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# SHEAF QUANTIZATION AND INTERSECTION OF RATIONAL LAGRANGIAN IMMERSIONS

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ABSTRACT. — We study rational Lagrangian immersions in a cotangent bundle, based on the microlocal theory of sheaves. We construct a sheaf quantization of a rational Lagrangian immersion and investigate its properties in Tamarkin category. Using the sheaf quantization, we give an explicit bound for the displacement energy and a Betti/cup-length estimate for the number of the intersection points of the immersion and its Hamiltonian image by a purely sheaf-theoretic method.

RÉSUMÉ. — Nous étudions les immersions lagrangiennes rationnelles dans un fibré cotangent en nous basant sur la théorie microlocale des faisceaux. Nous construisons une quantification faisceautique d'une immersion lagrangienne rationnelle et étudions ses propriétés dans la catégorie de Tamarkin. En utilisant la quantification faisceautique, nous donnons une limite explicite à l'énergie de déplacement et une estimation Betti ou cup-length pour le nombre de points d'intersection de l'immersion et de son image hamiltonienne par une méthode purement faisceautique.

## 1. Introduction

### 1.1. Sheaf-theoretic bound for displacement energy

The microlocal theory of sheaves due to Kashiwara–Schapira [17] has been effectively applied to symplectic geometry for a decade. After the pioneering works by Nadler–Zaslow [20, 21] and Tamarkin [24], numerous theorems related to symplectic geometry have been proved by sheaf-theoretic methods (for example, see [7, 12, 13]). Now the theory is considered to be a powerful tool other than Floer theory for the study of symplectic geometry.

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In [5], the authors gave a purely sheaf-theoretic bound for the displacement energy of compact subsets in a cotangent bundle. Let  $M$  be a connected manifold without boundary and denote by  $T^*M$  its cotangent bundle equipped with the canonical symplectic form  $\omega$ . For a compactly supported  $C^\infty$ -function  $H = (H_s)_{s \in [0,1]}: T^*M \times [0, 1] \rightarrow \mathbb{R}$ , we define  $\|H\| := \int_0^1 (\max_p H_s(p) - \min_p H_s(p)) ds$  and let  $\phi^H = (\phi_s^H)_s: T^*M \times [0, 1] \rightarrow T^*M$  denote the generated Hamiltonian isotopy. For given compact subsets  $A$  and  $B$  of  $T^*M$ , their displacement energy is the infimum of  $\|H\|$  such that  $A \cap \phi_1^H(B) = \emptyset$ . A sheaf-theoretic tool to estimate displacement energy is Tamarkin category [24]. We denote by  $\mathcal{D}(M)$  the Tamarkin category of  $M$ , which is defined as a quotient category of the bounded derived category  $\mathbf{D}^b(M \times \mathbb{R})$  of sheaves of vector spaces over the field  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$  on  $M \times \mathbb{R}$ . For a compact subset  $A$  of  $T^*M$ ,  $\mathcal{D}_A(M)$  denotes the full subcategory of  $\mathcal{D}(M)$  consisting of objects whose microsupports are contained in the cone of  $A$  in  $T^*(M \times \mathbb{R})$ . We also denote by  $\mathcal{H}om^*: \mathcal{D}(M)^{op} \times \mathcal{D}(M) \rightarrow \mathcal{D}(M)$  the canonical internal Hom functor. For an object  $F \in \mathcal{D}(M)$  and  $c \in \mathbb{R}_{\geq 0}$  there exists a canonical morphism  $\tau_{0,c}(F): F \rightarrow T_{c*}F$ , where  $T_c: M \times \mathbb{R} \rightarrow M \times \mathbb{R}$  is the translation by  $c$  to the  $\mathbb{R}$ -direction.

**THEOREM 1.1** ([5, Thm. 4.18]). — *Denote by  $q: M \times \mathbb{R} \rightarrow \mathbb{R}$  the projection and let  $A, B$  be compact subsets of  $T^*M$ . For  $F \in \mathcal{D}_A(M)$ ,  $G \in \mathcal{D}_B(M)$ , if*

$$(1.1) \quad \|H\| < \inf\{c \in \mathbb{R}_{\geq 0} \mid \tau_{0,c}(Rq_* \mathcal{H}om^*(F, G)) = 0\},$$

*then  $A \cap \phi_1^H(B) \neq \emptyset$ .*

Theorem 1.1 asserts that if we find sheaves associated with given compact subsets, we can estimate their displacement energy using these sheaves. However, it says nothing about the existence of such objects and we could only use sheaves associated with some concrete examples or compact exact Lagrangian submanifolds [12]. In this paper, for a certain class of Lagrangian immersions, we construct such objects that give an explicit bound of the displacement energy, based on sheaf quantization.

### 1.2. Sheaf quantization

For a subset of a cotangent bundle (resp. a cosphere bundle), in particular a Lagrangian (resp. Legendrian) submanifold, a *sheaf quantization* is a sheaf whose microsupport coincides with the subset. The process to

associate a sheaf quantization with a given subset is also called sheaf quantization. Since the microsupport of a sheaf is always conic, for a non-conic subset of  $T^*M$ , we conify it adding one variable  $\mathbb{R}$ . In this way, we obtain a conic subset of  $T^*(M \times \mathbb{R})$  or equivalently a subset of the cosphere bundle  $ST^*(M \times \mathbb{R})$  and we could construct a sheaf quantization of the subset.

Guillermou [11, 12] constructed a sheaf quantization of a compact exact Lagrangian submanifold of  $T^*M$ , whose conification is a Legendrian submanifold of  $ST^*(M \times \mathbb{R})$  with no Reeb chords. He first constructed a sheaf on  $M \times (0, +\infty) \times \mathbb{R}$ , which can be regarded as a family of objects of  $\mathbf{D}^b(M \times \mathbb{R})$  parametrized by  $(0, +\infty)$ , and then obtained a sheaf quantization on  $M \times \mathbb{R}$  as a limit of the family at  $+\infty$ .

In this paper, we construct a sheaf quantization of a general compact Legendrian submanifold of  $ST^*(M \times \mathbb{R}/\theta\mathbb{Z})$  with some  $\theta \in \mathbb{R}_{\geq 0}$  (see Theorem 4.4 and Remark 4.6). Such a Legendrian is a conification of a strongly rational Lagrangian immersion (see Definition 4.1 and Remark 4.6). For the construction, we follow the idea of Guillermou [12]. In our setting, his construction is obstructed by the existence of Reeb chords and it only gives a family parametrized by  $(0, a)$ , where  $a$  is a positive real number less than the shortest length of the Reeb chords. In this way, we obtain an object  $G_{(0,a)}$  of the category  $\mathbf{D}_{/[1]}^b(M \times (0, a) \times \mathbb{R}/\theta\mathbb{Z})$ , where  $\mathbf{D}_{/[1]}^b(X)$  denotes the triangulated orbit category of sheaves on a manifold  $X$  (see Section 2). We also call this object  $G_{(0,a)}$  a sheaf quantization.

