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## ALGEBRAS WITH FINITELY GENERATED INVARIANT SUBALGEBRAS

by Ivan V. ARZHANTSEV (\*)

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### 1. Introduction.

It is easy to prove that any subalgebra in the polynomial algebra  $K[x]$  is finitely generated. On the other hand, one can construct many non-finitely generated subalgebras in  $K[x_1, \dots, x_n]$  for  $n \geq 2$ . More generally, any subalgebra in an associative commutative finitely generated integral algebra  $\mathcal{A}$  with unit is finitely generated if and only if  $\text{Kdim } \mathcal{A} \leq 1$ , where  $\text{Kdim } \mathcal{A}$  is Krull dimension of  $\mathcal{A}$ . The aim of this paper is to obtain an equivariant version of this result.

Let  $\mathcal{A}$  be an associative commutative finitely generated integral algebra with unit over an algebraically closed field  $K$  of characteristic zero, and let  $G$  be a connected reductive algebraic group over  $K$  acting rationally on  $\mathcal{A}$ . The latter condition means that there is a homomorphism  $G \rightarrow \text{Aut}(\mathcal{A})$  such that the orbit  $Ga$  of any element  $a \in \mathcal{A}$  is contained in a finite-dimensional subspace in  $\mathcal{A}$  where  $G$  acts rationally. We say in this case that  $\mathcal{A}$  is a  $G$ -algebra.

In Section 2 we introduce three special types of  $G$ -algebras. Theorem 1 states that any invariant subalgebra in a  $G$ -algebra  $\mathcal{A}$  is finitely generated if and only if  $\mathcal{A}$  belongs to one of these three types.

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In Section 3 we consider a geometric method for constructing a non-finitely generated subalgebra in a  $G$ -algebra. The proof of Theorem 1 is given in Section 5. It is based on the notion of an *affine embedding* of a homogeneous space defined in Section 4.

An (affine) homogeneous space  $G/H$  is said to be *affinely closed* if any affine embedding of  $G/H$  coincides with  $G/H$  (cf. [AT01]). It was proved by D. Luna [Lu75] that a homogeneous space  $G/H$  is affinely closed if and only if  $H$  is a reductive subgroup of finite index in its normalizer  $N_G(H)$ . For convenience of the reader we recall the proof of this result following G. Kempf [Ke78], Cor. 4.5.

In Section 6 some results on affine embeddings are given. In particular, some characterizations of embeddings with a  $G$ -fixed point are presented (Propositions 3, 4 and 6). The notion of *the canonical embedding* of a homogeneous space  $G/H$ , where  $H$  is a Grosshans subgroup of  $G$ , is introduced in Section 7. (Let us recall that  $H$  is a Grosshans subgroup of  $G$  if the homogeneous space  $G/H$  is a quasi-affine variety and the algebra of regular functions  $K[G/H]$  is finitely generated.) This embedding is a very natural object associated with  $G/H$ , and investigation of its properties leads to some characteristics of the pair  $(G, H)$ .

In section 8 a version of our result over algebraically closed fields of positive characteristic is discussed. Finally, some problems are collected in Section 9.

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## 2. Three types of $G$ -algebras.

*Type C.* Here  $\mathcal{A}$  is a finitely generated domain of Krull dimension  $\text{Kdim } \mathcal{A} = 1$  (i.e., the transcendence degree of the quotient field  $Q\mathcal{A}$  equals one) with any (for example, trivial)  $G$ -action. Such algebras may be considered as the algebras of regular functions on irreducible affine curves.

Type HV. Let  $H$  be a closed subgroup of  $G$  and

$$\mathcal{A}(H) = K[G]^H = K[G/H] = \{f \in K[G] \mid f(gh) = f(g)\}$$

for any  $g \in G, h \in H$ .

The left  $G$ -action  $(l(g')f)(g) := f(g'^{-1}g)$  on  $\mathcal{A}(H)$  is rational.

Further we follow notation of the book [Gr97]. Let  $B = TU$  be a Borel subgroup of  $G$  with the unipotent radical  $U$  and a maximal torus  $T$ . Here  $T$  normalizes  $U$  and there is a  $G$ -equivariant  $T$ -action on  $\mathcal{A}(U)$  defined by right translation  $(r(t)f)(g) := f(gt)$ . For a character  $\omega \in X(T)$  consider the  $G$ -invariant subspace

$$E(\omega^*) = \{f \in \mathcal{A}(U) \mid r(t)f = \omega(t)f \text{ for all } t \in T\}.$$

The  $G$ -module  $E(\omega^*)$  is  $\{0\}$  unless  $\omega$  is dominant. Denote by  $X^+(T)$  the set of dominant weights. For every  $\omega \in X^+(T)$ ,  $E(\omega^*)$  is a simple  $G$ -submodule having highest weight denoted by  $\omega^*$ . The map  $\omega \rightarrow \omega^*$  is an involution on  $X^+(T)$ . Since each element in  $\mathcal{A}(U)$  is a sum of  $T$ -weight vectors (where  $T$  acts by right translation), we see that  $\mathcal{A}(U)$  is the direct sum of the  $E(\omega)$ ,  $\omega \in X^+(T)$ . From the definition, it is obvious that if  $\omega, \omega' \in X^+(T)$ , then  $E(\omega)E(\omega') \subseteq E(\omega + \omega')$ .

Consider the  $G$ -algebra

$$\mathcal{A}(\lambda) = \bigoplus_{m \geq 0} E(m\lambda) \subset \mathcal{A}(U),$$

where  $\lambda$  is a dominant weight. (More geometrically, the algebra  $\mathcal{A}(\lambda)$  may be realized as

$$\mathcal{A}(\lambda) = \bigoplus_{m \geq 0} H^0(G/B, L_{m\lambda^*}),$$

where  $L_{m\lambda^*} = G *_B K(-m\lambda^*)$  is the  $G$ -line bundle on the flag variety  $G/B$  corresponding to the character  $m\lambda^*$ .)

We say that a  $G$ -algebra  $\mathcal{A}$  is an algebra of type HV if it is  $G$ -isomorphic to an invariant subalgebra of  $\mathcal{A}(\lambda)$  for some  $\lambda \in X^+(T)$ . Any  $G$ -algebra of type HV is finitely generated, see Lemma 2 below.

The algebra  $\mathcal{A}(\lambda)$  may be considered as the algebra of regular functions on the orbit closure of a highest weight vector in the simple  $G$ -module with highest weight  $\lambda^*$ . Clearly, any invariant subalgebra in  $\mathcal{A}(\lambda)$  has the form

$$\mathcal{A}(P, \lambda) = \bigoplus_{p \in P} E(p\lambda),$$

where  $P$  is a subsemigroup in the additive semigroup  $Z_+$  of non-negative integers, cf. [PV72].

