times I) = (\mathcal{U}_2, \nu_2)|\partial(S \times I)$ , then there exists a homeomorphism  $h : S \times I \rightarrow S \times I$  such that  $h|\partial(S \times I) = \text{id}$  and  $h(\mathcal{U}_1, \nu_1) = (\mathcal{U}_2, \nu_2)$ .*

*Proof.* — Let  $\mathcal{G}$  denote  $\mathcal{U}_1|(S \times \{0\})$ , and let  $\beta_j$  ( $1 \leq j \leq p$ ) be the ordinary leaves of  $\mathcal{G}$  which cut  $S$  into a 2-disk. By Roussarie's theorem ([11]), there are pairwise disjoint properly embedded disks  $D_j$  (resp.  $D'_j$ ) transverse to  $\mathcal{U}_1$  (resp.  $\mathcal{U}_2$ ) and bounded by  $\partial(\beta_j \times I)$ . Since  $\mathcal{U}_1|D_j$  and  $\mathcal{U}_2|D'_j$  are foliations whose leaves are properly embedded arcs, there is a homeomorphism  $h : \partial(S \times I) \cup \left(\bigcup_{j=1}^p D_j\right) \rightarrow \partial(S \times I) \cup \left(\bigcup_{j=1}^p D'_j\right)$  such that  $h(\mathcal{U}_1, \nu_1) = (\mathcal{U}_2, \nu_2)$ .

Let  $\widehat{\mathcal{U}}_1$  (resp.  $\widehat{\mathcal{U}}_2$ ) denote the foliation of  $D^3$  obtained by cutting  $\mathcal{U}_1$  (resp.  $\mathcal{U}_2$ ) along  $\bigcup_{j=1}^p D_j$  (resp.  $\bigcup_{j=1}^p D'_j$ ) (Fig. 4).  $\widehat{\mathcal{U}}_i$  ( $i = 1, 2$ ) has  $2p$  collapsing leaves homeomorphic to  $I$  and two saddle singularities in the boundary. The leaves of  $\widehat{\mathcal{U}}_i$  near the collapsing leaves are all homeomorphic to  $D^2$ . By Poincaré-Bendixson's theorem, the ordinary leaves of  $\partial\widehat{\mathcal{U}}_i$  are all homeomorphic to  $S^1$  and the union of the leaves of  $\partial\widehat{\mathcal{U}}_i$  containing a saddle singularity is a bouquet. Hence the leaves of  $\widehat{\mathcal{U}}_i$  containing no saddle singularities of  $\partial\widehat{\mathcal{U}}_i$  are homeomorphic to the 2-disks, and the union of the leaves of  $\widehat{\mathcal{U}}_i$  containing the saddle singularity is the union of 2-disks whose intersection point is the saddle singularity. Therefore  $h$  extends to a homeomorphism of  $S \times I$  which satisfies the conditions of Lemma 9. □

*Proof of Theorem 3.* — Let  $\gamma_i$  ( $1 \leq i \leq n$ ) denote the disjoint simple closed curves transverse to  $\mathcal{G}^\sigma$  constructed by Lemma 6, and let  $S_j$  ( $1 \leq j \leq m$ ) denote the connected components obtained by cutting  $\Sigma$  along  $\bigcup_{i=1}^n \gamma_i$ . Since  $\mathcal{H}$  has the transverse invariant measure  $\nu$  with full support,  $\mathcal{H}$  has no interior compact leaves. By Roussarie's theorem ([11]),  $\gamma_i \times I$  can be taken by an isotopy of  $\Sigma \times I$  with  $\Sigma \times \partial I$  fixed so that  $\gamma_i \times I$  is transverse to  $\mathcal{H}$ . Since all the leaves of  $\mathcal{H}|(\gamma_i \times I)$  are properly embedded arcs,  $\nu(\gamma_i \times \{0\})$  is equal to  $\nu(\gamma_i \times \{1\})$ . By the unique ergodicity of the (un-)stable foliation

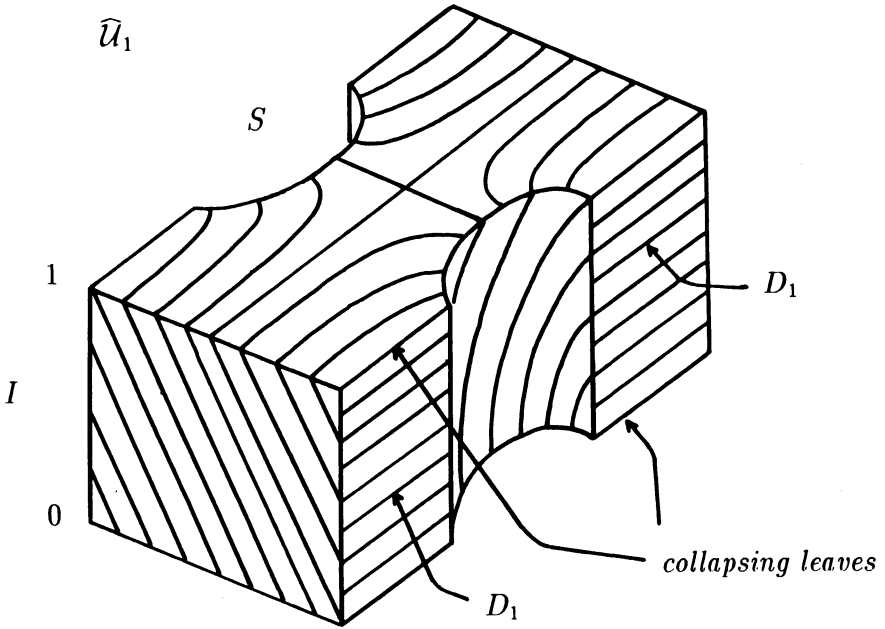


Figure 4

of the pseudo-Anosov diffeomorphism ([1]),  $\nu(\Sigma \times \{0\}) = \nu(\Sigma \times \{1\})$ . Therefore  $(\mathcal{H}(S_j \times I), \nu(S_j \times I))$  is a unit foliation.

