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GALOIS CO-DESCENT FOR ÉTALE WILD KERNELS AND CAPITULATION

by M. KOLSTER* and A. MOVAHHEDI

Introduction.

For a number field F , the classical wild kernel - denoted by $WK_2(F)$ - is the kernel of all local power norm residue symbols on $K_2(F)$, in other words it fits into Moore's exact sequence

$$0 \rightarrow WK_2(F) \rightarrow K_2(F) \rightarrow \bigoplus_v \mu(F_v) \rightarrow \mu(F) \rightarrow 0,$$

where v runs through all finite and real infinite primes of F , and $\mu(F_v)$ and $\mu(F)$ denote the groups of roots of unity of the local field F_v and of F , respectively. For a fixed prime number p , the p -primary part $WK_2(F)\{p\}$ of $WK_2(F)$ has another description in terms of étale cohomology: For any finite set S of primes in F containing the p -adic primes and the real infinite primes, we have

$$WK_2(F)\{p\} = \ker(H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(2)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(2))).$$

This property immediately leads to the definition of the higher *étale wild kernels* for $i \geq 2$:

$$WK_{2i-2}^{\text{ét}}(F) := \ker(H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(i)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i))).$$

The étale wild kernels play a similar role in étale cohomology, étale K -theory and Iwasawa-theory as the p -primary parts A'_F of the S -class groups

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of F . For these, Galois co-descent is classically described by genus theory. The main result of this paper proves an analogous genus formula for the étale wild kernels of a cyclic extension L/F of degree p , p odd. Let $G = \text{Gal}(L/F)$. We first show that the transfer map $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$ is onto except in a very special situation, and we determine its kernel as the cokernel of a certain cup-product which is obtained as follows: Let $E = F(\mu_p)$, where μ_p consists of the p -th roots of unity and let $\Delta = \text{Gal}(E/F)$. We associate with the extension LE/E a certain set $T_{LE/E}$ of primes of E , consisting of all tamely ramified primes and some unramified p -adic primes. Let $\text{Br}^T(E)$ denote the subgroup of the Brauer-group which is supported only at primes in $T_{LE/E}$, and let ${}_p\text{Br}^T(E)$ denote the subgroup of all the elements in $\text{Br}^T(E)$ of exponent p . The target of the cup-product is the $(1-i)$ -eigenspace ${}_p\text{Br}^T(E)^{[1-i]}$, under the action of the Teichmüller character w . Now, let S be the set of primes in E consisting of the p -adic primes, the real infinite primes as well as all primes ramified in LE and denote by o_E^S the ring of S -integers in E . The étale cohomology group $H_{\text{ét}}^1(o_E^S, \mathbb{Z}_p(i))/p$ injects into the $(i-1)$ -fold Tate twist of the module E^*/E^{*p} and hence is isomorphic to $D_E^{(i)}/E^{*p}(i-1)$, where $D_E^{(i)} \subset E^{*p}$ can be viewed as the analog of the Tate kernel ($i=2$). The cup-product is now given by

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}.$$

We illustrate the method by finding all Galois p -extensions of \mathbb{Q} for which the p -part of the classical wild kernel is trivial.

We also discuss the Galois co-descent situation for $p=2$ in the classical case $i=2$.

Let E_∞ denote the cyclotomic \mathbb{Z}_p -extension of E with finite layers E_n . If we assume the Gross Conjecture for E_n with n large, for instance if E is abelian over \mathbb{Q} , then the groups $D_{E_n}^{(i)}/E_n^{*p}$ can be described in terms of local conditions at p -adic primes, and are independent of i .

Let F_∞ denote the cyclotomic \mathbb{Z}_p -extension of F with finite layers F_n and let A'_n denote the p -part of the p -class group of F_n . The classical capitulation kernel is defined as

$$\text{Cap}_0(F_\infty) = \ker(A'_n \rightarrow A'_\infty) \quad \text{for } n \text{ large.}$$

The study of capitulation kernels under Galois extensions is an essential ingredient in the more general problem of comparing Iwasawa-invariants (cp. e.g. [28]). In Section 3 we introduce similar capitulation kernels

$\text{Cap}_{i-1}(F_\infty)$ for all $i \geq 2$ using étale K -theory, and show that they have properties similar to $\text{Cap}_0(F_\infty)$.

Assume now that F is totally real, and let E^+ denote the maximal real subfield of $E = F(\mu_p)$. A conjecture of Greenberg predicts that $\lim_{\leftarrow} A'_n(E^+)$ is finite. Under this assumption we show that for all odd $i \geq 3$:

$$\text{Cap}_{i-1}(F_\infty) \cong A'_n(E^+)^{[1-i]} \cong WK_{2i-2}^{\text{ét}}(F_n) \quad \text{for } n \text{ large.}$$

Therefore the co-descent results from Section 2 imply similar results for $\text{Cap}_{i-1}(F_\infty)$ and for the eigenspaces $A'_n(E^+)^{[1-i]}$, when n is large.

In Section 4, we briefly discuss how our approach can be applied to the simpler problem of Galois co-descent for étale tame kernels. This has already been studied by Assim ([1], [2]) under Leopoldt's conjecture.

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1. Preliminaries.

In this section we briefly recall some of the basic properties of étale K -theory and étale cohomology which are subsequently needed. A more detailed account can be found in [3], [20]. Let F be a number field and p a fixed prime number. Let S be a finite set of primes in F , containing the set S_p of primes above p and the set S_∞ of infinite primes. As usual, $G_S(F)$ denotes the Galois group over F of the maximal algebraic extension of F , which is unramified outside S . We note that the condition on infinite primes only intervenes if $p = 2$ and F is not totally imaginary. Let o_F^S denote the ring of S -integers of F . As is well-known, the étale cohomology groups $H_{\text{ét}}^k(\text{spec}(o_F^S), \mathbb{Z}/p^n\mathbb{Z}(i))$ of $\text{spec}(o_F^S)$ coincide with the Galois-cohomology groups $H^k(G_S(F), \mathbb{Z}/p^n\mathbb{Z}(i))$, and will be denoted by $H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i))$. Here, as usual, $\mathbb{Z}/p^n\mathbb{Z}(i)$ denotes the i -fold Tate twist of $\mathbb{Z}/p^n\mathbb{Z}$. Furthermore, let

$$H_{\text{ét}}^k(o_F^S, \mathbb{Z}_p(i)) = \lim_{\leftarrow} H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i))$$

and

$$H_{\text{ét}}^k(o_F^S, \mathbb{Q}_p/\mathbb{Z}_p(i)) = \varinjlim H_{\text{ét}}^k(o_F^S, \mathbb{Z}/p^n\mathbb{Z}(i)).$$

Assume now that p is either odd or that $p = 2$ and F contains $\sqrt{-1}$. Then for $i \geq 2$ and $k = 1, 2$ the étale cohomology groups $H_{\text{ét}}^k(o_F^S, \mathbb{Z}_p(i))$ are isomorphic to the higher étale K -theory groups $K_{2i-k}^{\text{ét}}(o_F^S)$, introduced by Dwyer-Friedlander ([8]). Moreover, the relation to Quillen's K -theory groups $K_{2i-k}(o_F^S)$ is provided by a Chern character, which yields split surjective maps with finite kernels

$$K_{2i-k}(o_F^S) \otimes \mathbb{Z}_p \rightarrow K_{2i-k}^{\text{ét}}(o_F^S)$$

(cp. [8], [15]), which conjecturally are isomorphisms (recall that for $p = 2$, F contains $\sqrt{-1}$). Borel's results (cp. [4]) then imply that the groups $K_{2i-2}^{\text{ét}}(o_F^S)$ are finite and that the groups $K_{2i-1}^{\text{ét}}(o_F^S)$ are finitely generated of rank $r_1 + r_2$ if i is odd, and of rank r_2 if i is even, where as usual r_1 and r_2 denote the number of real and pairs of conjugate complex embeddings of F , respectively. We note that the odd étale K -theory groups are independent of the choice of the set S of primes: If $H^*(F, \quad)$ denotes the absolute Galois cohomology groups of F then, in fact, the localization sequence in étale cohomology (cp. [36, Proposition 1]) implies that

$$H_{\text{ét}}^1(o_F^S, \mathbb{Z}_p(i)) \cong H^1(F, \mathbb{Z}_p(i)) \quad \forall i \geq 2.$$

We therefore simply denote the odd étale K -theory groups by $K_{2i-1}^{\text{ét}}(F)$. The torsion subgroup of $K_{2i-1}^{\text{ét}}(F)$ is isomorphic to $H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(i))$.

In the special case $i = 2$ more is known: There exist isomorphisms

$$K_2(o_F^S) \otimes \mathbb{Z}_p \rightarrow H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(2))$$

and

$$K_3^{nd}(F) \otimes \mathbb{Z}_p \rightarrow H^1(F, \mathbb{Z}_p(2))$$

without any restrictions on the prime p and the number field F (cp. [36], [22]). Here $K_3^{nd}(F)$ denotes the indecomposable K_3 -group of F , i.e. $K_3(F)$ divided by the image of the Milnor group $K_3^M(F)$, which is 2-torsion.

More recently, Kahn ([18]) and Rognes-Weibel ([32]) have determined the kernel and cokernel of the 2-adic Chern character

$$K_{2i-k}(o_F) \otimes \mathbb{Z}_2 \rightarrow H_{\text{ét}}^k(o_F, \mathbb{Z}_2(i)),$$

which in general are non-trivial.