*Example 1.* — Let  $G$  be  $SL_n(K)$  and  $\omega_1, \dots, \omega_{n-1}$  be its fundamental weights. The natural linear action  $G : K^n$  induces an action on regular functions

$$G : \mathcal{A} = K[x_1, \dots, x_n], (g * f)(v) := f(g^{-1}v).$$

The homogeneous polynomials  $K[x_1, \dots, x_n]_m$  of degree  $m$  form an (irreducible) isotypic component corresponding to the weight  $m\omega_{n-1}$ . Hence  $\mathcal{A} = \mathcal{A}(\omega_{n-1})$  and any invariant subalgebra in  $\mathcal{A}$  is composed of homogeneous components indexed by elements of a subsemigroup  $P \subseteq Z_+$ .

*Type N.* Let  $H$  be a reductive subgroup of  $G$ . Then the algebra  $\mathcal{A}(H)$  is finitely generated. Denote by  $C_G(H)$  the centralizer of  $H$  in  $G$ . Consider the following condition:

(\*)  $H$  is reductive and for any one-parameter subgroup  $\nu : K^* \rightarrow C_G(H)$  the image  $\nu(K^*)$  is contained in  $H$ .

Let us note that for a reductive subgroup  $H$  one has  $N_G(H)^0 = H^0 C_G(H)^0$ , where  $L^0$  denotes the connected component of unit in an algebraic group  $L$ . Hence condition (\*) may be reformulated as “ $H$  is reductive and the group  $W(H)^0$  is unipotent”, where  $W(H) = N_G(H)/H$ . But the normalizer  $N_G(H)$  is reductive [LR79], Lemma 1.1 and thus condition (\*) is equivalent to the condition

(\*\*)  $H$  is reductive and the group  $W(H)$  is finite.

We say that a  $G$ -algebra  $\mathcal{A}$  is of type N if there exists a subgroup  $H \subset G$  satisfying condition (\*) such that  $\mathcal{A}$  is  $G$ -isomorphic to  $\mathcal{A}(H)$ . Any  $G$ -invariant subalgebra of a  $G$ -algebra of type N is finitely generated (Lemma 1).

*Example 2.* — Let  $G = SL_n$  and  $H = SO_n$ . The group  $G$  acts on the space of symmetric  $n \times n$ -matrices by  $(g, s) \rightarrow g^T s g$ . The stabilizer of the identity matrix  $E$  is the subgroup  $H$  and the orbit  $GE$  is the set  $X$  of symmetric matrices with determinant 1. This yields that the algebra  $\mathcal{A} = K[X]$  with the  $G$ -action  $(g * f)(s) := f((g^{-1})^T s g^{-1})$  is an algebra of type N.

A  $G$ -algebra  $\mathcal{A}$  is a  $G$ -algebra of type N if and only if

(\*\*\*)  $\mathcal{A}$  contains no proper  $G$ -invariant ideals and the group of  $G$ -equivariant automorphisms of  $\mathcal{A}$  is finite

(see Remarks in Section 5).

Now we are able to formulate the main result.

**THEOREM 1.** — *Let  $\mathcal{A}$  be a  $G$ -algebra. Then any  $G$ -invariant subalgebra of  $\mathcal{A}$  is finitely generated if and only if  $\mathcal{A}$  is an algebra of one of the types  $C$ ,  $HV$  or  $N$ .*

The proof of Theorem 1 is given in Section 5. Now we begin with some auxiliary results.

### 3. Non-finitely generated subalgebras.

Let  $X$  be an irreducible affine algebraic variety and  $Y$  be a proper closed irreducible subvariety. Consider the subalgebra

$$\mathcal{A}(X, Y) = \{f \in K[X] \mid f(y_1) = f(y_2) \text{ for any } y_1, y_2 \in Y\} \subset \mathcal{A} = K[X].$$

**PROPOSITION 1.** — *The subalgebra  $\mathcal{A}(X, Y)$  is finitely generated if and only if  $Y$  is a point.*

*Proof.* — If  $Y$  is a point, then  $\mathcal{A}(X, Y) = K[X]$ . Suppose that  $Y$  has positive dimension. Consider the ideal  $\mathcal{I} = \mathcal{I}(Y) = \{f \in K[X] \mid f(y) = 0 \text{ for any } y \in Y\}$ . Then  $K[X]/\mathcal{I}$  is an infinite-dimensional vector space. By the Nakayama lemma, we can find  $i \in \mathcal{I}$  such that in the local ring of  $Y$  the element  $i$  is not in  $\mathcal{I}^2$ . For any  $a \in K[X] \setminus \mathcal{I}$  the element  $ia$  is in  $\mathcal{I} \setminus \mathcal{I}^2$ . Hence the space  $\mathcal{I}/\mathcal{I}^2$  has infinite dimension.

On the other hand, suppose that  $f_1, \dots, f_n$  are generators of  $\mathcal{A}(X, Y)$ . Subtracting constants, one may suppose that all  $f_i$  are in  $\mathcal{I}$ . Then  $\dim \mathcal{A}(X, Y)/\mathcal{I}^2 \leq n + 1$ , a contradiction. □

**PROPOSITION 2.** — *Let  $\mathcal{A}$  be a finitely generated domain containing  $K$ . Then any subalgebra in  $\mathcal{A}$  is finitely generated if and only if  $\text{Kdim } \mathcal{A} \leq 1$ .*

*Proof.* — If  $\text{Kdim } \mathcal{A} \geq 2$ , then the statement follows from the previous proposition. The case  $\text{Kdim } \mathcal{A} = 0$  is obvious. It remains to prove that if  $\text{Kdim } \mathcal{A} = 1$ , then any subalgebra is finitely generated. By taking the integral closure, one may suppose that  $\mathcal{A}$  is the algebra of regular functions on a smooth affine curve  $C_1$ . Let  $C$  be the smooth projective curve such

that  $C_1 \cong C \setminus \{P_1, \dots, P_k\}$ . The elements of  $\mathcal{A}$  are the rational functions on  $C$  that may have poles only at points  $P_i$ . Let  $\mathcal{B}$  be a subalgebra in  $\mathcal{A}$ . By induction on  $k$ , we may suppose that the subalgebra  $\mathcal{B}' \subset \mathcal{B}$  consisting of functions regular at  $P_1$  is finitely generated, say  $\mathcal{B}' = K[s_1, \dots, s_m]$ . (Functions that are regular at any point  $P_i$  are constants.) Let  $v(f)$  be the order of the zero/pole of  $f \in \mathcal{B}$  at  $P_1$ . The set  $V = \{v(f) \mid f \in \mathcal{B}\}$  is an additive subsemigroup of integers. Any such subsemigroup is finitely generated. Let  $f_1, \dots, f_n$  be elements of  $\mathcal{B}$  such that the  $v(f_i)$  generate  $V$ . Then for any  $f \in \mathcal{B}$  there exists a polynomial  $P(y_1, \dots, y_n)$  with  $v(f - P(f_1, \dots, f_n)) \geq 0$ , thus  $f - P(f_1, \dots, f_n) \in \mathcal{B}'$ . This shows that  $\mathcal{B}$  is generated by  $f_1, \dots, f_n, s_1, \dots, s_m$ .  $\square$

#### 4. Affine embeddings.

To go further we need some definitions.