*Remark 1.2.* — The reasons why we construct a sheaf quantization as an object of  $\mathbf{D}_{/[1]}^b(M \times (0, a) \times \mathbb{R}/\theta\mathbb{Z})$  is the following threefold:

- (i) The appearance of  $\mathbb{R}/\theta\mathbb{Z}$  comes from the fact that a primitive of the Liouville 1-form on a strongly rational Lagrangian takes value only modulo  $\theta$ .
- (ii) Using a sheaf on  $M \times (0, a) \times \mathbb{R}/\theta\mathbb{Z}$  rather than one on  $M \times \mathbb{R}/\theta\mathbb{Z}$  is the essential idea to obtain better energy estimates as in Theorems 1.5 and 1.6 below. The restriction of the sheaf to  $M \times \{u\} \times \mathbb{R}/\theta\mathbb{Z}$  for some  $u \in (0, a)$  can also give an energy estimate but it is worse in general.
- (iii) We can only construct a sheaf quantization as an object of the triangulated orbit category  $\mathbf{D}_{/[1]}^b$  because of the existence of an obstruction class, which is related to the Maslov class (see [12, §10.3]). This is why we use the orbit category instead of the usual derived category.

### 1.3. Intersection of rational Lagrangian immersions

Based on Theorem 1.1 and sheaf quantization introduced in Section 1.2, we give an explicit bound for the displacement energy of a rational Lagrangian immersion with a purely sheaf-theoretic method. Not only a bound for the energy, we also give an estimate of the number of intersection points by the total Betti number and the cup-length of the Lagrangian.

DEFINITION 1.3.

- (i) A Lagrangian immersion  $\iota: L \rightarrow T^*M$  is said to be rational if there exists  $\sigma(\iota) \in \mathbb{R}_{\geq 0}$  such that

$$(1.2) \quad \left\{ \int_{D^2} v^* \omega \mid (v, \bar{v}) \in \Sigma(\iota) \right\} = \sigma(\iota) \cdot \mathbb{Z},$$

where

$$(1.3) \quad \Sigma(\iota) := \left\{ (v, \bar{v}) \mid \begin{array}{l} v: D^2 \rightarrow T^*M, \bar{v}: \partial D^2 \rightarrow L, \\ v|_{\partial D^2} = \iota \circ \bar{v} \end{array} \right\}.$$

- (ii) For a rational Lagrangian immersion  $\iota: L \rightarrow T^*M$ , one defines

$$(1.4) \quad e(\iota) := \inf \left( \left\{ \int_{D^2} v^* \omega \mid (v, \bar{v}) \in E(\iota) \amalg \Sigma(\iota) \right\} \cap \mathbb{R}_{>0} \right),$$

where

$$(1.5) \quad E(\iota) := \left\{ (v, \bar{v}) \mid \begin{array}{l} v: D^2 \rightarrow T^*M, \bar{v}: [0, 1] \rightarrow L, \\ \bar{v}(0) \neq \bar{v}(1), \iota \circ \bar{v}(0) = \iota \circ \bar{v}(1), \\ v|_{\partial D^2} \circ \exp(2\pi\sqrt{-1}(-)) = \iota \circ \bar{v} \end{array} \right\}.$$

Here we put the following additional assumption.

ASSUMPTION 1.4. — There exists no  $(v, \bar{v}) \in E(\iota)$  with  $\int_{D^2} v^* \omega = 0$ .

Our explicit bounds are the following.

THEOREM 1.5 (see Theorem 5.4). — Let  $\iota: L \rightarrow T^*M$  be a compact rational Lagrangian immersion satisfying Assumption 1.4. If  $\|H\| < e(\iota)$  and  $\iota: L \rightarrow T^*M$  intersects  $\phi_1^H \circ \iota: L \rightarrow T^*M$  transversally, then

$$(1.6) \quad \# \{ (y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y') \} \geq \sum_{i=0}^{\dim L} b_i(L).$$

THEOREM 1.6 (see Theorem 5.5). — *Let  $\iota: L \rightarrow T^*M$  be a compact rational Lagrangian immersion satisfying Assumption 1.4. If a Hamiltonian function  $H$  satisfies  $\|H\| < \min(\{e(\iota)\} \cup (\{\sigma(\iota)/2\} \cap \mathbb{R}_{>0}))$ , then*

$$(1.7) \quad \# \{(y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y')\} \geq \text{cl}(L) + 1,$$

where  $\text{cl}(L)$  denotes the cup-length of  $L$  over  $\mathbb{F}_2$ .

In particular, Theorem 1.5 gives a bound for the displacement energy of the image of a rational Lagrangian immersion.

In what follows, we give the outline of our proof.

First, we can reduce the problems to the case of a strongly rational Lagrangian immersion, where Assumption 1.4 guarantees that the associated Legendrian is a submanifold of  $ST^*(M \times \mathbb{R}/\theta\mathbb{Z})$ . Let  $a \in \mathbb{R}_{>0}$  be less than the shortest length of the Reeb chords of the Legendrian, which is related to  $e(\iota)$ . As mentioned in Section 1.2, we can associate a sheaf quantization  $G_{(0,a)} \in \mathbf{D}_{/[1]}^b(M \times (0, a) \times \mathbb{R}/\theta\mathbb{Z})$  with the Legendrian submanifold. Using the quantization object, we define two objects  $F_{(0,a)} := Rj_{a!}G_{(0,a)}$ ,  $F_{[0,a]} := Rj_{a*}G_{(0,a)} \in \mathbf{D}_{/[1]}^b(M \times \mathbb{R} \times \mathbb{R}/\theta\mathbb{Z})$ , where  $j_a$  is the inclusion  $M \times (0, a) \times \mathbb{R}/\theta\mathbb{Z} \rightarrow M \times \mathbb{R} \times \mathbb{R}/\theta\mathbb{Z}$ .