The following result is due to B. Kahn (cp. [16, Theorem 2.1, Proposition 6.1]):

THEOREM 1.1. — *Let L/F be a Galois extension of number fields with Galois group G and let S be a finite set of primes in F , containing the primes which are ramified in L . There is an exact sequence*

$$0 \rightarrow H^1(G, K_3^{nd}(L)) \rightarrow K_2(o_F^S) \rightarrow K_2(o_L^S)^G \rightarrow H^2(G, K_3^{nd}(L)) \rightarrow 0.$$

The following étale analog is well-known (cp. [1], [5]), however we include a proof, since the sources are not easily accessible:

THEOREM 1.2. — *Let p be an odd prime and let L/F be a Galois p -extension of number fields with Galois group G . Let S be a finite set of primes, containing the primes above p and the primes which ramify in L . Then for $i \geq 2$ there is an exact sequence*

$$0 \rightarrow H^1(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow 0.$$

Proof. — Consider the Hochschild-Serre spectral sequence

$$E_2^{p,q} = H^p(G, H_{\text{ét}}^q(o_L^S, \mathbb{Z}_p(i))) \Rightarrow H_{\text{ét}}^{p+q}(o_F^S, \mathbb{Z}_p(i)).$$

Since $H_{\text{ét}}^0(o_L^S, \mathbb{Z}_p(i)) = 0$ ([36, Lemme 7]), all terms E_2^{p0} vanish. On the other hand, $cd_p(G_S(F)) = 2$ and hence $H_{\text{ét}}^q(o_F^S, \mathbb{Z}_p(i)) = H_{\text{ét}}^q(o_L^S, \mathbb{Z}_p(i)) = 0$ for all $q \geq 3$. The spectral sequence therefore yields

$$E^1 \cong E_{\infty}^{01} \cong E_2^{01},$$

i.e. an isomorphism

$$K_{2i-1}^{\text{ét}}(F) \cong K_{2i-1}^{\text{ét}}(L)^G,$$

as well as the exact sequence

$$0 \rightarrow E_2^{11} \rightarrow E^2 \rightarrow E_2^{02} \rightarrow E_2^{21} \rightarrow 0,$$

which is precisely the claim. □

As a by-product, we obtained the fact that the odd étale K -groups satisfy Galois descent. Note that this, in the form

$$H^1(F, \mathbb{Z}_p(i)) \cong H^1(L, \mathbb{Z}_p(i))^G,$$

remains true for $p = 2$.

On the other hand we have Galois co-descent for the even étale K -theory groups $K_{2i-2}^{\text{ét}}(o_F^S)$:

PROPOSITION 1.3. — *Let p be odd and L/F a Galois p -extension of number fields with Galois-group G . If S contains the primes above p and the ramified primes of L/F , then*

$$K_{2i-2}^{\text{ét}}(o_L^S)_G \cong K_{2i-2}^{\text{ét}}(o_F^S).$$

Proof. — This follows as above using the Tate spectral sequence (cp. [35], [17], [26]). \square

Now $K_{2i-2}^{\text{ét}}(o_F^S)$ is finite, and hence this proposition together with Theorem 1.2 yields

COROLLARY 1.4. — *For any cyclic p -extension L/F (p odd) of number fields with Galois group G , the quotient*

$$\frac{|H^2(G, K_{2i-1}^{\text{ét}}(L))|}{|H^1(G, K_{2i-1}^{\text{ét}}(L))|}$$

is trivial.

Remark 1.5. — The previous results depended only upon two facts:

$$cd_p(G_S(F)) \leq 2 \quad \text{and} \quad H^0(G_S(F), \mathbb{Z}_p(i)) = 0.$$

Therefore analogous results also hold for example for finite extensions of local fields, thus, for a Galois p -extension E/F of local fields with Galois group G , we have an exact sequence

$$\begin{aligned} 0 \rightarrow H^1(G, H^1(E, \mathbb{Z}_p(i))) &\rightarrow H^2(F, \mathbb{Z}_p(i)) \\ &\rightarrow H^2(E, \mathbb{Z}_p(i))^G \rightarrow H^2(G, H^1(E, \mathbb{Z}_p(i))) \rightarrow 0, \end{aligned}$$

and an isomorphism

$$H^2(E, \mathbb{Z}_p(i))_G \cong H^2(F, \mathbb{Z}_p(i)).$$

Again, in the case $i = 2$, more information on co-descent is available, i.e. no restrictions on F are necessary to also include results concerning the 2-primary part.

The following result is easily obtained from [16, Théorème 5.1] :

PROPOSITION 1.6. — *Let L/F be a finite Galois extension of number fields with Galois group G and let S be a finite set of primes in F , containing the primes which ramify in L/F . Then, there is a short exact sequence*

$$0 \rightarrow K_2(o_L^S)_G \rightarrow K_2(o_F^S) \rightarrow \bigoplus_{v \in S_\infty^r} \mu_2 \rightarrow 0,$$

where S_∞^r consists of the real infinite primes in F which ramify in L .

Finally, we recall the definition of the higher étale wild kernels (cp. [3], [20], [29]):

$$WK_{2i-2}^{\text{ét}}(F) = \ker (H_{\text{ét}}^2(o_F^S, \mathbb{Z}_p(i)) \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i))).$$

The definition is independent of the choice of the set S containing S_p , and part of the Poitou-Tate duality sequence yields the exact sequence

$$\begin{aligned} 0 \rightarrow WK_{2i-2}^{\text{ét}}(F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \\ \rightarrow \bigoplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* \rightarrow 0, \end{aligned}$$

where $*$ indicates the Pontrjagin dual. Moreover by local duality

$$H^2(F_v, \mathbb{Z}_p(i)) \cong H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*.$$

The groups $H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$ and $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$ are finite cyclic for $i \neq 1$.

The étale wild kernels are the analogs of the p -part of the classical wild kernel $WK_2(F)$ - defined for any number field F - which occurs in Moore's exact sequence of power norm symbols (cp. [23]):

$$0 \rightarrow WK_2(F) \rightarrow K_2(F) \rightarrow \bigoplus_v \mu(F_v) \rightarrow \mu(F) \rightarrow 0,$$

where v runs through all finite primes and all real infinite primes of F , and $\mu(F_v)$ and $\mu(F)$ denote the group of roots of unity of F_v and of F respectively. If S is a finite set of primes in F containing S_p and S_∞ , then we obtain an exact sequence of finite groups

$$0 \rightarrow WK_2(F)\{p\} \rightarrow K_2(o_F^S)\{p\} \rightarrow \bigoplus_{v \in S} \mu(F_v)\{p\} \rightarrow \mu(F)\{p\} \rightarrow 0.$$

Here, for an abelian group A , we use the notation $A\{p\}$ for the p -primary part of A .

2. Galois co-descent for the étale wild kernel.

Let p be an odd prime and let L/F be a cyclic extension of number fields of degree p with Galois group G . In this section, for any local or global field K , we denote by K_∞ the cyclotomic \mathbb{Z}_p -extension of K with finite layers K_n . We also assume that $i \geq 2$. We obtain necessary and sufficient conditions for the étale wild kernel $WK_{2i-2}^{\text{ét}}(L)$ to satisfy Galois co-descent. This approach also yields a genus"-formula comparing the sizes of $WK_{2i-2}^{\text{ét}}(L)^G$ and $WK_{2i-2}^{\text{ét}}(F)$. Let S be the finite set of primes in F , containing the set S_p of all primes above p , as well as all primes which ramify in L . We denote by S_L the set of primes in L above S . Moreover, let $\tilde{\oplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i))$ be the kernel of the surjection

$$\tilde{\oplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*.$$

Then by definition of the étale wild kernel from section 1, we have the following short exact sequence:

$$0 \rightarrow WK_{2i-2}^{\text{ét}}(F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow \tilde{\oplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow 0.$$

By Proposition 1.3 the group $K_{2i-2}^{\text{ét}}(o_L^S)$ satisfies Galois co-descent. The following commutative diagram:

$$\begin{array}{ccccccc} WK_{2i-2}^{\text{ét}}(L)_G & \rightarrow & K_{2i-2}^{\text{ét}}(o_L^S)_G & \rightarrow & \left(\tilde{\oplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)_G & \rightarrow & 0 \\ & & \downarrow \wr & & \downarrow & & \\ 0 & \rightarrow & WK_{2i-2}^{\text{ét}}(F) & \rightarrow & \tilde{\oplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) & \rightarrow & 0 \end{array}$$

then shows that

$$\begin{aligned} \text{coker}(WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)) \\ \cong \ker \left(\left(\tilde{\oplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i))_G \rightarrow \tilde{\oplus}_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) \right) \right) \end{aligned}$$

and

$$\begin{aligned} \ker(WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)) \\ \cong \text{coker} \left(K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left(\tilde{\oplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G \right). \end{aligned}$$

Before we compute the first group we need a preliminary result: Let M/N be a cyclic extension of degree p , p odd, of global or local fields of

characteristic $\neq p$, and let G denote the Galois group of M/N . Furthermore, let N_∞ denote the cyclotomic \mathbb{Z}_p -extension of N .