DEFINITION 1. — *Let  $H$  be a closed subgroup of  $G$ . We say that an affine variety  $X$  with a regular  $G$ -action is an affine embedding of the homogeneous space  $G/H$  if there exists a point  $x \in X$  such that the orbit  $Gx$  is dense in  $X$  and the orbit map  $G \rightarrow Gx$  defines an isomorphism between  $G/H$  and  $Gx$ . We denote this as  $G/H \hookrightarrow X$ . An embedding is trivial if  $X = Gx$ .*

Note that a homogeneous space  $G/H$  admits an affine embedding if and only if  $G/H$  is quasi-affine (as an algebraic variety), see [PV89], Th.1.6. In this situation, the subgroup  $H$  is said to be *observable* in  $G$ . For a group-theoretic description of observable subgroups see [Su88] (char  $K = 0$ ) and [Gr97], Th.7.3 (char  $K$  is arbitrary). It is known that  $G/H$  is affine if and only if  $H$  is reductive [Ri77], Th.A, [Gr97], Th.7.2. In particular, any reductive subgroup is observable.

DEFINITION 2. — *A homogeneous space is said to be affinely closed if it admits only the trivial affine embedding. (In this case  $G/H$  is affine.)*

The following result is due to D. Luna [Lu75].

THEOREM 2. — *A homogeneous space  $G/H$  is affinely closed if and only if  $H$  is a subgroup satisfying condition (\*). Moreover, if  $G$  acts on an affine variety  $X$  and the stabilizer  $H'$  of a point  $x \in X$  contains a subgroup*

*H satisfying condition (\*), then  $H'$  is a subgroup satisfying condition (\*) and the orbit  $Gx$  is closed in  $X$ .*

Theorem 2 implies that if  $H$  is a subgroup satisfying condition (\*),  $H \subseteq H' \subseteq G$  and  $H'$  is observable in  $G$ , then  $G/H'$  is affinely closed. We shall give a proof of Theorem 2 in Section 6 in terms of Kempf's adapted one-parameter subgroups [Ke78].

### 5. Proof of Theorem 1.

Let  $\mathcal{A}$  be a  $G$ -algebra with  $\text{Kdim } \mathcal{A} \geq 2$  such that any invariant subalgebra in  $\mathcal{A}$  is finitely generated. Consider the corresponding affine variety  $X = \text{Spec } \mathcal{A}$ . The action  $G : \mathcal{A}$  induces a regular (algebraic) action  $G : X$ .

Suppose that there exists a proper irreducible closed invariant subvariety  $Y \subset X$  of positive dimension. Then  $\mathcal{A}(X, Y)$  is an invariant subalgebra that is not finitely generated. In particular, this is the case if  $G$  acts on  $X$  without a dense orbit. Hence we may suppose that either

- (i) the action  $G : X$  is transitive or
- (ii)  $X$  consists of an open orbit  $\mathcal{O}$  and a  $G$ -fixed point  $o$ .

In case (i), fix a point  $x \in X$  and denote by  $H$  the stabilizer of  $x$  in  $G$ . Here  $H$  is reductive and if  $G/H$  is not affinely closed, then there is a nontrivial affine embedding  $G/H \hookrightarrow X'$ . The complement of the open affine subset  $X$  in  $X'$  is a union of irreducible divisors. Let  $Y$  be one of these divisors. The algebra  $\mathcal{A}(X', Y)$  is a non-finitely generated invariant subalgebra in  $K[X']$  and the inclusion  $X \subset X'$  defines an embedding  $K[X'] \subset K[X] = \mathcal{A}$ . We conclude that  $G/H$  should be affinely closed. In this case  $\mathcal{A}$  is of type  $N$  by Theorem 2.

LEMMA 1. — *If  $X = G/H$  is affinely closed, then any invariant subalgebra in  $\mathcal{A}(H)$  is finitely generated.*

*Proof.* — Suppose that there exists an invariant subalgebra  $\mathcal{B} \subset \mathcal{A}(H)$  that is not finitely generated. Let  $f_1, f_2, \dots$  be a system of generators of  $\mathcal{B}$ . Consider the finitely generated subalgebras  $\mathcal{B}_i = K[\langle Gf_1, \dots, Gf_i \rangle]$ , where  $\langle Gf_1, \dots, Gf_i \rangle$  is the linear span of the orbits  $Gf_1, \dots, Gf_i$ .



Infinitely many of the  $\mathcal{B}_i$  are pairwise different. For the corresponding varieties  $X_i := \text{Spec } \mathcal{B}_i$  one has natural dominant  $G$ -morphisms

$$X_1 \longleftarrow X_2 \longleftarrow X_3 \longleftarrow \dots$$

We claim that the action  $G : X_i$  is transitive for any  $i$ . In fact, the morphism  $G/H \rightarrow X_i$  is dominant and, by Theorem 2, the image of  $G/H$  is closed in  $X_i$ .

One may consider any  $X_i$  as a homogeneous variety  $G/H_i$ , where  $H_i$  is a reductive subgroup of  $G$  containing  $H$ . The infinite sequence of subgroups

$$H_1 \supset H_2 \supset H_3 \supset \dots$$

leads to a contradiction. □

*Remarks.* — 1) In the case  $K = \mathbb{C}$ , Lemma 1 follows also from [La99]. In fact, the article [La99] was the starting point for the present paper.

2) A  $G$ -algebra  $\mathcal{A}$  contains no proper invariant ideals if and only if the action  $G : X = \text{Spec } \mathcal{A}$  is transitive. We have shown that any  $G$ -algebra of type N contains no proper invariant ideals. Moreover, the group of equivariant automorphisms of the homogeneous space  $G/H$  (and of the algebra  $\mathcal{A}(H)$ , at least if  $H$  is observable) is isomorphic to  $W(H)$ . Suppose that  $H$  is reductive and  $W(H)$  is finite. As is obvious from what has been said any invariant subalgebra in  $\mathcal{A}(H)$  has the form  $\mathcal{A}(H')$ , where  $H \subseteq H' \subseteq G$ ,  $H'$  is reductive and  $W(H')$  is finite, and hence  $G$ -algebras of type N are characterized by property (\*\*\*) .