To use the objects  $F_{(0,a)}$  and  $F_{[0,a]}$  effectively, we introduce a modified version of Tamarkin category  $\mathcal{D}^P(M)_\theta$  parametrized by a manifold  $P$  with period  $\theta$ , which is defined as a quotient category of  $\mathbf{D}_{/[1]}^b(M \times P \times \mathbb{R}/\theta\mathbb{Z})$ . In the case  $P = \text{pt}$  and  $\theta = 0$ , the category recovers (an orbit version of) the usual Tamarkin category  $\mathcal{D}(M)$ . Similarly to the case of  $\mathcal{D}(M)$ , we can define a canonical internal Hom functor  $\mathcal{H}om^*: \mathcal{D}^P(M)_\theta^{\text{op}} \times \mathcal{D}^P(M)_\theta \rightarrow \mathcal{D}^P(M)_\theta$ . For  $c \in \mathbb{R}$  we denote by  $T_c$  the translation by  $c$  on  $\mathbb{R}/\theta\mathbb{Z}$  modulo  $\theta$ . In this setting, we can show that the results in [5] including Theorem 1.1 also hold (see Section 3 and Appendix A). This modification corresponds to the family version of the previous results and gives a better energy estimate in some cases. Indeed,  $F_{(0,a)}$  and  $F_{[0,a]}$  define objects of  $\mathcal{D}^{\mathbb{R}}(M)_\theta$ , and we can prove that  $\tau_{0,c}(Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}))$  is non-zero for any  $0 \leq c < a$ , where  $q: M \times \mathbb{R} \times \mathbb{R}/\theta\mathbb{Z} \rightarrow \mathbb{R}/\theta\mathbb{Z}$  is the projection. We cannot obtain such estimate with  $G_{(0,a)}|_{M \times \{u\} \times \mathbb{R}/\theta\mathbb{Z}} \in \mathcal{D}^{\text{pt}}(M)_\theta$  for some  $u \in (0, a)$  and this is why we use the parametrized version.

For the bounds for the number of the intersection points, similarly to [15] we study the object  $\mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}^H)$ , where  $F_{[0,a]}^H$  denotes the Hamiltonian deformation of  $F_{[0,a]}$  associated with  $\phi_1^H$ . We find that its microsupport is related to the intersection  $\# \{(y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y')\}$  and for

any  $c \in \mathbb{R}$

$$(1.8) \quad \begin{aligned} & H^* R\Gamma_{[c, +\infty)}(\ell^! Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}^H))_c \\ & \simeq H^* R\Gamma(\Omega_+; \mu hom(F_{(0,a)}, T_{-c_*} F_{[0,a]}^H)), \end{aligned}$$

where  $\ell: \mathbb{R} \rightarrow \mathbb{R}/\theta\mathbb{Z}$  is the quotient map and  $\Omega_+ = \{\tau > 0\} \subset T^*(M \times \mathbb{R} \times \mathbb{R}/\theta\mathbb{Z})$  with  $(t; \tau)$  being the homogeneous coordinate on  $T^*(\mathbb{R}/\theta\mathbb{Z})$ . We study an action of  $H^*(L) = \bigoplus_{i \in \mathbb{Z}} H^i(L; \mathbb{F}_2)$  on (1.8) and even compute the right-hand side explicitly in the case where the intersection is transverse. These computations are more difficult than previous works such as [12, 15] because of the singularity of the microsupports of  $F_{(0,a)}$  and  $F_{[0,a]}$ . Combining the computations with the Morse inequality for sheaves and some properties of an algebraic counterpart of cup-length, we obtain the theorems. A benefit of the microlocal theory of sheaves especially appears in the proof of the cup-length bound. We prove the triviality of  $H^*(L)$ -action on the each contribution by showing that the action factors through a microlocal category. This microlocal sheaf-theoretic proof is more straightforward than that via Floer theory, which needs an unusual construction of suitable moduli spaces [3, 19].

### Related topics

Sheaf quantization has been studied in several situations. Guillermou–Kashiwara–Schapira [13] constructed a sheaf quantization of the graph of a Hamiltonian isotopy. Guillermou [11, 12] constructed a sheaf quantization of a compact exact Lagrangian submanifold of a cotangent bundle and applied it to the study of the topology of the Lagrangian. Note that Viterbo [25] also constructed a sheaf quantization of a compact exact Lagrangian submanifold, based on Floer theory. Jin–Treumann [16] studied the relation between sheaf quantization and brane structures.

The microlocal theory of sheaves is also applied to quantitative problems in symplectic geometry. Indeed, Tamarkin [24] already mentioned an action of Novikov ring on Tamarkin category (see also [5, Rem. 4.21]). Chiu [7] proved a non-squeezing result based on a sheaf-theoretic method. See also the recent textbook by Zhang [26] for the quantitative aspect.

Results similar to Theorems 1.5 and 1.6 were also proved by Floer-theoretic methods for a compact symplectic manifold, without Assumption 1.4. Chekanov [6] proved Theorem 1.5 for a rational embedding  $\iota$  with  $\sigma(\iota) > 0$  and Akaho [1] proved Theorem 1.5 for an exact immersion  $\iota$ ,

which corresponds to the condition  $\sigma(\iota) = 0$ . Liu [19] gave a proof of Theorem 1.6 for a rational Lagrangian embedding with a better bound for  $\|H\|$ . Floer-theoretic approach could give better estimates in the cases where a bounding cochain exists [2, 8, 9, 10]. See also Remarks 5.7 and 5.8.

Both of the constructions of Floer homology groups and sheaf quantizations are obstructed by Reeb chords. Augmentations or bounding cochains were originally introduced to resolve the obstruction to construct Legendrian contact homology or Lagrangian Floer homology. Augmentations are also related to sheaf quantization of Legendrians [4, 22, 23]. In this work, we construct sheaf quantizations in a more general setting without assuming the existence of augmentations or bounding cochains, though our quantizations have less information than the quantizations in [4, 22, 23]. Combining our argument in this paper with sheaf quantization with augmentations, we expect that we could obtain a better estimate for the displacement energy.

## Organization

The structure of the paper is as follows. In Section 2, we give some results of the microlocal theory of sheaves in the triangulated orbit category. In Section 3, we introduce the modified version of Tamarkin category and give some refined versions of the results of Asano–Ike [5]. In Section 4, we construct a sheaf quantization of a strongly rational Lagrangian immersion in a cotangent bundle. In Section 5, we prove Theorems 1.5 and 1.6 based on the results obtained in the previous sections. In Appendix A, we give details on the modified version of Tamarkin category.

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## 2. Preliminaries on microlocal sheaf theory

In this paper, we assume that all manifolds are real manifolds of class  $C^\infty$  without boundary. Throughout this paper, let  $\mathbf{k}$  be the field  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ .

In this section, we recall some definitions and results from [12, 17] and prepare some notions. We mainly follow the notation in [17]. Until the end of this section, let  $X$  be a manifold.

### 2.1. Geometric notions

For a locally closed subset  $Z$  of  $X$ , we denote by  $\bar{Z}$  its closure. We also denote by  $\Delta_X$  the diagonal of  $X \times X$ . We denote by  $TX$  the tangent bundle and by  $T^*X$  the cotangent bundle of  $X$ , and write  $\pi_X : T^*X \rightarrow X$  or simply  $\pi$  for the projection. For a submanifold  $M$  of  $X$ , we denote by  $T_M^*X$  the conormal bundle to  $M$  in  $X$ . In particular,  $T_X^*X$  denotes the zero-section of  $T^*X$ . We set  $\mathring{T}^*X := T^*X \setminus T_X^*X$ . For two subsets  $S_1$  and  $S_2$  of  $X$ , we denote by  $C(S_1, S_2) \subset TX$  the normal cone of the pair  $(S_1, S_2)$ .

With a morphism of manifolds  $f : X \rightarrow Y$ , we associate the following morphisms and commutative diagram:

$$(2.1) \quad \begin{array}{ccccc} T^*X & \xleftarrow{f_d} & X \times_Y T^*Y & \xrightarrow{f_\pi} & T^*Y \\ \pi_X \downarrow & & \downarrow \pi & & \downarrow \pi_Y \\ X & \xlongequal{\quad} & X & \xrightarrow{f} & Y, \end{array}$$

where  $f_\pi$  is the projection and  $f_d$  is induced by the transpose of the tangent map  $f' : TX \rightarrow X \times_Y TY$ .

We denote by  $(x; \xi)$  a local homogeneous coordinate system on  $T^*X$ . The cotangent bundle  $T^*X$  is an exact symplectic manifold with the Liouville 1-form  $\alpha = \langle \xi, dx \rangle$ . Thus the symplectic form on  $T^*X$  is defined to be  $\omega = d\alpha$ . We denote by  $a : T^*X \rightarrow T^*X, (x; \xi) \mapsto (x; -\xi)$  the antipodal map. For a subset  $A$  of  $T^*X$ ,  $A^a$  denotes its image under the antipodal map  $a$ . We also denote by  $\mathbf{h} : T^*T^*X \xrightarrow{\sim} TT^*X$  the Hamiltonian isomorphism given in local coordinates by  $\mathbf{h}(dx_i) = -\partial/\partial\xi_i$  and  $\mathbf{h}(d\xi_i) = \partial/\partial x_i$ . We will identify  $T^*T^*X$  and  $TT^*X$  by  $-\mathbf{h}$ .

*Notation 2.1.* — For notational simplicity, we sometimes write  $\{P(x)\}_X$  for  $\{x \in X \mid P(x)\}$  if there is no risk of confusion.

## 2.2. Microsupports of objects in orbit category

We denote by  $\mathbf{k}_X$  the constant sheaf with stalk  $\mathbf{k}$  and by  $\text{Mod}(\mathbf{k}_X)$  the abelian category of sheaves of  $\mathbf{k}$ -vector spaces on  $X$ . Moreover, we denote by  $\mathbf{D}^b(\mathbf{k}_X)$  the bounded derived category of sheaves of  $\mathbf{k}$ -vector spaces. One can define Grothendieck's six operations  $R\mathcal{H}om, \otimes, Rf_*, f^{-1}, Rf!, f^!$  for a continuous map  $f: X \rightarrow Y$  with suitable conditions. For a locally closed subset  $Z$  of  $X$ , we denote by  $\mathbf{k}_Z$  the zero-extension of the constant sheaf with stalk  $\mathbf{k}$  on  $Z$  to  $X$ , extended by 0 on  $X \setminus Z$ . Moreover, for a locally closed subset  $Z$  of  $X$  and  $F \in \mathbf{D}^b(\mathbf{k}_X)$ , we define  $F_Z, R\Gamma_Z(F) \in \mathbf{D}^b(\mathbf{k}_X)$  by

$$(2.2) \quad F_Z := F \otimes \mathbf{k}_Z, \quad R\Gamma_Z(F) := R\mathcal{H}om(\mathbf{k}_Z, F).$$

Let us recall the definition of the *microsupport*  $\text{SS}(F)$  of an object  $F \in \mathbf{D}^b(\mathbf{k}_X)$ . Remark that we can define the microsupport of an object over any commutative ring, which we will use below for  $\mathbb{K} = \mathbf{k}[\varepsilon]/(\varepsilon^2)$ .

DEFINITION 2.2 ([17, Def. 5.1.2]). — *Let  $F \in \mathbf{D}^b(\mathbf{k}_X)$  and  $p \in T^*X$ . One says that  $p \notin \text{SS}(F)$  if there is a neighborhood  $U$  of  $p$  in  $T^*X$  such that for any  $x_0 \in X$  and any  $C^\infty$ -function  $\varphi$  on  $X$  (defined on a neighborhood of  $x_0$ ) satisfying  $d\varphi(x_0) \in U$ , one has  $R\Gamma_{\{x \in X \mid \varphi(x) \geq \varphi(x_0)\}}(F)_{x_0} \simeq 0$ .*

In this paper, we will work in the triangulated orbit category  $\mathbf{D}^b_{/[1]}(X)$  for sheaves studied in [11, 12], which was originally defined in Keller [18]. Here we recall its definition and properties. See [11, 12] for more details.