There are two maps relating the cohomology groups $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$ and $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$, where we assume $k \in \mathbb{Z}$, $k \neq 0$: The natural map $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ and the norm map $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$. The first one induces an isomorphism

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \xrightarrow{\sim} H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))^G,$$

which implies immediately that either both groups are trivial or both groups are non-trivial. Assume now that $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$ is non-trivial. Then the order of $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$ is the maximal power p^m , such that the Galois group $\text{Gal}(N(\mu_{p^m})/N)$ has exponent k . If $M \not\subset N_\infty$, then $[M(\mu_{p^m}) : M] = [N(\mu_{p^m}) : N]$, and therefore G acts trivially on $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$. Therefore, in this case, the natural map is an isomorphism, and hence the norm map has both kernel and cokernel of order p . On the other hand, if $M \subset N_\infty$ and say $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \cong (\mathbb{Z}/p^m\mathbb{Z})(k)$, then $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k)) \cong (\mathbb{Z}/p^{m+1}\mathbb{Z})(k)$, and $-p$ being odd - the norm

$$(\mathbb{Z}/p^{m+1}\mathbb{Z})(k) \rightarrow (\mathbb{Z}/p^m\mathbb{Z})(k)$$

is surjective, and therefore induces an isomorphism

$$H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))_G \xrightarrow{\sim} H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)).$$

We summarize:

LEMMA 2.1. — *Let $k \in \mathbb{Z}$, $k \neq 0$ and $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \neq 0$.*

i) *If $M \not\subset N_\infty$, then G acts trivially on $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$, and hence the natural map*

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \rightarrow H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$$

is an isomorphism, whereas the norm map has kernel and cokernel of order p .

ii) *If $M \subset N_\infty$, then G acts non-trivially on $H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))$ and the norm induces an isomorphism*

$$H^0(M, \mathbb{Q}_p/\mathbb{Z}_p(k))_G \xrightarrow{\sim} H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)).$$

The non-vanishing of $H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k))$ can be characterized as follows: Let $d = [N(\mu_p) : N]$. Then

$$H^0(N, \mathbb{Q}_p/\mathbb{Z}_p(k)) \neq 0 \Leftrightarrow k \equiv 0 \pmod{d}.$$

Let us now study the question of co-descent for $\tilde{\oplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i))$. Using local duality the problem is equivalent to computing the cokernel of the map

$$\tilde{\oplus}_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow \left(\tilde{\oplus}_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)^G.$$

As we noted above, we have isomorphisms

$$H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \cong H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^G$$

and

$$\oplus_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \cong \left(\oplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)^G,$$

hence the above cokernel is isomorphic to the kernel of

$$H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G \rightarrow \left(\oplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)_G.$$

We consider the commutative diagram

$$\begin{array}{ccccc} H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G & \rightarrow & \left(\oplus_{w \in S_L} H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \right)_G & & \\ & & \downarrow & & \\ 0 & \rightarrow & H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) & \rightarrow & \oplus_{v \in S} H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \end{array}$$

induced by the norm maps. It is now clear that the map in the top row is *not* injective, if and only if Galois co-descent fails globally for $H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$, but holds locally for all $w \in S_L$, in which case the kernel is of order p . If $v \in S$ is decomposed in L , then obviously co-descent holds. We now define $T_{L/F}^{(i)}$ to be the set of *undecomposed* primes $v \in S$, such that Galois co-descent fails for $H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$. By Lemma 2.1, an undecomposed prime v lies in $T_{L/F}^{(i)}$ if and only if $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \neq 0$ and $L_w \not\subset F_{v,\infty}$. Let $d = [F(\mu_p) : F]$. Then it is clear from the definition that

$$T_{L/F}^{(i)} = T_{L/F}^{(j)} \quad \text{if } i \equiv j \pmod{d}.$$

Let us analyze this set a little further:

LEMMA 2.2. — i) $T_{L/F}^{(i)}$ contains all tamely ramified primes:

$$S \setminus S_p \subset T_{L/F}^{(i)} \subset S.$$

ii) Assume that $L \not\subset F_\infty$ and $i \equiv 1 \pmod d$. Then, for large n , the set $T_{L_n/F_n}^{(i)}$ contains all undecomposed p -adic primes.

Proof. — Let v be any prime in $S \setminus S_p$. Then F_v contains the p -th roots of unity μ_p , which shows that $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \neq 0$. Moreover, $F_{v,\infty}$ is the maximal unramified pro- p -extension of F_v , which shows that $L_w \not\subset F_{v,\infty}$. This proves i). To prove ii), it suffices to choose n large enough so that no p -adic prime of L_n decomposes in L_{n+1} . \square

We can now formulate our first result in terms of the set $T_{L/F}^{(i)}$.

PROPOSITION 2.3. — *The canonical map*

$$WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$$

induced by the corestriction is surjective precisely in the following situations:

- i) $T_{L/F}^{(i)} \neq \emptyset$;
- ii) $T_{L/F}^{(i)} = \emptyset$ and either $i \not\equiv 1 \pmod d$ or $L \subset F_\infty$.

In the exceptional case where $T_{L/F}^{(i)} = \emptyset$, $i \equiv 1 \pmod d$ and $L \not\subset F_\infty$, the cokernel of $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$ is cyclic of order p .

Remark 2.4. — The possibility of the failure of Galois co-descent in Proposition 2.3 was already observed in [2]. The situations where this happens are easily described: First of all we must have $i \equiv 1 \pmod d$ and $L \not\subset F_\infty$, in which case, for any n , the set $T_{L/F}^{(i)} = \emptyset$ if and only if $T_{L_n/F_n}^{(i)} = \emptyset$. Now, choose n large enough, such that no p -adic prime in L_n decomposes in L_{n+1} . By Lemma 2.2, the set $T_{L_n/F_n}^{(i)} = \emptyset$ precisely when L_n/F_n is unramified and all p -adic primes of F_n split in L_n . Thus, the exceptional case occurs for L/F if and only if the following two conditions hold:

- i) $i \equiv 1 \pmod d$;
- ii) $\varprojlim_n A'_n \neq 0$ and L_∞/F_∞ is an unramified cyclic extension of degree p , in which all primes above p split.

Example 2.5. — Assume that the prime p is irregular and let $F = \mathbb{Q}(\mu_p)$. Then F possesses a cyclic extension L of degree p inside the Hilbert p -class field, which is disjoint from F_∞ . Therefore the canonical map $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$ is not surjective for any $i \geq 2$.

We recall that by Lemma 2.1, the natural map

$$H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$$

is an isomorphism for $v \in T_{L/F}^{(i)}$, and hence the norm map

$$H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$$

can be identified with the p -th power map on $H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))$, which is induced by the p -th power map on $\mathbb{Q}_p/\mathbb{Z}_p(1-i)$. Hence we have an exact sequence for $v \in T_{L/F}^{(i)}$:

$$\begin{aligned} 0 \rightarrow H^0(F_v, \mathbb{Z}/p\mathbb{Z}(1-i)) &\rightarrow H^0(L_w, \mathbb{Q}_p/\mathbb{Z}_p(1-i))_G \\ &\rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i)) \rightarrow H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))/p \rightarrow 0. \end{aligned}$$

The dual sequence then reads:

$$\begin{aligned} 0 \rightarrow {}_pH^2(F_v, \mathbb{Z}_p(i)) &\rightarrow H^2(F_v, \mathbb{Z}_p(i)) \\ &\rightarrow H^2(L_w, \mathbb{Z}_p(i))^G \rightarrow H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \rightarrow 0. \end{aligned}$$

If we compare this sequence with the one mentioned in Remark 1.5, we see that we have an isomorphism

$$H^2(G, H^1(L_w, \mathbb{Z}_p(i))) \cong H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

We make this isomorphism more explicit in Proposition 2.9.

Let us now consider the problem of the surjectivity of the homomorphism

$$K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left(\bigoplus_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G.$$

If $T_{L/F}^{(i)} = \emptyset$, then we assume that either $i \not\equiv 1 \pmod{d}$, or that $L \subset F_\infty$, so that we have Galois co-descent for $(\bigoplus_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)))$, i.e. $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$ is surjective. In particular this implies that

the map β in the following commutative diagram is surjective:

$$\begin{array}{ccccccc}
 & & & 0 & & 0 & \\
 & & & \downarrow & & \downarrow & \\
 & & \oplus_{v \in T_{L/F}^{(i)}} ({}_p H^2(F_v, \mathbb{Z}_p(i))) & \rightarrow & & B & \\
 & & \downarrow & & & \downarrow & \\
 K_{2i-2}^{\text{ét}}(o_F^S) & \rightarrow & \oplus_{v \in S} H^2(F_v, \mathbb{Z}_p(i)) & \rightarrow & H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 K_{2i-2}^{\text{ét}}(o_L^S)^G & \xrightarrow{\alpha} & \oplus_{v \in S} (\oplus_{w|v} H^2(L_w, \mathbb{Z}_p(i)))^G & \xrightarrow{\beta} & (H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*)^G & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 H^2(G, K_{2i-1}^{\text{ét}}(L)) & \xrightarrow{\alpha'} & \oplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) & \xrightarrow{\beta'} & C & \rightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \\
 0 & & 0 & & 0 & &
 \end{array}$$

Here we define B and C to be the kernel and cokernel of the homomorphism

$$H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^* \rightarrow (H^0(L, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*)^G$$

respectively, hence both B and C are either trivial or of order p . More precisely, by Lemma 2.1, they are non-trivial if and only if $i \equiv 1 \pmod d$ and $L \not\subset F_\infty$. In this diagram the columns are exact and also the rows, except possibly at $\oplus_{v \in S} (\oplus_{w|v} H^2(L_w, \mathbb{Z}_p(i)))^G$ and $\oplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$. Note that

$$\ker \beta / \text{im } \alpha = \text{coker} \left(K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left(\tilde{\oplus}_{w \in S_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G \right)$$

is precisely the cokernel we want to study.