By Lemma 7,  $\mathcal{H}(S_j \times I)$  has no vertical leaves. We change  $\Sigma \times I$  again by an isotopy with  $\Sigma \times \partial I$  fixed so that  $\{*\} \times I$  is transverse to  $\mathcal{H}$  for any  $* \in \bigcup_{i=1}^n \gamma_i$ . Then  $(\mathcal{H}(S_j \times I), \nu(S_j \times I))$  is a normalized unit foliation.

We take the transverse orientation of  $\mathcal{H}$  so that the transverse orientation of  $\mathcal{H}(\Sigma \times \{0\})$  coincides with that of  $\mathcal{G}^\sigma$ . Since all the leaves of  $\mathcal{H}(\gamma_i \times I)$  are properly embedded arcs, the transverse orientation of  $\mathcal{H}(\Sigma \times \{1\})$  also coincides with that of  $\mathcal{G}^\sigma$ .

By Lemma 8, the orientations of  $\{*\} \times I$  ( $* \in \partial S_j$ ) induced by the transverse orientation of  $\mathcal{H}$  are either all positive or all negative. For each  $\gamma_i$  and  $\gamma_j$ , there is an arc in a leaf of  $\mathcal{G}^\sigma$  connecting  $\gamma_i$  with  $\gamma_j$  by the minimality of  $\mathcal{G}^\sigma$ . Thus the orientations of  $\{*\} \times I$  ( $* \in \bigcup_{i=1}^n \gamma_i$ ) are either all

positive or all negative. If they are positive (resp. negative), then we put  $\delta(\mathcal{H}) = 1$  (resp.  $\delta(\mathcal{H}) = -1$ ).

Denote by  $c$  the positive number satisfying  $c\nu|(\Sigma \times \partial I) = \mu^\sigma$ . In the following, the transverse invariant measure of  $\mathcal{H}$  is given by  $c\nu$ .

Let  $\alpha$  denote the positive number satisfying  $c\nu(\{*\} \times I) = \alpha \int_0^1 \lambda^{-\varepsilon(\sigma)t} dt$  ( $* \in \gamma_i$ ). The foliation  $\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma)$  of  $\Sigma \times \mathbf{R}$  (defined by  $\lambda^{\varepsilon(\sigma)t} \omega^\sigma + \alpha\delta(\mathcal{H})dt$  in  $(\Sigma - K) \times \mathbf{R}$ ) has a transverse invariant measure  $\widehat{\nu} = \left| \int (\omega^\sigma + \alpha\delta(\mathcal{H})\lambda^{-\varepsilon(\sigma)t} dt) \right|$ . The transverse orientation of  $\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma)$  is given by the positive orientation of  $\lambda^{\varepsilon(\sigma)t} \omega^\sigma + \alpha\delta(\mathcal{H})dt$ .

In the following, we construct a homeomorphism  $h'' : \Sigma \times I \rightarrow \Sigma \times I$  satisfying  $h''(\mathcal{H}, c\nu) = (\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma)|(\Sigma \times I), \widehat{\nu}|(\Sigma \times I))$ .

First we define the homeomorphism  $h : \Sigma \times \partial I \rightarrow \Sigma \times \partial I$  by the identity map. The transversely oriented measured foliations of  $S^1 \times I$  transverse to both  $S^1 \times \partial I$  and  $\{*\} \times I$  (for any  $* \in S^1$ ), are determined by the lengths of  $S^1 \times \{0\}$  and  $\{*\} \times I$ , and the orientations of  $S^1 \times \partial I$  and  $\{*\} \times I$  ( $* \in S^1$ ) ([1]). Hence  $h$  extends to  $h' : (\Sigma \times \partial I) \cup (\bigcup_{i=1}^n \gamma_i \times I) \rightarrow (\Sigma \times \partial I) \cup (\bigcup_{i=1}^n \gamma_i \times I)$  such that  $h'(\mathcal{H}, c\nu) = (\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma), \widehat{\nu})$  and  $h'(\{*\} \times I) = \{*\} \times I$  for any  $* \in \bigcup_{i=1}^n \gamma_i$ . By Lemma 9,  $h'$  extends to  $h'' : \Sigma \times I \rightarrow \Sigma \times I$  which brings  $\mathcal{H}$  to  $\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma)|(\Sigma \times I)$ . Therefore  $\mathcal{H}$  is  $C^0$  isotopic to  $\widehat{\mathcal{H}}(\sigma, \alpha\delta(\mathcal{H}), \mathcal{G}^\sigma, \mu^\sigma)|(\Sigma \times I)$  with the boundary fixed. □

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