Let  $\mathbb{K}$  be the  $\mathbf{k}$ -algebra  $\mathbf{k}[\varepsilon]/(\varepsilon^2)$  and  $\text{perf}(\mathbb{K}_X)$  be the full triangulated subcategory of  $\mathbf{D}^b(\mathbb{K}_X)$  generated by the image of the functor  $\mathfrak{e}: \mathbf{D}^b(\mathbf{k}_X) \rightarrow \mathbf{D}^b(\mathbb{K}_X)$ ,  $F \mapsto \mathbb{K}_X \otimes_{\mathbf{k}_X} F$ . We denote by  $\mathbf{D}^b_{/[1]}(X)$  or  $\mathbf{D}^b_{/[1]}(\mathbf{k}_X)$  the quotient category  $\mathbf{D}^b(\mathbb{K}_X)/\text{perf}(\mathbb{K}_X)$ . For any  $F \in \mathbf{D}^b_{/[1]}(X)$ ,  $F[1]$  is isomorphic to  $F$ . We also denote by  $\mathfrak{i}$  the composite functor  $\mathfrak{i}: \mathbf{D}^b(\mathbf{k}_X) \rightarrow \mathbf{D}^b(\mathbb{K}_X) \rightarrow \mathbf{D}^b_{/[1]}(X)$ , where the former functor is induced by the natural ring homomorphism  $\mathbb{K} \rightarrow \mathbf{k}$  corresponding to the trivial  $\varepsilon$ -action and the latter is the quotient functor. The Grothendieck's six operations are defined also on the orbit categories and commute with  $\mathfrak{i}$ . Adjunctions, natural transformations and natural isomorphisms between composites of the operations exist as in the usual case. A cohomological functor  $H^*: \mathbf{D}^b_{/[1]}(X) \rightarrow \text{Mod}(\mathbf{k}_X)$  is defined so that  $H^*(F)$  is the sheafification of the presheaf  $(U \mapsto \text{Hom}_{\mathbf{D}^b_{/[1]}(U)}(\mathbf{k}_U, F|_U))$  on  $X$ . This functor satisfies  $H^*(\mathfrak{i}(F)) = \bigoplus_{n \in \mathbb{Z}} H^n(F)$  for  $F$  of  $\mathbf{D}^b(\mathbf{k}_X)$ . The functor  $H^*$  for  $X = \text{pt}$

gives an equivalence between  $\mathbf{D}_{/[1]}^b(\text{pt})$  and  $\text{Mod}(\mathbf{k})$ . Note also that

$$(2.3) \quad \text{Hom}_{\mathbf{D}_{/[1]}^b(X)}(\mathbf{i}(F), \mathbf{i}(G)) \simeq \bigoplus_{n \in \mathbb{Z}} \text{Hom}_{\mathbf{D}^b(\mathbf{k}_X)}(F, G[n]) \quad \text{for } F, G \in \mathbf{D}^b(\mathbf{k}_X).$$

Guillermou [11, 12] also introduced the microsupport  $\text{SS}(F) \subset T^*X$  of an object  $F \in \mathbf{D}_{/[1]}^b(X)$ . We define  $\text{SS}(F) := \bigcap_{F' \simeq F} \text{SS}_{\mathbb{K}}(F')$ , where  $F'$  runs over objects of  $\mathbf{D}^b(\mathbb{K}_X)$  that are isomorphic to  $F$  in  $\mathbf{D}_{/[1]}^b(X)$  and  $\text{SS}_{\mathbb{K}}(F')$  denotes the usual microsupport of  $F'$  as an object of  $\mathbf{D}^b(\mathbb{K}_X)$ . We also set  $\mathring{\text{SS}}(F) := \text{SS}(F) \cap \mathring{T}^*X = \text{SS}(F) \setminus T_X^*X$ . One can check the following properties.

PROPOSITION 2.3.

- (i) *The microsupport of an object in  $\mathbf{D}_{/[1]}^b(X)$  is a conic (i.e., invariant under the action of  $\mathbb{R}_{>0}$  on  $T^*X$ ) closed subset of  $T^*X$ .*
- (ii) *The microsupports satisfy the triangle inequality: if  $F_1 \rightarrow F_2 \rightarrow F_3 \xrightarrow{+1}$  is an exact triangle in  $\mathbf{D}_{/[1]}^b(X)$ , then  $\text{SS}(F_i) \subset \text{SS}(F_j) \cup \text{SS}(F_k)$  for  $j \neq k$ .*
- (iii) *For  $F \in \mathbf{D}_{/[1]}^b(\mathbb{R}^n)$  with  $\text{SS}(F) \subset T_{\mathbb{R}^n}^*\mathbb{R}^n$ , there exists  $L \in \text{Mod}(\mathbf{k})$  such that  $F \simeq L_{\mathbb{R}^n}$ .*

Using microsupports, we can microlocalize the category  $\mathbf{D}_{/[1]}^b(X)$  as follows.

DEFINITION 2.4.

- (i) *For a subset  $A$  of  $\mathring{T}^*X$ , one defines  $\mathbf{D}_{/[1],A}^b(X)$  to be the triangulated subcategory of  $\mathbf{D}_{/[1]}^b(X)$  consisting of  $F$  with  $\mathring{\text{SS}}(F) \subset A$ .*
- (ii) *For a subset  $\Omega$  of  $T^*X$ , one defines  $\mathbf{D}_{/[1]}^b(X; \Omega)$  to be the categorical localization of  $\mathbf{D}_{/[1]}^b(X)$  by  $\mathbf{D}_{/[1],\mathring{T}^*X \setminus \Omega}^b(X)$ . That is,  $\mathbf{D}_{/[1]}^b(X; \Omega) := \mathbf{D}_{/[1]}^b(X) / \mathbf{D}_{/[1],\mathring{T}^*X \setminus \Omega}^b(X)$ .*
- (iii) *For a subset  $B$  of  $\Omega$ ,  $\mathbf{D}_{/[1],B}^b(X; \Omega)$  denotes the full triangulated subcategory of  $\mathbf{D}_{/[1]}^b(X; \Omega)$  consisting of  $F$  with  $\mathring{\text{SS}}(F) \cap \Omega \subset B$ .*
- (iv) *For a subset  $B$  of  $\mathring{T}^*X$ ,  $\mathbf{D}_{/[1],(B)}^b(X)$  denotes the full triangulated subcategory of  $\mathbf{D}_{/[1]}^b(X)$  consisting of  $F$  for which there exists a neighborhood  $U$  of  $B$  such that  $\mathring{\text{SS}}(F) \cap U \subset B$ .*

The fact that  $\mathbf{D}_{/[1],A}^b(X)$  is a triangulated subcategory follows from the triangle inequality (Proposition 2.3(ii)). Note that  $\mathbf{D}_{/[1],A}^b(X)$  contains locally constant sheaves on  $X$ . Remark also that our notation is the same as in [11, 12] and slightly differs from that of [17].

The definition below is derived through the discussion with S. Guillermou.