An easy diagram chase shows:

LEMMA 2.6. — *The surjection*

$$\ker \beta / \text{im } \alpha \rightarrow \ker \beta' / \text{im } \alpha'$$

is an isomorphism if the map

$$\oplus_{v \in T_{L/F}^{(i)}} {}_p H^2(F_v, \mathbb{Z}_p(i)) \rightarrow B$$

is surjective (otherwise, its kernel is of order at most p).

In particular, this settles the case $T_{L/F}^{(i)} = \emptyset$:

COROLLARY 2.7. — *If $T_{L/F}^{(i)} = \emptyset$, then $WK_{2i-2}^{\acute{e}t}(L)_G \cong WK_{2i-2}^{\acute{e}t}(F)$ if and only if either $i \not\equiv 1 \pmod d$ or $L \subset F_\infty$.*

Thus, for example, in the cyclotomic \mathbb{Z}_p -extension, the wild kernels satisfy Galois codescent, whereas, in general, the p -class groups do not.

Let us assume now that $T_{L/F}^{(i)} \neq \emptyset$. Then L is disjoint from F_∞ , and therefore the kernel B is non-trivial if and only if $i \equiv 1 \pmod d$. In this case B is clearly isomorphic to ${}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$, and we can characterize the surjectivity of the map $\bigoplus_{v \in T_{L/F}^{(i)}} {}_pH^2(F_v, \mathbb{Z}_p(i)) \rightarrow {}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$ as follows:

LEMMA 2.8. — *If $T_{L/F}^{(i)} \neq \emptyset$ and $i \equiv 1 \pmod d$, then*

$$\bigoplus_{v \in T_{L/F}^{(i)}} {}_pH^2(F_v, \mathbb{Z}_p(i)) \rightarrow {}_pH^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))^*$$

is surjective if and only if at least one of the primes in $T_{L/F}^{(i)}$ is undecomposed in the first layer F_1 of the cyclotomic \mathbb{Z}_p -extension F_∞/F .

Proof. — It is clear that the map in question is surjective if and only if $|H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))| = |H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))|$ for at least one prime $v \in T_{L/F}^{(i)}$. On the other hand $|H^0(F_v, \mathbb{Q}_p/\mathbb{Z}_p(1-i))| > |H^0(F, \mathbb{Q}_p/\mathbb{Z}_p(1-i))|$ if and only if v splits in F_1 . \square

We note that any finite place v in F is finitely decomposed in F_∞ . Therefore, if n is large enough, all the primes in $T_{L_n/F_n}^{(i)}$ will be undecomposed in F_{n+1} . If $i \equiv 1 \pmod d$, we will assume that $T_{L/F}^{(i)}$ contains at least one prime, which is undecomposed in F_1 . We are then left with the determination of $|\ker \beta' / \text{im } \alpha'|$.

The order of $\ker \beta'$ is clearly equal to

$$|\ker \beta'| = \frac{p^{|T_{L/F}^{(i)}|}}{|H^0(F, \mathbb{Z}/p\mathbb{Z}(1-i))|}.$$

To determine the order of $\text{im } \alpha'$ we construct a canonical homomorphism

$$H^2(G, H^1(L, \mathbb{Z}_p(i))) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)),$$

which gives rise to a commutative diagram

$$\begin{array}{ccc} H^2(G, H^1(L, \mathbb{Z}_p(i))) & \rightarrow & \bigoplus_{v \in T_{L/F}^{(i)}} H^2(G, H^1(L_w, \mathbb{Z}_p(i))) \\ \downarrow & & \downarrow \\ H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) & \rightarrow & \bigoplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \end{array}$$

and will factor the map α' .

PROPOSITION 2.9. — *Let M/N be a cyclic extension of degree p of local or global fields of characteristic $\neq p$, where p is an arbitrary prime. Let $G = \text{Gal}(M/N)$. There is a canonical map*

$$H^2(G, H^1(M, \mathbb{Z}_p(i))) \rightarrow H^2(N, \mathbb{Z}/p\mathbb{Z}(i))$$

with kernel isomorphic to

$$(H^1(N, \mathbb{Z}_p(i))/p \cap N_{M/N}(H^1(M, \mathbb{Z}/p\mathbb{Z}(i)))) / N_{M/N}(H^1(M, \mathbb{Z}_p(i))/p).$$

Proof. — We first note that the exact sequence

$$0 \rightarrow \mathbb{Z}_p(i) \rightarrow \mathbb{Z}_p(i) \rightarrow \mathbb{Z}/p\mathbb{Z}(i) \rightarrow 0$$

induces an injection

$$H^1(N, \mathbb{Z}_p(i))/p \hookrightarrow H^1(N, \mathbb{Z}/p\mathbb{Z}(i)),$$

and therefore we can view $H^1(N, \mathbb{Z}_p(i))/p$ as a subgroup of $H^1(N, \mathbb{Z}/p\mathbb{Z}(i))$, and similarly for M . Since G is cyclic, we have a canonical isomorphism

$$H^2(G, H^1(M, \mathbb{Z}_p(i))) \cong \hat{H}^0(G, H^1(M, \mathbb{Z}_p(i))) \otimes H^2(G, \mathbb{Z}_p)$$

given by the cup-product. Here \hat{H} denotes Tate-cohomology. Now the group $H^1(M, \mathbb{Z}_p(i))$ satisfies Galois descent as we have seen in the proof of Theorem 1.2, even in the case $p = 2$. Hence

$$\begin{aligned} \hat{H}^0(G, H^1(M, \mathbb{Z}_p(i))) &\cong H^1(N, \mathbb{Z}_p(i))/N_{M/N}(H^1(M, \mathbb{Z}_p(i))) \\ &\cong (H^1(N, \mathbb{Z}_p(i))/p)/N_{M/N}(H^1(M, \mathbb{Z}_p(i))/p). \end{aligned}$$

Now $H^2(G, \mathbb{Z}_p) \cong H^1(G, \mathbb{Q}_p/\mathbb{Z}_p) \cong H^1(G, \mathbb{Z}/p\mathbb{Z})$, since G is cyclic of order p , and we have the cup-product

$$H^1(N, \mathbb{Z}/p\mathbb{Z}(i)) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow H^2(N, \mathbb{Z}/p\mathbb{Z}(i))$$

whose kernel is equal to

$$N_{M/N}(H^1(M, \mathbb{Z}/p\mathbb{Z}(i))) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}).$$

To see this, we may assume without loss of generality that N contains μ_p , in which case this product is just a twisted version of the standard cup-product into the Brauer group of F . Restricting the last morphism to the subgroup $H^1(N, \mathbb{Z}_p(i))/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z})$ yields the result. \square

Let us return now to the situation considered before: p is odd and L/F is a cyclic extension of number fields of degree p with Galois group G . We are going to compare the global and local maps constructed in Proposition 2.9. Let $C_v := \text{coker}(H^2(F_v, \mathbb{Z}_p(i)) \rightarrow (\oplus_{w|v} H^2(L_w, \mathbb{Z}_p(i))))^G$. Then by definition

$$C_v = 0 \Leftrightarrow v \notin T_{L/F}^{(i)}$$

and $C_v = H^2(G, H^1(L_w, \mathbb{Z}_p(i))) \cong H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$ if $v \in T_{L/F}^{(i)}$. The following commutative diagram:

$$\begin{array}{ccc} H^2(G, K_{2i-1}^{\text{ét}}(L)) & \rightarrow & \prod_v C_v \\ \downarrow & & \downarrow \\ 0 \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) & \rightarrow & \prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \end{array}$$

then shows that the image of $H^2(G, K_{2i-1}^{\text{ét}}(L))$ in $\prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$ is in fact contained in $\oplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$. The injectivity of the localization map $H^2(F, \mathbb{Z}/p\mathbb{Z}(i)) \rightarrow \prod_v H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i))$ is proved for instance in [33, Section 2, Lemma 7]. We can now conclude:

LEMMA 2.10. — *The canonical map $H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i))$ induces the map*

$$\alpha' : H^2(G, K_{2i-1}^{\text{ét}}(L)) \rightarrow \bigoplus_{v \in T_{L/F}^{(i)}} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

Furthermore:

$$\ker \alpha' \cong [K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i)))]/N_{L/F}(K_{2i-1}^{\text{ét}}(L)/p)$$

and

$$|\text{im } \alpha'| = [K_{2i-1}^{\text{ét}}(F)/p : K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i)))].$$

Combining this with the calculation of $\ker \beta'$ provides the main result of this section, a “genus formula” for the étale wild kernels for cyclic extensions of degree p :

THEOREM 2.11. — *Let L/F be a cyclic extension of number fields of degree p , p odd, with Galois group G . Assume that $T_{L/F}^{(i)} \neq \emptyset$ and that some $v \in T_{L/F}^{(i)}$ is undecomposed in F_1 if $i \equiv 1 \pmod{d}$. Then the natural map $WK_{2i-2}^{\text{ét}}(L)_G \rightarrow WK_{2i-2}^{\text{ét}}(F)$ is surjective and its kernel has order*

$$\frac{p^{|T_{L/F}^{(i)}|}}{|H^0(F, \mathbb{Z}/p\mathbb{Z}(1-i))| \cdot [K_{2i-1}^{\text{ét}}(F)/p : K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i)))]}.$$