Now consider case (ii). We are going to prove that here  $\mathcal{A} = K[X]$  is an algebra of type HV following the proof of [Br89], Lemme 1.2 (see also [Po75], Th.4, [Ak77], Th.1). One may assume that  $X$  is contained as a closed  $G$ -invariant subvariety in a finite-dimensional  $G$ -module  $V$  with origin  $o$ . Let  $\mathbb{P}(V \oplus K)$  be the projective space associated with  $V \oplus K$ , where  $G$  acts trivially on  $K$ . Denote by  $\overline{X}$  the closure of  $X$  in  $\mathbb{P}(V \oplus K)$ . Then  $\overline{X}$  intersects the hyperplane at infinity  $\mathbb{P}(V)$ . This shows that a maximal unipotent subgroup  $U \subset G$  has at least two fixed points in  $\overline{X}$ . But the set of points fixed by a connected unipotent group on a connected complete variety is connected [Ho69], Th.4.1. This proves that for the open orbit  $\mathcal{O} \subset X$  one has  $\mathcal{O}^U \neq \emptyset$ . Let  $v$  be a  $U$ -fixed vector in  $\mathcal{O}$ . The vector  $v$  has the form  $v = \sum v_i$ , where  $tv_i = \chi_i(t)v_i$  with  $\chi_i \in X^+(T)$  for any  $i$  and any  $t \in T$ . Without loss of generality it can be assumed that the group  $G$  is semisimple and hence all  $\chi_i$  belong to the positive (strictly convex) Weyl chamber. Find a one-parameter subgroup  $\theta : K^* \rightarrow T$  such that

(1)  $\langle \theta, \chi_i \rangle \geq 0$  for any  $i$ ;

(2) there exists a non-zero  $\chi_k$  (denote it by  $\lambda^*$ ) such that  $\langle \theta, \chi_i \rangle = 0$  if and only if  $\chi_i$  is a multiple of  $\lambda^*$ .

Then  $v_1 = \lim_{t \rightarrow 0} \theta(t)v = \sum v_j$ , where the corresponding  $\chi_j$  are multiples of  $\lambda^*$ , and  $v_1$  is in  $X$ . By assumption on  $X$ , one has  $X = Gv_1 \cup \{0\}$ . Let  $H$  be the stabilizer of  $v_1$  in  $G$ . The bijective morphism  $G/H \rightarrow \mathcal{O}$  defines an inclusion  $K[\mathcal{O}] \subseteq K[G/H]$ . Moreover, the subgroup  $H$  contains  $U$  and  $K[G/H] = \bigoplus_{\omega} E(\omega)$ , where  $\omega^*|_{T_1} = 1$  for  $T_1 = H \cap T$  [Gr97], p. 98. This shows that  $K[G/H] \subseteq \mathcal{A}(\lambda)$  and  $\mathcal{A} = K[X] \subseteq K[\mathcal{O}]$  is a  $G$ -algebra of type HV.

LEMMA 2. — Any invariant subalgebra of the algebra  $\mathcal{A}(\lambda)$  is finitely generated.

*Proof.* — Let  $\mathcal{B}$  be an invariant subalgebra of  $\mathcal{A}(\lambda)$ . It is known that  $\mathcal{B}$  is finitely generated if and only if the algebra  $\mathcal{B}^U$  of  $U$ -invariants is finitely generated [Gr97], Th.16.2. But  $\text{Kdim } \mathcal{A}(\lambda)^U = 1$ , and, by Proposition 2,  $\mathcal{B}^U \subseteq \mathcal{A}(\lambda)^U$  is finitely generated. □

The proof of Theorem 1 is completed. □

### 6. Some results on affine embeddings.

The next proposition is a modification of a construction due to G. Kempf [Ke78].

PROPOSITION 3. — Let  $G/H$  be a quasi-affine non affinely closed homogeneous space. Then  $G/H$  admits an affine embedding with a  $G$ -fixed point.

*Proof.* — Let  $G/H \hookrightarrow X$  be a nontrivial embedding and  $Y \subset X$  be a proper closed irreducible invariant subvariety. Denote by  $f_1, \dots, f_k$  generators of  $K[X]$  and by  $g_1, \dots, g_s$  generators of the ideal  $\mathcal{I}(Y)$ . One may suppose that the  $f_i$  form a basis of  $\langle Gf_1, \dots, Gf_k \rangle$  and the  $g_i$  form a basis of  $\langle Gg_1, \dots, Gg_s \rangle$ . Consider the  $G$ -equivariant morphism

$$\psi : X \rightarrow (K^{s(k+1)})^*,$$

$$x \rightarrow (g_1(x), \dots, g_s(x), g_1(x)f_1(x), \dots, g_s(x)f_1(x), \dots, g_1(x)f_k(x), \dots, g_s(x)f_k(x)).$$

Let  $Z$  be the closure of  $\psi(X)$ . It is clear that  $Z$  is birationally isomorphic to  $X$  and is an affine embedding of  $G/H$ . But  $\psi(Y) = \{0\}$  is a  $G$ -fixed point on  $Z$ .  $\square$

*Proof of Theorem 2.* — Suppose that  $H$  is a subgroup not satisfying condition (\*). Consider the subgroup  $H_1 = \nu(K^*)H$ . The homogeneous fiber space  $G *_H K$ , where  $H$  acts on  $K$  trivially and  $H_1/H$  acts on  $K$  by dilation, is a two-orbit embedding of  $G/H$ .

Conversely, we need to prove that if  $G/H_1$  is a quasi-affine homogeneous space that is not affinely closed and  $H$  is a reductive subgroup contained in  $H_1$ , then there exists a one-parameter subgroup  $\nu : K^* \rightarrow C_G(H)$  such that  $\nu(K^*)$  is not contained in  $H$ . By Proposition 3, there exists an affine embedding  $G/H_1 \hookrightarrow X$  with a  $G$ -fixed point  $o$ . Denote by  $x_0$  the image of  $eH_1$  in the open orbit on  $X$ . Let  $\gamma : K^* \rightarrow G$  be an adapted (to  $x_0$ ) one-parameter subgroup. Consider the parabolic subgroup

$$P(\gamma) = \{g \in G \mid \lim_{t \rightarrow 0} \gamma(t)g\gamma(t)^{-1} \text{ exists in } G\}.$$

Then  $P(\gamma) = L(\gamma)U(\gamma)$ , where  $L(\gamma)$  is a Levi subgroup that is the centralizer of  $\gamma(K^*)$  in  $G$ , and  $U(\gamma)$  is the unipotent radical of  $P(\gamma)$ . By [Ke78] (see also [PV89], Th. 5.5), the stabilizer  $G_{x_0} = H_1$  is contained in  $P(\gamma)$ . Hence there is an element  $u \in U(\gamma)$  such that  $H' = uHu^{-1} \subset L(\gamma)$ .