**DEFINITION 2.5.** — *Let  $\mathcal{U} = \{U_\alpha\}_\alpha$  be an open covering of  $X$  and  $\mathcal{V} = \{V_\alpha\}_\alpha$  be an open covering of an open subset  $\Omega$  of  $T^*X$ .*

- (i) *An object  $F \in \mathbf{D}_{/[1]}^b(X; \Omega)$  is said to be locally tame with respect to  $\mathcal{U}$  if  $F|_{U_\alpha}$  is isomorphic to some  $i(G_\alpha)$  as an object of  $\mathbf{D}_{/[1]}^b(U_\alpha; \Omega \cap T^*U_\alpha)$  for each  $U_\alpha \in \mathcal{U}$ . An object of  $\mathbf{D}_{/[1]}^b(X; \Omega)$  is said to be locally tame if it is locally tame with respect to some open covering of  $X$ .*
- (ii) *An object  $F \in \mathbf{D}_{/[1]}^b(X; \Omega)$  is said to be microlocally tame with respect to  $\mathcal{V}$  if  $F$  is isomorphic to some  $i(G_\alpha)$  as an object of  $\mathbf{D}_{/[1]}^b(X; V_\alpha)$  for each  $V_\alpha \in \mathcal{V}$ . An object of  $\mathbf{D}_{/[1]}^b(X; \Omega)$  is said to be microlocally tame if it is microlocally tame with respect to some open covering of  $\Omega$ .*
- (iii) *Let  $B$  be a subset of  $\mathring{T}^*X$ . One defines  $\mathbf{D}_{/[1],(B)}^{b,mt}(X)$  to be the full subcategory of  $\mathbf{D}_{/[1]}^b(X)$  consisting of  $F$  for which there exists a neighborhood  $\Omega$  of  $B$  such that  $\mathring{SS}(F) \cap \Omega \subset B$  and  $F \in \mathbf{D}_{/[1]}^b(X; \Omega)$  is microlocally tame.*

**Remark 2.6.** — The reason why we introduce the notions of tame and microlocally tame objects is that we can deduce the statements in this section directly from the corresponding ones in [17].

### 2.3. Functorial operations

We consider the behavior of the microsupports with respect to functorial operations.

**PROPOSITION 2.7** (cf. [17, Prop. 5.4.4, Prop. 5.4.13, and Prop. 5.4.5]). *Let  $f: X \rightarrow Y$  be a morphism of manifolds,  $F \in \mathbf{D}_{/[1]}^b(X)$ , and  $G \in \mathbf{D}_{/[1]}^b(Y)$ .*

- (i) *Assume that  $f$  is proper on  $\text{Supp}(F)$ . Then*

$$\text{SS}(f_*F) \subset f_\pi f_d^{-1}(\text{SS}(F)).$$

- (ii) Assume that  $f$  is non-characteristic for  $\text{SS}(G)$  (see [17, Def. 5.4.12] for the definition). Then  $\text{SS}(f^{-1}G) \cup \text{SS}(f^!G) \subset f_d f_\pi^{-1}(\text{SS}(G))$ .
- (iii) Assume that  $f: X \rightarrow Y$  is a vector bundle over  $Y$  and there exists an open covering  $\mathcal{U}$  of  $Y$  such that  $F$  is locally tame with respect to  $f^{-1}\mathcal{U} := \{f^{-1}(U) \mid U \in \mathcal{U}\}$ . Then  $\text{SS}(F) \subset f_d(X \times_Y T^*Y)$  if and only if the morphism  $f^{-1}Rf_*F \rightarrow F$  is an isomorphism.

The following proposition is called the microlocal Morse lemma, which follows from Proposition 2.3(iii) and Proposition 2.7(i).

PROPOSITION 2.8 (cf. [17, Prop. 5.4.17]). — Let  $F \in \mathbf{D}_{/[1]}^b(X)$  and  $\varphi: X \rightarrow \mathbb{R}$  be a  $C^\infty$ -function. Moreover, let  $a, b \in \mathbb{R}$  with  $a < b$ . Assume

- (i)  $\varphi$  is proper on  $\text{Supp}(F)$ ,
- (ii)  $d\varphi(x) \notin \text{SS}(F)$  for any  $x \in \varphi^{-1}([a, b])$ .

Then the canonical morphism

$$(2.4) \quad R\Gamma(\varphi^{-1}((-\infty, b)); F) \rightarrow R\Gamma(\varphi^{-1}((-\infty, a)); F)$$

is an isomorphism.

For closed conic subsets  $A$  and  $B$  of  $T^*X$ , let us denote by  $A + B$  the fiberwise sum of  $A$  and  $B$ , that is,

$$(2.5) \quad A + B := \left\{ (x; a + b) \mid \begin{array}{l} x \in \pi(A) \cap \pi(B), \\ a \in A \cap \pi^{-1}(x), b \in B \cap \pi^{-1}(x) \end{array} \right\} \subset T^*X.$$

PROPOSITION 2.9 (cf. [17, Prop. 5.4.14]). — Let  $F, G \in \mathbf{D}_{/[1]}^b(X)$ .

- (i) If  $\text{SS}(F) \cap \text{SS}(G)^a \subset T_X^*X$ , then  $\text{SS}(F \otimes G) \subset \text{SS}(F) + \text{SS}(G)$ .
- (ii) If  $\text{SS}(F) \cap \text{SS}(G) \subset T_X^*X$ , then  $\text{SS}(\mathcal{H}om(F, G)) \subset \text{SS}(F)^a + \text{SS}(G)$ .

Let  $\varphi: X \rightarrow \mathbb{R}$  be a  $C^\infty$ -function and assume that  $d\varphi(x) \neq 0$  for any  $x \in \varphi^{-1}(0)$ . Set  $U := \{x \in X \mid \varphi(x) < 0\}$ . For such an open subset  $U$  of  $X$ , we define

$$(2.6) \quad N^*(U) := \text{SS}(\mathbf{k}_U)^a = T_X^*X|_U \cup \{(x; \lambda d\varphi(x)) \mid \varphi(x) = 0, \lambda \leq 0\}.$$

LEMMA 2.10. — Let  $U$  be an open subset of  $X$  as above,  $j: U \rightarrow X$  be the open embedding, and  $Z := \bar{U}$ . Moreover, let  $F \in \mathbf{D}_{/[1]}^b(X)$  be a locally tame object.

- (i) If  $\text{Supp}(F) \subset Z$  and  $\text{SS}(F) \cap N^*(U) \subset T_X^*X$ , there exists a natural isomorphism  $F_U \simeq F$ .
- (ii) If  $\text{SS}(F) \cap N^*(U)^a \subset T_X^*X$ , there exists a natural isomorphism  $F_Z \simeq Rj_*j^{-1}F$ .