Remark 2.12. — Let us consider the special case that $i \equiv 1 \pmod d$, and that all p -adic primes of L are undecomposed in L_∞ . Then $T_{L/F}^{(i)}$ contains all undecomposed p -adic primes as well as all tamely ramified primes. Hence we can rewrite the formula in the preceding theorem as

$$\frac{|WK_{2i-2}^{\text{ét}}(L)^G|}{|WK_{2i-2}^{\text{ét}}(F)|} = \frac{\prod_{\mathfrak{p}|p} d_{\mathfrak{p}}(L/F) \cdot \prod_{\mathfrak{p} \nmid p} e_{\mathfrak{p}}(L/F)}{[L : F] \cdot [K_{2i-1}^{\text{ét}}(F)/p : K_{2i-1}^{\text{ét}}(F)/p \cap N_{L/F}(H^1(L, \mathbb{Z}/p\mathbb{Z}(i)))]}.$$

Here $d_{\mathfrak{p}}(L/F)$ and $e_{\mathfrak{p}}(L/F)$ denote the local degrees and the ramification indices, respectively. If we replace the étale K -theory index by the index $[U'_F : U'_F \cap N_{L/F}(L^*)]$ for the p -units U'_F , then this becomes precisely the genus formula for the p -class groups. We will return to this peculiarity later on.

Example 2.13. — 1) Take $p = i = 3$ and $F = \mathbb{Q}$ the field of rationals. Since $K_4(\mathbb{Z})$ is trivial (cp. [30], [31], [32]), so is $WK_4^{\text{ét}}(\mathbb{Z})$. We are going to give an infinite family of cubic fields L such that $WK_4^{\text{ét}}(L) = 0$. For this, consider the set of primes (see also [37, Remarks page 182])

$$\begin{aligned} P &= \{ \ell ; \ell \equiv 1 \pmod 3 \text{ and } 3^{\frac{\ell-1}{3}} \equiv 1 \pmod \ell \} \\ &= \{ \ell ; \ell \equiv 1 \pmod 3 \text{ and } \sqrt[3]{3} \in \mathbb{Z}/\ell\mathbb{Z} \}. \end{aligned}$$

Obviously, by Hensel's lemma, we have

$$\begin{aligned} P &= \{ \ell ; \mu_3 \subset \mathbb{Q}_\ell \text{ and } \sqrt[3]{3} \in \mathbb{Q}_\ell \} \\ &= \{ \ell ; \ell \text{ splits in } \mathbb{Q}(\mu_3, \sqrt[3]{3}) \}. \end{aligned}$$

We are interested in the infinite family (of density $\frac{1}{6} - \frac{1}{18}$) of the primes ℓ in P which do not split in $\mathbb{Q}(\mu_9, \sqrt[3]{3})$. Now let L be the cubic extension of \mathbb{Q} contained in $\mathbb{Q}(\mu_\ell)$ and $G = G(L/\mathbb{Q})$. Then $T_{L/\mathbb{Q}}^{(3)} = \{ \ell \}$ and, according to Theorem 2.11, the wild kernel $WK_4^{\text{ét}}(L) = 0$.

2) In this example, we are going to determine the Galois p -extensions M of \mathbb{Q} , for which the p -part of the classical wild kernel is trivial. The two cases $p = 3$ and $p \geq 5$ are completely different due to the fact that in the latter case the considered fields do not contain the maximal real subfield $\mathbb{Q}(\mu_p)^+$ of the cyclotomic field $\mathbb{Q}(\mu_p)$. For $p \geq 5$, the Galois p -extensions M of \mathbb{Q} for which $WK_2(M)\{p\} = 0$ are exactly the layers \mathbb{Q}_n of the \mathbb{Z}_p -extension $\mathbb{Q}_\infty/\mathbb{Q}$. Indeed, since \mathbb{Q}_∞ is the maximal p -ramified

pro- p -extension of \mathbb{Q} , we see that the maximal p -ramified extension of \mathbb{Q} contained in M is a layer \mathbb{Q}_n of \mathbb{Q}_∞ . If $M = \mathbb{Q}_n$ then, by Corollary 2.7, $WK_2(M)\{p\} = 0$. Otherwise, choose a tower of degree p cyclic extensions

$$\mathbb{Q}_n = M_0 \subset M_1 \subset \cdots \subset M_r = M.$$

Since $T_{M_1/\mathbb{Q}_n}^{(2)} \neq \emptyset$, we have $WK_2(M_1)\{p\} \neq 0$ (Theorem 2.11). Moreover, for each intermediate extension $M_{\nu+1}/M_\nu$, the canonical map

$$WK_2(M_{\nu+1})\{p\}_{G(M_{\nu+1}/M_\nu)} \rightarrow WK_2(M_\nu)\{p\}$$

is surjective (Proposition 2.3), which shows that $WK_2(M)\{p\} \neq 0$. A number field M for which $H_{\text{ét}}^2(o_M, \mathbb{Z}/p\mathbb{Z}) = 0$, is called p -rational [25], [24]. Moreover, if M contains $\mathbb{Q}(\mu_p)^+$, then it is also called p -regular [10]. The p -regularity of M is simply expressed by the triviality of the p -part of the tame kernel $K_2(o_M)$. As the \mathbb{Q}_n are not the only p -extensions of \mathbb{Q} which are p -rational, we notice that, for $p \geq 5$, among the p -extensions M of \mathbb{Q} , some are p -rational but have a non-trivial $WK_2(M)\{p\}$. Now take $p = 3$. Then by Moore's exact sequence $WK_2(M)\{3\} = 0$ if and only if the tame kernel $K_2(o_M)$ has no 3-torsion. Hence the number field M is 3-rational or 3-regular. In this case, $WK_2(M)\{3\} = 0$ if and only if outside the prime 3, the 3-extension M/\mathbb{Q} is at most ramified at one prime l , which is inert in the \mathbb{Z}_3 -extension $\mathbb{Q}_\infty/\mathbb{Q}$ (cp. [10], [25], [24]).

Let us have a closer look at the cup-product

$$K_{2i-1}^{\text{ét}}(F)/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow H^2(F, \mathbb{Z}/p\mathbb{Z}(i)),$$

which occurred in the proof of Proposition 2.9, and describe the maps α' and β' . Let $E = F(\mu_p)$ and $\Delta = \text{Gal}(E/F)$. Over E we have

$$H^2(E, \mathbb{Z}/p\mathbb{Z}(i)) \cong H^2(E, \mathbb{Z}/p\mathbb{Z}(1))(i-1) \cong {}_p\text{Br}(E)(i-1),$$

where $\text{Br}(E)$ stands for the Brauer group of E . The set $T_{LE/E}^{(i)}$ is independent of i , and we simply denote it by $T_{LE/E}$. Obviously, every prime in E which lies above a prime in $T_{L/F}^{(i)}$ belongs to $T_{LE/E}$. Conversely, let v_E be a prime in $T_{LE/E}$, and let v denote the prime of F below v_E . Then $v \in T_{L/F}^{(i)}$ if and only if $H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)) \neq 0$. Let $\text{Br}^T(E)$ denote the subgroup of $\text{Br}(E)$ of all isomorphism classes of central simple E -algebras split outside $T_{LE/E}$. It is now easy to see that

$$\ker \beta' \cong ({}_p\text{Br}^T(E)(i-1))^\Delta \cong ({}_p\text{Br}^T(E))^{[1-i]},$$

where ω denotes the Teichmüller character of Δ , and $A^{[j]}$ denotes the j -th eigenspace of ω acting on a Δ -module A .

Since $K_{2i-1}^{\text{ét}}(E)/p$ is contained in $H^1(E, \mathbb{Z}/p\mathbb{Z}(i)) \cong (E^*/E^{*p})(i-1)$, there exists a subgroup $D_E^{(i)}$ of E^* containing E^{*p} - the analog of the Tate-kernel in case $i = 2$ - such that

$$K_{2i-1}^{\text{ét}}(E)/p \cong (D_E^{(i)}/E^{*p})(i-1),$$

and hence

$$K_{2i-1}^{\text{ét}}(F)/p \cong ((D_E^{(i)}/E^{*p})(i-1))^\Delta \cong (D_E^{(i)}/E^{*p})^{[1-i]}.$$

Note that for $i \equiv 1 \pmod d$ we can similarly define $D_F^{(i)}$, and clearly in this case $(D_E^{(i)}/p)^\Delta \cong D_F^{(i)}/p$. The considerations after Proposition 2.9 now show that the cup-product over E is explicitly given as

$$(D_E^{(i)}/E^{*p})(i-1) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E)(i-1),$$

where

$$D_E^{(i)}/E^{*p} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E),$$

is the classical cup-product $x \otimes \chi \mapsto (\chi, x)$ (cp. [34, Chap. XIV]). Descending to F , we see that the image of α' is precisely the image of the cup-product

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}.$$

We can therefore reformulate the condition for Galois co-descent of the wild kernel as follows:

THEOREM 2.14. — *Let L/F be a cyclic extension of number fields of degree p , p odd, with Galois group G . Assume that $T_{L/F}^{(i)} \neq \emptyset$ and that some $v \in T_{L/F}^{(i)}$ is undecomposed in F_1 if $i \equiv 1 \pmod d$. Then the étale wild kernel $WK_{2i-2}^{\text{ét}}(L)$ satisfies Galois co-descent if and only if the cup-product*

$$(D_E^{(i)}/E^{*p})^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow ({}_p\text{Br}^T(E))^{[1-i]}$$

is surjective.