We claim that  $\gamma(K^*)$  is not contained in  $H'$ . In fact, assume the converse. Then  $\gamma(t)ux_0 = ux_0$  for any  $t \in K^*$ . Denote  $\gamma(t)u\gamma(t)^{-1}$  by  $u_t$ . Then  $u_t\gamma(t)x_0 = ux_0$ , so that  $\gamma(t)x_0 \in U(\gamma)x_0$ . By assumption,  $\lim_{t \rightarrow 0} \gamma(t)x_0 = o \notin Gx_0$ . On the other hand, the orbit  $U(\gamma)x_0$  is contained in  $Gx_0$  and is closed in  $X$  as an orbit of a unipotent group on an affine variety [PV89], p.151. (The proof of the latter statement is based only on the Lie-Kolchin theorem, which holds in arbitrary characteristic [Hu75], 17.5.) This contradiction shows that  $\gamma(K^*)$  is not contained in  $H'$  and  $\gamma(K^*)$  centralizes  $H'$ . The one-parameter subgroup conjugated by  $u^{-1} \in U(\gamma)$  to  $\gamma(K^*)$ , is the desired subgroup  $\nu(K^*)$ .  $\square$

Now we return to some properties of affine embeddings. Let us recall that a subgroup  $Q \subset G$  is said to be *quasi-parabolic* if  $Q$  is the stabilizer of a highest weight vector  $v$  in some finite-dimensional irreducible  $G$ -module, say  $V_{\lambda^*}$ . If  $P_{\lambda^*}$  is the parabolic subgroup fixing the line  $\langle v \rangle$ , then  $Q = Q_{\lambda^*} = \{g \in P_{\lambda^*} \mid \lambda^*(g) = 1\}$ .

**PROPOSITION 4.** — *A homogeneous space  $G/H$  admits an affine embedding  $G/H \hookrightarrow X$  such that  $X = G/H \cup \{o\}$ , where  $o$  is a  $G$ -fixed*

point if and only if  $H$  is a quasi-parabolic subgroup of  $G$ .

*Proof.* — If  $H$  is quasi-parabolic, then  $X = \overline{Gv} \subset V_{\lambda^*}$  is the desired embedding.

Conversely, as was shown in the proof of Theorem 1, the subgroup  $H$  (up to conjugation) is the stabilizer of a sum of highest weight vectors with proportional weights. This shows that  $H$  is a quasi-parabolic subgroup.  $\square$

*Remarks.* — 1) Proposition 4 was proved by V. L. Popov [Po75], Th. 4 and Cor. 5. For a description of complete embeddings with an isolated fixed point over the field  $\mathbb{C}$  see [Ak77], Th. 2.

2) The assumption that  $G$  is reductive is not essential in Proposition 4, see [Po75], Th. 3.

PROPOSITION 5. — *Let  $H$  be an observable subgroup of  $G$ .*

(1) *If either  $G/H$  is affinely closed or  $H$  is a quasi-parabolic subgroup of  $G$ , then  $G/H$  admits only one normal affine embedding (up to  $G$ -isomorphisms);*

(2) *if  $G = K^*$  and  $H$  is finite, then there exist only two normal affine embeddings, namely  $K^*/H$  and  $K/H$ ;*

(3) *in all other cases there exists an infinite sequence*

$$X_1 \xleftarrow{\phi_1} X_2 \xleftarrow{\phi_2} X_3 \xleftarrow{\phi_3} \dots$$

*of pairwise nonisomorphic normal affine embeddings  $X_i$  of  $G/H$  and equivariant dominant morphisms  $\phi_i$ .*

*Proof.* — Here we give characteristic-free arguments.

(1) The statement is obvious for affinely closed spaces. If  $H$  is quasi-parabolic, then consider the subalgebra  $\mathcal{B}$  in  $\mathcal{A} = K[G/H]$  corresponding to a normal affine embedding of  $G/H$ . We claim that  $\mathcal{A}^U = \mathcal{B}^U$ . Indeed,  $\mathcal{A}^U \cong K[x]$  is isomorphic to the polynomial algebra in one variable and  $\mathcal{B}^U$  is a graded integrally closed subalgebra. Hence  $\mathcal{B}^U = K[x^d]$ . But  $Q\mathcal{A} = Q\mathcal{B}$  implies  $Q\mathcal{A}^U = Q\mathcal{B}^U$  and  $d = 1$ .

Any element of  $\mathcal{A}$  is contained in  $Q\mathcal{B}$ . On the other hand, the algebra  $\mathcal{A}$  is integral over  $G\mathcal{A}^U$  [Gr97], Th. 14.3 and  $G\mathcal{A}^U = G\mathcal{B}^U \subseteq \mathcal{B}$ . But  $\mathcal{B}$  is integrally closed and finally  $\mathcal{A} = \mathcal{B}$ .

(2) is obvious.

(3) In this case  $K[G/H]$  contains a non-finitely generated subalgebra of type  $\mathcal{A}(X, Y)$ . One may suppose that  $X$  is normal. Then  $\mathcal{A}(X, Y)$  is an integrally closed subalgebra in  $K[G/H]$ . Fix an element  $g \in \mathcal{I}(Y)$  and generators  $f_1, \dots, f_n$  of  $K[X]$ . Extend the sequence  $g_0 = g, g_1 = gf_1, \dots, g_n = gf_n$  to an (infinite) generating set  $g_0, g_1, \dots, g_n, g_{n+1}, \dots$  of  $\mathcal{A}(X, Y)$ . Let  $\mathcal{A}_k$  be the integral closure of  $K[\langle Gg_0, \dots, Gg_{n+k} \rangle]$  in  $\mathcal{A}(X, Y)$ . The varieties  $X_i = \text{Spec } \mathcal{A}_i$  are birationally isomorphic to  $X$  and  $G/H \hookrightarrow X_i$ . Infinitely many of  $X_i$  are pairwise nonisomorphic. Renumbering, one may suppose that all  $X_i$  are pairwise nonisomorphic. The chain

$$\mathcal{A}_1 \subset \mathcal{A}_2 \subset \mathcal{A}_3 \subset \dots$$

corresponds to the desired chain

$$X_1 \xleftarrow{\phi_1} X_2 \xleftarrow{\phi_2} X_3 \xleftarrow{\phi_3} \dots$$

□

## 7. The canonical embedding.

It is easy to check that the intersection of a family of observable subgroups is again an observable subgroup. Hence, one may define *the observable hull* of a subgroup  $H$  as the intersection of all observable subgroups containing  $H$ , cf. [PV89], 3.7. It is the minimal observable subgroup containing  $H$ . Another (but equivalent) approach to the observable hull may be found in [Gr97], page 6.