*Proof.* — (i) Consider the exact triangle  $F_U \rightarrow F \rightarrow F_{\varphi^{-1}(0)} \xrightarrow{+1}$ , where  $\text{SS}(F_U) \subset N^*(U)^a + \text{SS}(F)$  and  $(N^*(U)^a + \text{SS}(F)) \cap N^*(U) \subset T_X^*X$ . By Proposition 2.3(ii), we have  $\text{SS}(F_{\varphi^{-1}(0)}) \cap N^*(U) \subset T_X^*X$ . However, for any locally tame  $G \in \mathbf{D}_{/[1]}^b(X)$  supported on a closed submanifold  $N$  of  $X$ , the set  $\text{SS}(G)$  contains  $T_N^*X|_{\text{Supp}(G)}$ , which intersects with  $N^*(U)$  outside the zero-section unless  $\text{Supp}(G) = \emptyset$ . Hence, we obtain  $F_{\varphi^{-1}(0)} \simeq 0$ .

(ii) We obtain the morphism by applying  $Rj_*$  to the morphism  $i^{-1}F \rightarrow Rj'_*j'^{-1}i^{-1}F$ , where  $i: Z \rightarrow X$  and  $j': U \rightarrow Z$  are the inclusions. The cone of  $F_Z \rightarrow Rj_*j^{-1}F$  is supported on  $\varphi^{-1}(0)$ . By [17, 5.4.8] and the locally tameness of  $F$ ,  $\text{SS}(F_Z) \cup \text{SS}(Rj_*j^{-1}F) \subset N^*(U) + \text{SS}(F)$  and hence the cone is 0 as in (i). □

**PROPOSITION 2.11** (cf. [17, Thm. 6.3.1]). — *Let  $M$  be a manifold. Set  $U := M \times \mathbb{R}_{<0}$ ,  $X := M \times \mathbb{R}$  and denote by  $j: U \rightarrow X$  the open embedding. Moreover, let  $G \in \mathbf{D}_{/[1]}^b(U)$  and assume that there exists an open covering  $\mathcal{V}$  of the open subset  $\{(x, u; \xi, v) \mid \xi \neq 0\}$  of  $T^*X = T^*(M \times \mathbb{R})$  such that*

- (1)  $(x, u; \xi, v + \lambda) \in V$  for any  $V \in \mathcal{V}$ ,  $(x, u; \xi, v) \in V$  and  $\lambda \in \mathbb{R}$ ,
- (2)  $G$  as an object of  $\mathbf{D}_{/[1]}^b(U; \{(x, u; \xi, \tau) \mid \xi \neq 0, u < 0\})$  is microlocally tame with respect to  $\{V \cap T^*U \mid V \in \mathcal{V}\}$ .

Then, letting  $\overline{\text{SS}(G)}$  denote the closure of  $\text{SS}(G)$  in  $T^*X$ , one has the following.

- (i) If  $\overline{\text{SS}(G)} \cap N^*(U)^a \subset T_X^*X$ , then  $\text{SS}(Rj_*G) \subset \overline{\text{SS}(G)} + N^*(U)$ .
- (ii) If  $\overline{\text{SS}(G)} \cap N^*(U) \subset T_X^*X$ , then  $\text{SS}(Rj_*G) \subset \overline{\text{SS}(G)} + N^*(U)^a$ .

### 2.4. Composition of sheaves

We recall the operation called the composition of sheaves.

For  $i = 1, 2, 3$ , let  $X_i$  be a manifold. We write  $X_{ij} := X_i \times X_j$  and  $X_{123} := X_1 \times X_2 \times X_3$  for short. We denote by  $q_{ij}$  the projection  $X_{123} \rightarrow X_{ij}$ . Similarly, we denote by  $p_{ij}$  the projection  $T^*X_{123} \rightarrow T^*X_{ij}$ . We also denote by  $p_{12^a}$  the composite of  $p_{12}$  and the antipodal map on  $T^*X_2$ .

Let  $A \subset T^*X_{12}$  and  $B \subset T^*X_{23}$ . We set

$$(2.7) \quad A \circ B := p_{13}(p_{12^a}^{-1}A \cap p_{23}^{-1}B) \subset T^*X_{13}.$$

We define the composition of sheaves as follows:

$$(2.8) \quad \begin{aligned} \circ_{X_2} : \mathbf{D}_{/[1]}^b(X_{12}) \times \mathbf{D}_{/[1]}^b(X_{23}) &\rightarrow \mathbf{D}_{/[1]}^b(X_{13}) \\ (K_{12}, K_{23}) &\mapsto K_{12} \circ_{X_2} K_{23} := Rq_{13}!(q_{12}^{-1}K_{12} \otimes q_{23}^{-1}K_{23}). \end{aligned}$$

If there is no risk of confusion, we simply write  $\circ$  instead of  $\circ_{X_2}$ . By Proposition 2.7(i) and (ii) and Proposition 2.9, we have the following.

PROPOSITION 2.12. — *Let  $K_{ij} \in \mathbf{D}_{/[1]}^b(X_{ij})$  and set  $\Lambda_{ij} := \text{SS}(K_{ij}) \subset T^*X_{ij}$  ( $ij = 12, 23$ ). Assume*

- (i)  $q_{13}$  is proper on  $q_{12}^{-1} \text{Supp}(K_{12}) \cap q_{23}^{-1} \text{Supp}(K_{23})$ ,
- (ii)  $p_{12^a}^{-1} \Lambda_{12} \cap p_{23}^{-1} \Lambda_{23} \cap (T_{X_1}^* X_1 \times T^* X_2 \times T_{X_3}^* X_3) \subset T_{X_{123}}^* X_{123}$ .

Then

$$(2.9) \quad \text{SS}(K_{12} \circ_{X_2} K_{23}) \subset \Lambda_{12} \circ \Lambda_{23}.$$

### 2.5. $\mu\text{hom}$ functor

The bifunctor  $\mu\text{hom}$  is originally defined in [17, §4.4] for the usual derived category, and then generalized to the case of the triangulated orbit category by [11, 12] as  $\mu\text{hom}: \mathbf{D}_{/[1]}^b(X)^{\text{op}} \times \mathbf{D}_{/[1]}^b(X) \rightarrow \mathbf{D}_{/[1]}^b(T^*X)$ . Here we recall its properties.

PROPOSITION 2.13 (cf. [17, Cor. 5.4.10 and Cor. 6.4.3]). — *Let  $F, G \in \mathbf{D}_{/[1]}^b(X)$  be microlocally tame. Then*

$$(2.10) \quad \text{Supp}(\mu\text{hom}(F, G)) \subset \text{SS}(F) \cap \text{SS}(G),$$

$$(2.11) \quad \text{SS}(\mu\text{hom}(F, G)) \subset -\mathbf{h}^{-1}(C(\text{SS}(G), \text{SS}(F))).$$

(See Section 2.1 for  $C(S_1, S_2)$  and  $\mathbf{h}: T^*T^*X \xrightarrow{\sim} TT^*X$ .)