In the special case where $i \equiv 1 \pmod d$, the condition can be reformulated as: The cup-product

$$D_F^{(i)}/F^{*p} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^{T_{L/F}^{(i)}}(F)$$

is surjective. Moreover, in this special case the genus-formula simplifies to:

$$\frac{|WK_{2i-2}^{\text{ét}}(L)^G|}{|WK_{2i-2}^{\text{ét}}(F)|} = \frac{p^{|T_{L/F}^{(i)}|-1}}{[D_F^{(i)} : D_F^{(i)} \cap N_{L/F}(L^*)]}.$$

Since $i \geq 2$, we can reinterpret the cup-product as a Galois symbol: Let $EL = E(\sqrt[i]{\delta})$. Then

$$(D_E^{(i)}/E^{*p})(i-1) \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) = (D_E^{(i)}/E^{*p}) \otimes H^1(G, \mu_p)(i-2),$$

and therefore the cup-product over E is the $(i-2)$ -th twist of the Galois symbol

$$D_E^{(i)}/E^{*p} \otimes H^1(G, \mu_p) \rightarrow \mu_p \otimes {}_p\text{Br}^T(E).$$

The Kummer radical $H^1(G, \mu_p)$ is generated by δ , and the map is given by (cp. [23])

$$x \otimes \delta \mapsto \zeta_p \otimes \left[\left(\frac{x, \delta}{E} \right) \right],$$

where ζ_p is a primitive p th root of unity and $\left[\left(\frac{x, \delta}{E} \right) \right]$ denotes the isomorphism class of the cyclic algebra $(\frac{x, \delta}{E})$, with generators u, v and relations: $u^p = x, v^p = \delta, vu = \zeta_p uv$.

In general, not much is known about the higher ‘‘Tate-kernels’’ $D_E^{(i)}$ defined by $K_{2i-1}^{\text{ét}}(E)/p \cong (D_E^{(i)}/E^{*p})$. However, for n large, the groups $D_{E_n}^{(i)}/E_n^{*p}$ can be characterized in terms of local conditions, if we assume the Gross conjecture, which we describe next: Let F be any number field. For a finite prime v in F let $\hat{F}_v = \varprojlim F_v^*/F_v^{*p^k}$, let $\hat{U}_v = \mathbb{Z}_p \otimes U_v$ and let $\mathcal{N}_v \subset \hat{F}_v$ denote the group of norms from the cyclotomic \mathbb{Z}_p -extension of F_v . Thus $\mathcal{N}_v = \hat{U}_v$ if $v \notin S_p$, and for $v \in S_p$ we have the following characterization:

$$a \in \mathcal{N}_v \Leftrightarrow \log_p(N_{F_v/\mathbb{Q}_p}(a)) = 0,$$

where \log_p denotes the p -adic logarithm normalized by $\log_p(p) = 0$ (cp. [9], [19]). There is a natural homomorphism

$$g_F : \mathbb{Z}_p \otimes U'_F \rightarrow \bigoplus_{v|p} \hat{F}_v^*/\mathcal{N}_v$$

and the Gross kernel $GK(F) := \ker g_F$ has \mathbb{Z}_p -rank $r_1(F) + r_2(F) + \delta_F$, where $\delta_F \geq 0$ is the Gross defect. $GK(F)$ is therefore characterized by the

following local conditions:

$$\epsilon \in GK(F) \Leftrightarrow \epsilon \in \mathbb{Z}_p \otimes U'_F \quad \text{and} \quad \log_p(N_{F_v/\mathbb{Q}_p}(\epsilon)) = 0 \quad \forall v \in S_p.$$

The Gross Conjecture postulates that $\delta_F = 0$, which is true for instance for abelian fields F . Let - as before - $E = F(\mu_p)$ and $\Delta = \text{Gal}(E/F)$. The following result was proved for $i = 2$ in [19, Theorem 2.5]. The method was extended to higher étale K -theory in [5].

THEOREM 2.15. — *For n large there is an exact sequence*

$$0 \rightarrow K_{2i-1}^{\text{ét}}(E_n)/p \rightarrow (\ker g_{E_n}/p)(i-1) \rightarrow (\mathbb{Z}/p\mathbb{Z})^{\delta_n} \rightarrow 0,$$

where δ_n denotes the Gross defect for the field E_n .

COROLLARY 2.16. — *Assume that the Gross Conjecture holds for E_n , n large. Then*

$$D_{E_n}^{(i)}/E_n^{*p} \cong GK(E_n)/p \quad \text{for } n \text{ large.}$$

*In particular, for n large, the groups $D_{E_n}^{(i)}/E_n^{*p}$ are independent of i .*

So far in this section we have ignored the prime 2. Let us briefly discuss the case $p = 2$ in the classical situation $i = 2$, where special attention has to be paid to real infinite primes in F . Let $L = F(\sqrt{\delta})$ be a quadratic extension of number fields with Galois group G . Denote by $T_{L/F}$ the set of finite primes in F which consists of all ramified non-dyadic primes and of all undecomposed dyadic primes v of F , for which either $\mu(L_w)\{2\} = \mu(F_v)\{2\}$ or L_w is not contained in the cyclotomic \mathbb{Z}_2 -extension of F_v , where w is the prime above v in L . Also, denote by D_F the subgroup of F^* of all elements x , such that $\{-1, x\} = 1$ in $K_2(F)$. This is the classical Tate-kernel. Then the following results can be proved along the same lines as for odd p :

PROPOSITION 2.17. — *The canonical map $WK_2(L)\{2\}_G \rightarrow WK_2(F)\{2\}$ is surjective precisely in the following situations, and has cokernel of order 2 otherwise:*

- i) $|\mu(L)\{2\}| > |\mu(F)\{2\}|$ and $L \subset F_\infty$.
- ii) $|\mu(L)\{2\}| > |\mu(F)\{2\}|$, $L \not\subset F_\infty$ and $\mu(L_w)\{2\} = \mu(L)\{2\}$ for some $w \mid v$, $v \in T_{L/F}$.
- iii) $\mu(L)\{2\} = \mu(F)\{2\}$ and $\mu(L_w)\{2\} = \mu(F_v)\{2\}$ for some $v \in T_{L/F}$.

We note in particular that the map $WK_2(L)\{2\}_G \rightarrow WK_2(F)\{2\}$ is always surjective if a non-dyadic prime of F is ramified in L .

THEOREM 2.18. — *Let L/F be a relative quadratic extension with Galois group G .*

a) *If $|\mu(L)\{2\}| > |\mu(F)\{2\}|$ and $L \subset F_\infty$, then $WK_2(L)\{2\}_G \cong WK_2(F)\{2\}$.*

b) *If either $|\mu(L)\{2\}| = |\mu(F)\{2\}|$ or $L \not\subset F_\infty$, and if either a real infinite prime of F ramifies in L or if $|\mu(F_v)\{2\}| = |\mu(F)\{2\}|$ for some prime $v \in T_{L/F}$, then*

$$\frac{|WK_2(L)\{2\}_G|}{|WK_2(F)\{2\}|} = \frac{2^{|T_{L/F}|-1}}{[D_F : D_F \cap N_{L/F}(L^*)]}.$$

In [6], Browkin and Schinzel computed the 2-rank of the wild kernel of a quadratic number field and obtained a complete list of quadratic number fields with trivial 2-primary wild kernels. A combination of their results with the genus formula in Theorem 2.18 and methods of [12] yield a complete list of bi-quadratic fields with trivial 2-primary wild kernels. Details will appear elsewhere.

3. Capitulation kernels.

Let p be an odd prime and let F_∞/F be an arbitrary \mathbb{Z}_p -extension of F with finite layers F_n . Let $A'_n = A'(F_n)$ denote the p -part of the p -class group of F_n and $A'_\infty = \varinjlim A'_n$. We define the capitulation kernel $\text{Cap}_0(F_\infty/F_n) = \ker(A'_n \rightarrow A'_\infty)$. As is well-known (cp. [13]) these kernels stabilize, more precisely, the norm $N_{F_m/F_n} : \text{Cap}_0(F_\infty/F_m) \rightarrow \text{Cap}_0(F_\infty/F_n)$ is an isomorphism for n large and $m \geq n$ and we set $\text{Cap}_0(F_\infty) = \varprojlim \text{Cap}_0(F_\infty/F_n)$.

Remark 3.1. — Let A_n denote the p -part of the (usual) class group of F_n and let $A_\infty = \varinjlim A_n$. Once again, the capitulation kernels $\ker(A_n \rightarrow A_\infty)$ stabilize, and we can consider $\tilde{\text{Cap}}(F_\infty) = \varprojlim \ker(A_n \rightarrow A_\infty)$. We note that in general $\tilde{\text{Cap}}(F_\infty) \neq \text{Cap}_0(F_\infty)$. Indeed, from the explicit examples elaborated by Greenberg in [11, section 8], it is not hard to see

that if we take $F = \mathbb{Q}(\sqrt{142})$, $p = 3$, and let F_∞ be the cyclotomic \mathbb{Z}_3 -extension of F , then $\text{Cap}(F_\infty) \cong \mathbb{Z}/3\mathbb{Z}$, whereas $\text{Cap}_0(F_\infty)$ is trivial. From a K -theoretic point of view, $\text{Cap}_0(F_\infty)$ is the appropriate object to study.