**DEFINITION 3.** — *Let  $H$  be a subgroup of  $G$ . We say that a reductive subgroup  $L$  is a reductive hull of  $H$  if  $L$  is a minimal (with respect to inclusions) reductive subgroup of  $G$  containing  $H$ .*

The intersection of reductive subgroups in general is not reductive, thus a reductive hull may be not unique (see Example 3 below). Any reductive hull contains the observable hull.

Let us recall that an observable subgroup  $H$  of  $G$  is said to be a *Grosshans subgroup* if the algebra  $K[G/H]$  is finitely generated. The famous Nagata counter-example to Hilbert's fourteenth problem provides an example of a unipotent subgroup in  $SL_{32}$ , which is not a Grosshans subgroup, see [Gr97].

DEFINITION 4. — *Let  $H$  be a Grosshans subgroup of  $G$ . Let us call  $G/H \hookrightarrow X = \text{Spec } K[G/H]$  the canonical embedding of  $G/H$  and denote it as  $CE(G/H)$ .*

It is well-known that the codimension of the complement of the open orbit in  $CE(G/H)$  is  $\geq 2$  and  $CE(G/H)$  is the only normal affine embedding of  $G/H$  with this property [Gr97], Th.4.2. If  $H$  is reductive, then  $CE(G/H)$  is the trivial embedding. For non-reductive subgroups  $CE(G/H)$  is an interesting object canonically associated with the pair  $(G, H)$ .

Fix some notation. There exists a canonical decomposition  $K[G/H] = K \oplus K[G/H]_G$ , where the first term corresponds to the constant functions and  $K[G/H]_G$  is the sum of all nontrivial simple  $G$ -submodules in  $K[G/H]$ .

PROPOSITION 6. — *Let  $H$  be an observable subgroup in  $G$ . The following conditions are equivalent:*

- (1) *a reductive hull of  $H$  in  $G$  coincides with  $G$ ;*
- (2) *any affine embedding of  $G/H$  contains a  $G$ -fixed point;*
- (3)  *$K[G/H]_G$  is a subalgebra in  $K[G/H]$ .*

*If  $H$  is a Grosshans subgroup, then conditions (1)-(3) are equivalent to*

- (4)  *$CE(G/H)$  contains a  $G$ -fixed point.*

*Proof.* — (1)  $\Rightarrow$  (2). Suppose that  $G/H \hookrightarrow X$  is an affine embedding without  $G$ -fixed point and the closed  $G$ -orbit in  $X$  is isomorphic to  $G/L$ . Then  $L$  is reductive and by the slice theorem [Lu73]  $H$  is contained in a subgroup conjugated to  $L$ .

(2)  $\Rightarrow$  (1). If  $H \subseteq L$ , where  $L$  is a proper reductive subgroup in  $G$ , then  $H$  is observable in  $L$  and for any affine embedding  $L/H \hookrightarrow Y$  the homogeneous fiber space  $G *_L Y$  is an affine embedding of  $G/H$  without  $G$ -fixed point.

(3)  $\Rightarrow$  (2). For any affine embedding  $G/H \hookrightarrow X$  we have  $K[X] = K \oplus K[X]_G$ , where  $K[X]_G = K[G/H]_G \cap K[X]$ . Hence  $K[X]_G$  is a maximal  $G$ -invariant ideal in  $K[X]$  corresponding to a  $G$ -fixed point in  $X$ .

(2)  $\Rightarrow$  (3). Suppose that there are  $a, b \in K[G/H]_G$  such that  $ab \notin K[G/H]_G$ . Let  $G/H \hookrightarrow X$  be any affine embedding with  $K[X] = K[f_1, \dots, f_n]$ . Consider the subalgebra  $\mathcal{S}$  of  $K[G/H]$  generated by  $f_1, \dots, f_n$ ,

$\langle Ga \rangle, \langle Gb \rangle$ . Then  $G/H \hookrightarrow \text{Spec } \mathcal{S}$  and  $\mathcal{S}_G$  is not a subalgebra. But  $\mathcal{S}_G$  is the only candidate for a maximal  $G$ -invariant ideal in  $\mathcal{S}$ .

(2)  $\Rightarrow$  (4) and (4)  $\Rightarrow$  (3) are obvious. □

Let  $G$  be a connected semisimple group and  $P \subset G$  be a parabolic subgroup containing no simple component of  $G$ . Denote by  $U_P$  the unipotent radical of  $P$ .

PROPOSITION 7. — *The homogeneous space  $G/U_P$  satisfies conditions (1)-(4) of Proposition 6.*

*Proof.* — It is known that  $U_P$  is a Grosshans subgroup of  $G$  [Gr97], Th. 16.4. We shall check that  $K[G/U_P]_G$  is a subalgebra in  $K[G/U_P]$ . For this it is sufficient to find a nonnegative grading on  $K[G/U_P]$  with  $K[G/U_P]_G$  as the positive part.

Let  $B = TU$  be a Borel subgroup in  $G$  with  $B \subseteq P$  and let  $P = LU_P$ , where  $L$  is the Levi subgroup such that  $T \subseteq L$  and  $U = (U \cap L)U_P$ . Denote by  $T_L \subset T$  the center of  $L$ . Then  $T_L = \{t \in T \mid \alpha_i(t) = 1 \forall i\}$ , where  $\{\alpha_i\}$  is the set of simple roots corresponding to  $P$ . Let  $\pi : X(T) \rightarrow X(T_L)$  be the restriction homomorphism of the groups of characters, and  $X^+(T) \subset X(T)$  be the set of dominant weights (with respect to  $B$ ). It is easy to check that  $\pi(X^+(T))$  generates a strictly convex cone in  $X(T_L) \otimes \mathbb{Q}$ . Fix a one-parameter subgroup  $\theta : K^* \rightarrow T_L$  so that  $\langle \theta, \chi \rangle$  is positive for any  $\chi \in \pi(X^+(T))$ .