We want to consider the analog of these kernels in higher étale K -theory.

Let again S be a finite set of primes in F containing S_p . To simplify notation, we put

$$\tilde{K}_{2i-1}^{\text{ét}}(F_\infty) = \varinjlim K_{2i-1}^{\text{ét}}(F_n)$$

and

$$\tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S) = \varinjlim K_{2i-2}^{\text{ét}}(o_n^S),$$

where o_n^S denotes the ring of S -integers in F_n , i.e. the integral closure of o_F^S in F_n . We now define for $i \geq 2$:

$$\text{Cap}_{i-1}(F_\infty/F_n) = \ker(K_{2i-2}^{\text{ét}}(o_n^S) \rightarrow \tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S)).$$

The following result implies in particular that the definition is independent of the choice of the finite set S containing S_p . Let Γ_n denote the Galois group of F_∞/F_n with the usual convention $\Gamma_0 = \Gamma$.

PROPOSITION 3.2. — *For $i \geq 2$ there is a short exact sequence*

$$0 \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow K_{2i-2}^{\text{ét}}(o_n^S) \rightarrow \tilde{K}_{2i-2}^{\text{ét}}(o_\infty^S)^{\Gamma_n} \rightarrow 0.$$

Proof. — For each $m \geq n$, Theorem 1.2 gives an exact sequence

$$\begin{aligned} 0 \rightarrow H^1(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m)) &\rightarrow K_{2i-2}^{\text{ét}}(o_n^S) \\ &\rightarrow K_{2i-2}^{\text{ét}}(o_m^S)^{\Gamma_n/\Gamma_m} \rightarrow H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m)) \rightarrow 0. \end{aligned}$$

From Corollary 1.4 we see that the orders of the groups $H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m))$ are bounded independently of m by the order of $K_{2i-2}^{\text{ét}}(o_n^S)$, and therefore the limit

$$H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) = \varinjlim H^2(\Gamma_n/\Gamma_m, K_{2i-1}^{\text{ét}}(F_m))$$

is finite. On the other hand, this group is divisible, since $cd_p(\Gamma_n) = 1$, hence trivial. □

In the classical case $i = 1$, it was shown by Iwasawa (cp. [13, Theorem 12]) that

$$\text{Cap}_0(F_\infty/F_n) \cong H^1(\Gamma_n, U'_\infty),$$

where $U'_\infty = \varinjlim U'_n$ and U'_n denotes the group of p -units of F_n . Therefore Proposition 3.2 gives, in particular, the following higher-dimensional analog of this result:

COROLLARY 3.3. — For $i \geq 2$

$$\text{Cap}_{i-1}(F_\infty/F_n) \cong H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)).$$

To go further, we quote the following general result of Kahn (cp. [16, Proposition 6.2]), which he attributes to Nguyen Quang Do:

LEMMA 3.4. — Let A be a discrete torsion free Γ -module. Assume that for all integers $n \geq 0$:

- i) $H^0(\Gamma_n, A)$ is finitely generated;
- ii) $H^1(\Gamma_n, A)$ is finite;
- iii) $H^2(\Gamma_n, A) = 0$.

Then the groups $H^1(\Gamma_n, A)$ stabilize, in particular $\varprojlim H^1(\Gamma_n, A)$ is finite.

Let

$$\tilde{K}_{2i-1}^{\text{ét}}(F_n) = K_{2i-1}^{\text{ét}}(F_n)/\text{torsion}$$

and

$$\tilde{K}_{2i-1}^{\text{ét}}(F_\infty) = \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)/\text{torsion}.$$

We want to apply the previous lemma with $A = \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)$. From the exact sequence

$$0 \rightarrow H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty) \rightarrow 0,$$

we deduce the exact sequence

$$\begin{aligned} 0 \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_n) \rightarrow \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)^{\Gamma_n} \rightarrow H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))) \\ \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \rightarrow 0, \end{aligned}$$

as well as an isomorphism

$$H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \cong H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)).$$

The proof of Proposition 2.2 showed that $H^2(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) = 0$, and hence we see that $\tilde{K}_{2i-1}^{\text{ét}}(F_\infty)$ satisfies the assumptions of the previous lemma. We obtain the fact that the groups $H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty))$ stabilize and therefore that $\lim_{\leftarrow} H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty))$ is finite. To obtain the same result for the groups $H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty))$ and their limit, we look at the term $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)))$ in the above exact sequence: The group $H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))$ is either $\mathbb{Q}_p/\mathbb{Z}_p(i)$ or finite. In the first case, Tate’s Lemma implies that $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i))) = 0$, hence

$$H^1(\Gamma_n, \tilde{K}_{2i-1}^{\text{ét}}(F_\infty)) \cong H^1(\Gamma_n, \bar{K}_{2i-1}^{\text{ét}}(F_\infty)).$$

In the second case, $H^1(\Gamma_n, H^0(F_\infty, \mathbb{Q}_p/\mathbb{Z}_p(i)))$ stabilizes for n large, and hence in any case we obtain:

PROPOSITION 3.5. — *The groups $\text{Cap}_{i-1}(F_\infty/F_n)$ stabilize; more precisely, the corestriction maps*

$$\text{Cap}_{i-1}(F_\infty/F_{n+1}) \rightarrow \text{Cap}_{i-1}(F_\infty/F_n)$$

are surjective for all n and $\lim_{\leftarrow} \text{Cap}_{i-1}(F_\infty/F_n)$ is finite.

We now define

$$\text{Cap}_{i-1}(F_\infty) = \lim_{\leftarrow} \text{Cap}_{i-1}(F_\infty/F_n).$$

Now let us specialize and take F_∞/F to be the cyclotomic \mathbb{Z}_p -extension. As in the case $i = 1$, the finite groups $\text{Cap}_{i-1}(F_\infty)$ then have various characterizations in terms of Iwasawa-theory. Let $E = F(\mu_p)$, let $E_\infty = F(\mu_{p^\infty})$ be the cyclotomic \mathbb{Z}_p -extension of E and identify Γ_n with the Galois group of E_∞/E_n . We first describe $\text{Cap}_{i-1}(E_\infty)$. Let \mathcal{X}_∞ denote the standard Iwasawa-module for E_∞ , i.e. the Galois group over E_∞ of the maximal abelian p -ramified pro- p -extension of E_∞ . Denote by $\text{tor}_\Lambda \mathcal{X}_\infty$ the torsion part of \mathcal{X}_∞ as a module over $\Lambda = \mathbb{Z}_p[[\Gamma]]$. As is well-known, there exists an injective homomorphism ([11, Theorem 3])

$$\mathcal{X}_\infty/\text{tor}_\Lambda \mathcal{X}_\infty \rightarrow \Lambda^{r_2(E)}$$

with finite cokernel H . The following result is due to Iwasawa ([13]) for $i = 1$, to Coates ([7]) for $i = 2$ and to Nguyen Quang Do ([27, section 4]) in general:

THEOREM 3.6. — *For all $i \geq 1$ and all $n \geq 0$, there are canonical isomorphisms*

$$\text{Cap}_{i-1}(E_\infty/E_n) \cong H^*(i)_{\Gamma_n}.$$

Since H is finite, the group Γ_n acts trivially on $H^*(i)$ for all i provided n is large enough. Therefore, as abstract groups, all capitulation kernels $\text{Cap}_{i-1}(E_\infty)$ are isomorphic to H .

Let $\Delta = \text{Gal}(E/F)$ and let d denote the order of Δ . Now clearly

$$\text{Cap}_{i-1}(F_\infty) = \text{Cap}_{i-1}(E_\infty)^\Delta.$$

Theorem 3.6 shows that $\text{Cap}_{i-1}(E_\infty)$ and $\text{Cap}_{j-1}(E_\infty)$ are isomorphic as Δ -modules for $i \equiv j \pmod{d}$. Therefore we obtain the following periodicity result:

COROLLARY 3.7. — *Let p be odd and let F_∞/F be the cyclotomic \mathbb{Z}_p -extension of F . Then*

$$\text{Cap}_{i-1}(F_\infty) \cong \text{Cap}_{j-1}(F_\infty)$$

for all $i, j \geq 1$, $i \equiv j \pmod{d}$.