Note that  $L$  acts on  $K[G/U_P]$  as  $(l * f)(gU_P) := f(glU_P)$  and this action commutes with the  $G$ -action. The  $L$ -module  $K[G/U_P]_G$  contains no trivial  $L$ -submodules because of  $K[G/U_P]^L = K[G/P] = K$ . On any nontrivial irreducible  $L$ -submodule  $T_L$  acts by multiplication by  $\chi(t)$ ,  $t \in T_L$ , for some non-zero  $\chi \in \pi(X^+(T))$ . The restriction of the  $T_L$ -action to  $\theta(K^*)$  defines the desired grading. □

*Remark.* — Let us recall that a subgroup  $H$  in  $G$  is called *epimorphic* if  $K[G/H] = K$ . The following generalization of Proposition 7 (and another way to prove it) was kindly communicated to us by F. D. Grosshans: if  $H$  is a Grosshans subgroup of  $G$  normalized by a maximal torus  $T$  and  $TH$  is epimorphic in  $G$ , then properties (1)-(4) of Proposition 6 hold for  $G/H$ . Conversely, for a subgroup  $C$  of  $G$  containing  $T$  the observable hull is reductive. Hence  $C$  is epimorphic if and only if  $C$  is not contained in a proper reductive subgroup of  $G$ . A criterion (in terms of roots) for  $C$  to be

epimorphic may be found in [BB92], Prop. 2.

Suppose that the observable hull  $H_1$  of a subgroup  $H$  is a Grosshans subgroup. Denote by  $L$  a reductive hull of  $H$ . Then  $H_1 \subseteq L$  and the natural map  $G/H_1 \rightarrow G/L$  defines a map  $CE(G/H_1) \rightarrow G/L$ . This shows that the closed orbit in  $CE(G/H_1)$  is isomorphic to  $G/L$ . Therefore for any two reductive hulls  $L_1$  and  $L_2$  of  $H$  there is an element  $g \in G$  such that  $L_2 = g^{-1}L_1g$ . In fact, a reductive hull is not unique.

*Example 3.* — Let  $G = SL_n$ ,  $L = SO_n$ , and  $H$  be a maximal unipotent subgroup of  $L$ . It is clear that  $L$  is a reductive hull of  $H$ . One has  $H \subset U$  for some maximal unipotent subgroup  $U$  in  $G$ . There exists a subgroup  $H_1$  such that  $H \subset H_1 \subseteq U$ ,  $\dim H_1 = \dim H + 1$  and  $H$  is a normal subgroup of  $H_1$ . Consider an element  $h_1 \in H_1 \setminus H$ . Then  $h_1^{-1}Lh_1$  is another reductive hull of  $H$ .

### 8. The case of positive characteristic.

If we follow the proof of Theorem 1 over any algebraically closed field  $K$ , also the two cases, (i) and (ii), will appear. The consideration of case (ii) and the proof of Lemma 2 go on without any changes. On the other hand, for every  $\omega \in X^+(T)$  the submodule  $E(\omega)$  contains a simple  $G$ -submodule having highest weight  $\omega$ , but  $E(\omega)$  may be not simple, and a  $G$ -algebra of type HV is not determined by the semigroup  $P$ .

*Example 4.* — Suppose that  $\text{char } K = 2$ ,  $G = SL_2(K)$  and  $G$  acts on  $\mathcal{A} = K[x_1, x_2]$  as in Example 1. Then the invariant subalgebras  $K[x_1^2, x_2^2]$ , or  $K[x_1^2, x_2^2, x_1^3x_2, x_1x_2^3]$ , are not of the form  $\mathcal{A}(P, \lambda)$ .

The author does not know a “constructive” description of  $G$ -algebras of type HV in the case  $\text{char } K > 0$ .

For case (i), we need to find an analog of affinely closed spaces in positive characteristic. Suppose that  $G$  acts on an affine variety  $X$ . The orbit  $Gx$  of a point  $x \in X$  is not determined (up to  $G$ -isomorphism) by the stabilizer  $H = G_x$ , and it is natural to consider the isotropy subscheme  $H'$  at  $x$ , with  $H$  as the reduced part, identifying  $Gx$  and  $G/H'$ . There is a natural bijective purely inseparable and finite morphism  $\pi : G/H \rightarrow G/H'$  [Hu75], 4.3, 4.6.

**PROPOSITION 8.** — *The homogeneous space  $G/H$  is affinely closed if and only if  $G/H'$  is affinely closed.*



*Proof.* — 1) Note that  $K(G/H)^{p^s} \subseteq \pi^* K(G/H')$  and  $K[G/H]^{p^s} \subseteq \pi^* K[G/H']$  for some  $s \geq 0$ , where  $p = \text{char } K$  if  $\text{char } K > 0$  and  $p = 1$  otherwise. If  $G/H$  is not affinely closed, then there is a nontrivial affine embedding  $G/H \hookrightarrow X$ . The algebra  $\mathcal{C} := K[X] \cap \pi^* K(G/H')$  is finite over  $K[X]^{p^s}$ . Hence  $\mathcal{C}$  is finitely generated, and  $X' := \text{Spec } \mathcal{C}$  contains  $G/H'$  as an open subset:

$$\begin{array}{ccc} G/H & \hookrightarrow & X \\ \downarrow \pi & & \downarrow \pi' \\ G/H' & \hookrightarrow & X'. \end{array}$$

On the other hand, the morphism  $\pi' : X \rightarrow X'$  defined by the inclusion  $\mathcal{C} \subset K[X]$  is finite. This shows that  $G/H' \neq X'$ .

2) Suppose that  $G/H'$  admits a non-trivial affine embedding  $G/H' \hookrightarrow X'$ . Consider the integral closure  $\mathcal{B}$  of  $K[X']$  in the field  $K(G/H)$ . The variety  $X = \text{Spec } \mathcal{B}$  carries a  $G$ -action with an open  $G$ -orbit isomorphic to  $G/H$ , and the finite morphism  $X \rightarrow X'$  is surjective, hence  $X$  is a nontrivial embedding of  $G/H$ .  $\square$

**DEFINITION 5.** — *A reductive subgroup  $H$  of the group  $G$  is strongly affinely closed if for any affine  $G$ -variety  $X$  and any point  $x \in X$  fixed by  $H$  the orbit  $Gx$  is closed in  $X$ .*

Below we list some results concerning case (i). It follows from the proof of Theorem 1 that:

- (1) if  $H$  is reductive and any invariant subalgebra in  $K[G/H]$  is finitely generated, then  $G/H$  is affinely closed;
- (2) if  $G/H$  is strongly affinely closed, then any invariant subalgebra in  $K[G/H]$  is finitely generated.

The following notion was introduced by Serre, cf. [LS03].

**DEFINITION 6.** — *A subgroup  $D \subset G$  is called  $G$ -completely reducible ( $G$ -cr for short) if whenever  $D$  is contained in a parabolic subgroup  $P$  of  $G$ , it is contained in a Levi subgroup of  $P$ .*

For  $G = SL(V)$  this notion agrees with the usual notion of complete reducibility. In fact, if  $G$  is any of the classical groups then the notions coincide, although for symplectic and orthogonal groups this requires the assumption that  $\text{char } K$  is a good prime for  $G$ . The class of  $G$ -cr subgroups is wide. Some conditions which guarantee that certain subgroups satisfy the  $G$ -cr condition may be found in [McN98], [LS03].

The proof of Theorem 2 implies:

(3) if  $H$  is not contained in any parabolic subgroup of  $G$ , then  $G/H$  is strongly affinely closed;

(4) if  $H$  does not satisfy (\*), then  $G/H$  is not affinely closed;

(5) if  $H$  is a  $G$ -cr subgroup, then  $G/H$  is affinely closed iff  $G/H$  is strongly affinely closed iff  $H$  satisfies (\*).

*Example 5.* — The following example kindly produced by George J. McNinch on our request shows that the group  $W(H) = N_G(H)/H$  may be unipotent even for reductive  $H$ . Let  $L$  be the space of  $(n \times n)$ -matrices and  $H$  be the image of  $SL_n$  in  $G = SL(L)$ , acting on  $L$  by conjugations.

If  $p = \text{char } K \mid n$ , then  $L$  is an indecomposable  $SL_n$ -module with 3 composition factors, cf. [McN98], Prop. 4.6.10 a). It turns out that  $C_G(H)^0$  is a one-dimensional unipotent group consisting of operators of the form  $\text{Id} + aT$ , where  $a \in K$ , and  $T$  is a nilpotent operator on  $L$  defined by  $T(X) = \text{tr}(X)E$ .

For example, in the simplest case  $p = 2$ , we have that  $H \cong PSL_2 \subset SL_4$ ,  $N_G(H) = HC_G(H)$  (because  $H$  does not have outer automorphisms),  $C_G(H)$  is connected, and  $W(H) \cong (K, +)$ . In this case  $H$  is contained in a quasi-parabolic subgroup, hence  $G/H$  is not strongly affinely closed.

## 9. Problems.

In this section we collect some problems that follow naturally from the discussion above.

**PROBLEM 1.** — *Suppose that  $\text{char } K = 0$ . Classify all affinely closed homogeneous spaces. Is it true that any affinely closed space is strongly affinely closed?*

**PROBLEM 2.** — *Let  $G$  be a linear algebraic group. Characterize all  $G$ -algebras  $\mathcal{A}$  such that any invariant subalgebra in  $\mathcal{A}$  is finitely generated.*

This class of algebras seems to be much wider than in the reductive case.

**PROPOSITION 9.** — *Let  $G$  be a reductive group,  $S$  be a unipotent group,  $H \subset G$  be a subgroup satisfying condition (\*), and  $F \subset S$*

be any closed subgroup. Then any  $G \times S$ -invariant subalgebra in  $\mathcal{A} = K[(G \times S)/(H \times F)]$  is finitely generated.

*Proof.* — Fix the notation:  $\mathcal{A}_1 = K[G/H]$ ,  $\mathcal{A}_2 = K[S/F]$ ,  $\mathcal{B}$  is an invariant subalgebra in  $\mathcal{A} = \mathcal{A}_1 \otimes \mathcal{A}_2$ . It is clear that  $\mathcal{A}^S = \mathcal{A}_1 \otimes K = \mathcal{A}_1$ .

It is sufficient to prove that  $\mathcal{B}$  contains no proper invariant ideals. (After this we complete the proof following the proof of Lemma 1.)

Let  $\mathcal{I} \subset \mathcal{B}$  be an invariant ideal. By the Lie-Kolchin theorem,  $\mathcal{I}^S \neq 0$ . Hence  $\mathcal{I}^S$  is a non-zero ideal in  $\mathcal{B} \cap \mathcal{A}_1$ . But any invariant subalgebra in  $\mathcal{A}_1$  contains no proper  $G$ -invariant ideals. Therefore, we have  $\mathcal{I}^S = \mathcal{B} \cap \mathcal{A}_1$  and  $\mathcal{I}^S$  contains constants, thus  $\mathcal{I} = \mathcal{B}$ .  $\square$

This proof shows that  $(G \times S)/(H \times F)$  is an affinely closed homogeneous space.

**PROBLEM 3.** — *Characterize all affinely closed homogeneous spaces of a linear algebraic group  $G$ .*

The last problem concerns canonical embeddings. Let us recall that the modality of a  $G$ -variety  $X$  is the maximal number of parameters in a continuous family of  $G$ -orbits on  $X$ , or, more formally,

$$\text{mod}_G(X) = \max_{Y \subseteq X} \text{tr. deg } K(Y)^G,$$

where  $Y$  runs through all closed irreducible invariant subvarieties in  $X$ .

**PROBLEM 4.** — *Let  $H$  be a Grosshans subgroup of a reductive group  $G$ . Find the modality of  $CE(G/H)$ . In particular, characterize Grosshans subgroups  $H$  of  $G$  such that  $CE(G/H)$  contains a finite number of  $G$ -orbits.*

One may suppose that a reductive hull of  $H$  is  $G$ . Indeed, if a reductive hull of  $H$  is  $L$ , then, by the slice theorem,  $CE(G/H) = G *_L CE(L/H)$  and  $\text{mod}_G(CE(G/H)) = \text{mod}_L(CE(L/H))$ .

*Example 6.* — Let  $G = SL_n$  and  $H$  be the unipotent radical of the maximal parabolic subgroup in  $G$  corresponding to the first  $(n - 2)$  simple roots. It is clear that  $CE(G/H) \cong K^n \times \dots \times K^n$  ( $(n - 1)$  copies) with the diagonal  $G$ -action. This space is covered by finitely many locally closed  $G$ -invariant subsets  $S_{i_1, \dots, i_k}$ , where  $S_{i_1, \dots, i_k}$  is the set of  $(n \times (n - 1))$ -matrices of rank  $k$  with linearly independent columns  $i_1, \dots, i_k$ . An orbit in  $S_{i_1, \dots, i_k}$  depends on  $k(n - 1 - k)$  parameters, which are the coefficients of linear

expressions of the remaining  $n - 1 - k$  columns in terms of the columns  $i_1, \dots, i_k$ . Hence the maximal number of parameters is

$$\text{mod}_G(CE(G/H)) = s^2 \text{ for } n = 2s + 1$$

and

$$\text{mod}_G(CE(G/H)) = s^2 - s \text{ for } n = 2s.$$

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