Next we would like to discuss another well-known relation between capitulation kernels and Iwasawa-theory: We continue to assume that $E_\infty = F(\mu_{p^\infty})$ is the cyclotomic \mathbb{Z}_p -extension of $E = F(\mu_p)$, and that p is odd. As usual, let X'_∞ denote the Galois group over E_∞ of the maximal abelian unramified pro- p -extension of E_∞ , in which all primes above p are completely decomposed. Thus $X'_\infty \cong \varprojlim A'_n(E)$. The co-invariants $(X'_\infty)_{\Gamma}$ have been described by Jaulent as a group of logarithmic classes $\tilde{cl}(E)$ which can be interpreted as the class field theory analog of the wild kernels corresponding to the case $i = 1$. The Galois co-descent for these modules $\tilde{cl}(E)$ has been studied in [14]. Now, let $(X'_\infty)^0$ denote the maximal finite submodule of X'_∞ . It is well-known (cp. [21]) that

$$\text{Cap}_0(E_\infty) \cong (X'_\infty)^0.$$

On the other hand, we have for all $n \geq 0$ and all $i \geq 2$, an isomorphism

$$(X'_\infty(i-1))_{\Gamma_n} \cong WK_{2i-2}^{\text{ét}}(E_n)$$

(cp. 33, section 6, Lemma 1]), and therefore

$$\begin{aligned} \ker(WK_{2i-2}^{\text{ét}}(E_n) \rightarrow WK_{2i-2}^{\text{ét}}(E_m)) \\ \cong \ker((X'_\infty(i-1))_{\Gamma_n} \rightarrow (X'_\infty(i-1))_{\Gamma_m}) \\ \cong (X'_\infty)^0(i-1) \end{aligned}$$

for n large and m sufficiently larger than n . If we define

$$\tilde{W}K_{2i-2}^{\text{ét}}(E_\infty) = \varinjlim W K_{2i-2}^{\text{ét}}(E_n),$$

then we obtain

PROPOSITION 3.8. — For $i \geq 2$ and n sufficiently large we have:

$$\text{Cap}_{i-1}(E_\infty) \cong \ker (W K_{2i-2}^{\text{ét}}(E_n) \rightarrow \tilde{W}K_{2i-2}^{\text{ét}}(E_\infty)) \cong (X'_\infty)^0(i-1)$$

as Δ -modules.

For the original field F and the cyclotomic \mathbb{Z}_p -extension F_∞/F this implies:

$$\text{Cap}_{i-1}(F_\infty) = \ker (W K_{2i-2}^{\text{ét}}(F_n) \rightarrow \tilde{W}K_{2i-2}^{\text{ét}}(F_\infty)) \cong ((X'_\infty)^0(i-1))^\Delta.$$

Again let ω denote the Teichmüller character on Δ . We have

$$((X'_\infty)^0(i-1))^\Delta \cong ((X'_\infty)^0)^{[1-i]} \cong (X'_\infty)^{[1-i]0},$$

and hence

$$\text{Cap}_{i-1}(F_\infty) \cong (X'_\infty)^{[1-i]0} \cong \text{Cap}_0(E_\infty)^{[1-i]}$$

for all $i \geq 1$. We therefore obtain a decomposition of $\text{Cap}_0(E_\infty)$ into eigenspaces:

$$\text{Cap}_0(E_\infty) \cong \bigoplus_{j=0}^{d-1} \text{Cap}_j(F_\infty)$$

with $\text{Cap}_j(F_\infty)$ being isomorphic to the $(d-j)$ -th eigenspace of $\text{Cap}_0(E_\infty)$. The following result gives the connection with Section 2:

PROPOSITION 3.9. — For $i \geq 2$, the following statements are equivalent:

- i) $\text{Cap}_{i-1}(F_\infty) \cong W K_{2i-2}^{\text{ét}}(F_n)$ for large n .
- ii) $X'_\infty^{[1-i]}$ is finite.

Proof. — As already mentioned we have for $i \geq 2$:

$$(X'_\infty(i-1))_{\Gamma_n} \cong W K_{2i-2}^{\text{ét}}(E_n),$$

hence

$$X'_\infty(i-1) \cong \varinjlim W K_{2i-2}^{\text{ét}}(E_n),$$

and therefore

$$X'_{\infty}{}^{[1-i]} \cong \varprojlim_{\leftarrow} WK_{2i-2}^{\acute{e}t}(F_n).$$

The equivalence of i) and ii) is now obvious. \square

Let us assume now that the base field F is totally real. Then E is a CM-field with maximal real subfield E^+ . Since obviously the plus-part of the group H is trivial in this situation, Theorem 3.6 implies that $\text{Cap}_{i-1}(F_{\infty}) = 0$ for all even $i \geq 2$, hence that the minus-part of $\text{Cap}_0(E_{\infty})$ vanishes: $\text{Cap}_0(E_{\infty})^- = 0$. Let X_{∞} denote the Galois group of the maximal abelian unramified pro- p -extension of E_{∞} . Greenberg's Conjecture (cp. [11]) for the cyclotomic \mathbb{Z}_p -extension F_{∞} of the totally real field F is equivalent to the fact that X_{∞}^{Δ} is finite. Clearly this implies that $(X'_{\infty})^{\Delta}$ is also finite, and the converse implication is true if one assumes for example that Leopoldt's Conjecture holds for the layers F_n of F_{∞}/F . We will refer to Greenberg's Conjecture in the form: $(X'_{\infty})^{\Delta}$ is finite. In fact we will consider Greenberg's Conjecture for the field E^+ . Using Proposition 3.9, we can summarize:

PROPOSITION 3.10. — *Let F be a totally real number field, p an odd prime, $E = F(\mu_p)$ and E^+ the maximal real subfield of E . Furthermore, let F_{∞} denote the cyclotomic \mathbb{Z}_p -extension of F and E_{∞} the cyclotomic \mathbb{Z}_p -extension of E . Then:*

- i) $\text{Cap}_0(E_{\infty})^- = 0$, i.e. $\text{Cap}_{i-1}(F_{\infty}) = 0$ for all even $i \geq 2$.
- ii) $\text{Cap}_{i-1}(F_{\infty}) \cong WK_{2i-2}^{\acute{e}t}(F_n)$ for large n and all odd $i \geq 3$, if and only if Greenberg's Conjecture holds for E^+ .

As an immediate consequence of part ii), we obtain that under Greenberg's Conjecture the étale wild kernels $WK_{2i-2}^{\acute{e}t}(F_n)$ show the same periodic behaviour as the capitulation kernels for n large and $i \geq 3$ odd. On the other hand, under Greenberg's Conjecture for E^+ , we also have $\text{Cap}_0(E_{\infty}^+) = \text{Cap}_0(E_{\infty})^+ = A'_n(E)^+$ for n large; hence for all $i \geq 3$ odd:

$$\text{Cap}_{i-1}(F_{\infty}) \cong A'_n(E)^{[1-i]} \cong WK_{2i-2}^{\acute{e}t}(F_n) \quad \text{for } n \text{ large.}$$

Therefore, the Galois co-descent results of Section 2 also apply to both $\text{Cap}_{i-1}(F_{\infty})$ and the eigenspaces $A'_n(E)^{[1-i]}$ of $A'_n(E^+)$ for n large. In particular:

THEOREM 3.11. — *Let L/F be a cyclic extension of totally real number fields of degree p , p odd, with Galois group G and let $E = F(\mu_p)$. Assume Greenberg's conjecture holds for E^+ , LE^+ and the Gross*

conjecture holds for E_n , n large. Then for $i \geq 3$ odd, n large and $T_{L_n/F_n} \neq \emptyset$, Galois co-descent holds for $\text{Cap}_{i-1}(L_\infty)$ and $A'_n(L_E)^{[1-i]}$ if and only if the cup-product

$$(GK(E_n)/p)^{[1-i]} \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow {}_p\text{Br}^T(E_n)^{[1-i]}$$

is surjective.

Remark 3.12. — If $i \equiv 1 \pmod d$, then, under the assumptions of Theorem 3.11, we can compare the genus formulae for $WK_{2i-2}^{\text{ét}}(L_n)$ and $A'_n(L)$ to obtain for large n :

$$[U'_n : U'_n \cap N_{L_n/F_n}(L_n^*)] = [GK(F_n) : GK(F_n) \cap N_{L_n/F_n}(L_n^*)],$$

a result which one can also prove directly.

4. Galois co-descent for the étale tame kernel.

In this final section we briefly discuss how the methods of Section 2 can be used to study the much easier problem of Galois co-descent for the étale tame kernels again for cyclic extensions L/F of degree p , p odd. Results for arbitrary finite Galois p -extensions have been obtained by Assim (cp. [1], [2]) in terms of primitive ramification, however under the assumption that Leopoldt’s Conjecture holds for the fields $L(\mu_{p^n})$ for all n . Let S be the finite set of primes of F , consisting of the set S_p and the tamely ramified primes in L/F . We have the following exact sequence:

$$0 \rightarrow K_{2i-2}^{\text{ét}}(o_F) \rightarrow K_{2i-2}^{\text{ét}}(o_F^S) \rightarrow \bigoplus_{v \in S \setminus S_p} H^2(F_v, \mathbb{Z}_p(i)) \rightarrow 0,$$

which, combined with Proposition 1.3, shows that the canonical map

$$K_{2i-2}^{\text{ét}}(o_L)_G \rightarrow K_{2i-2}^{\text{ét}}(o_F)$$

is always surjective and that the kernel of this map is isomorphic to the cokernel of the map

$$K_{2i-2}^{\text{ét}}(o_L^S)^G \rightarrow \left(\bigoplus_{w \in S'_L} H^2(L_w, \mathbb{Z}_p(i)) \right)^G,$$

where S'_L consists of the primes in L above $S \setminus S_p$. We recall that $S \setminus S_p$ is always contained in $T_{L/F}^{(i)}$. The following is now clear from the results in Section 2:

THEOREM 4.1. — *The kernel of the surjective map $K_{2i-2}^{\text{ét}}(o_L)_G \rightarrow K_{2i-2}^{\text{ét}}(o_F)$ is isomorphic to the cokernel of the map*

$$K_{2i-1}^{\text{ét}}(F)/p \otimes H^1(G, \mathbb{Z}/p\mathbb{Z}) \rightarrow \bigoplus_{v \in S \setminus S_p} H^2(F_v, \mathbb{Z}/p\mathbb{Z}(i)).$$

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