If  $F, G \in \mathbf{D}_{/[1]}^b(X; \Omega)$  are microlocally tame,  $\mu\text{hom}(F, G)|_{\Omega} \in \mathbf{D}_{/[1]}^b(\Omega)$  is locally tame.

The functor  $\mu\text{hom}$  gives an enrichment in  $\mathbf{D}_{/[1]}^b(T^*X)$  to  $\mathbf{D}_{/[1]}^b(X)$ . For each  $F \in \mathbf{D}_{/[1]}^b(X)$ ,  $\text{id}_F \in \text{Hom}(F, F) \simeq \text{Hom}(\mathbf{k}_{T^*X}, \mu\text{hom}(F, F))$  induces a morphism

$$(2.12) \quad \text{id}_F^\mu: \mathbf{k}_{T^*X} \rightarrow \mu\text{hom}(F, F).$$

For each  $F, G, H \in \mathbf{D}_{/[1]}^b(X)$ , a composition morphism

$$(2.13) \quad \circ_{F,G,H}^\mu: \mu\text{hom}(G, H) \otimes \mu\text{hom}(F, G) \rightarrow \mu\text{hom}(F, H)$$

is defined. This composition is unital and associative.

For an open subset  $\Omega$  of  $T^*X$ , the restriction of  $\mu\text{hom}$  to  $\Omega$  also gives an enrichment in  $\mathbf{D}_{/[1]}^b(\Omega)$  to  $\mathbf{D}_{/[1]}^b(X; \Omega)$ .

DEFINITION 2.14. — *Let  $\Omega$  be an open subset of  $T^*X$ .*

(i) *For  $F, G \in \mathbf{D}_{/[1]}^b(X; \Omega)$ , define*

$$\mathrm{Hom}_{\Omega}^{\mu}(F, G) := H^*R\Gamma(\Omega; \mu\mathrm{hom}(F, G)).$$

*One also defines a new category  $\mathbf{D}_{/[1]}^{\mu}(X; \Omega)$  as follows:*

$$(2.14) \quad \begin{aligned} \mathrm{Ob}(\mathbf{D}_{/[1]}^{\mu}(X; \Omega)) &:= \mathrm{Ob}(\mathbf{D}_{/[1]}^b(X; \Omega)), \\ \mathrm{Hom}_{\mathbf{D}_{/[1]}^{\mu}(X; \Omega)}(F, G) &:= \mathrm{Hom}_{\Omega}^{\mu}(F, G) \text{ for } F, G \in \mathrm{Ob}(\mathbf{D}_{/[1]}^{\mu}(X; \Omega)). \end{aligned}$$

(ii) *For  $F, G \in \mathbf{D}_{/[1]}^b(X; \Omega)$ ,*

$$m_{F, G}: \mathrm{Hom}_{\mathbf{D}_{/[1]}^b(X; \Omega)}(F, G) \rightarrow \mathrm{Hom}_{\Omega}^{\mu}(F, G)$$

*denotes the natural map, which induces a functor from  $\mathbf{D}_{/[1]}^b(X; \Omega)$  to  $\mathbf{D}_{/[1]}^{\mu}(X; \Omega)$ .*

(iii) *For  $v \in \mathrm{Hom}_{\mathbf{D}_{/[1]}^b(X; \Omega)}(F, G)$ , one denotes by  $v^{\mu}$  the corresponding morphism  $\mathbf{k}_{\Omega} \rightarrow \mu\mathrm{hom}(F, G)|_{\Omega}$  in  $\mathbf{D}_{/[1]}^b(\Omega)$ .*

Note that the notation in (iii) is compatible with (2.12). We also use the notation  $\mathrm{End}_{\Omega}^{\mu}(F) := \mathrm{Hom}_{\Omega}^{\mu}(F, F)$  for  $F \in \mathbf{D}_{/[1]}^b(X; \Omega)$ .

## 2.6. Simple sheaves

Let  $\Lambda$  be a locally closed conic Lagrangian submanifold of  $T^*X$  and  $p \in \Lambda$ . Simple sheaves along  $\Lambda$  at  $p$  are defined in [17, Def. 7.5.4], which we recall below. For a  $C^{\infty}$ -function  $\varphi: X \rightarrow \mathbb{R}$  such that  $\varphi(\pi(p)) = 0$  and  $\Gamma_{d\varphi} := \{(x; d\varphi(x)) \mid x \in X\}$  intersects  $\Lambda$  transversally at  $p$ , one can define  $\tau_{\varphi} = \tau_{p, \varphi} \in \mathbb{Z}$  (see [17, §7.5 and A.3]).

PROPOSITION 2.15 ([17, Prop. 7.5.3]). — *For  $i = 1, 2$ , let  $\varphi_i: X \rightarrow \mathbb{R}$  be a  $C^{\infty}$ -function such that  $\varphi_i(\pi(p)) = 0$  and  $\Gamma_{d\varphi_i}$  intersects  $\Lambda$  transversally at  $p$ . Let  $F \in \mathbf{D}^b(\mathbf{k}_X)$  and assume that  $\mathrm{SS}(F) \subset \Lambda$  in a neighborhood of  $p$ . Then*

$$(2.15) \quad R\Gamma_{\{\varphi_1 \geq 0\}}(F)_{\pi(p)} \simeq R\Gamma_{\{\varphi_2 \geq 0\}}(F)_{\pi(p)} \left[ \frac{1}{2}(\tau_{\varphi_2} - \tau_{\varphi_1}) \right].$$

DEFINITION 2.16 ([17, Def. 7.5.4]). — *Let  $F \in \mathbf{D}^b(\mathbf{k}_X)$  such that  $\mathrm{SS}(F) \subset \Lambda$  in a neighborhood of  $p$ . Then  $F$  is said to be simple if  $R\Gamma_{\{\varphi \geq 0\}}(F)_{\pi(p)} \simeq \mathbf{k}[d]$  for some  $d \in \mathbb{Z}$ , for some (hence for any)  $C^{\infty}$ -function  $\varphi$  such that  $\varphi(\pi(p)) = 0$  and  $\Gamma_{d\varphi}$  intersects  $\Lambda$  transversally at  $p$ . If  $F$  is simple at all points of  $\Lambda$ , one says that  $F$  is simple along  $\Lambda$ .*



DEFINITION 2.17. — An object  $F \in \mathbf{D}_{/[1]}^b(\mathbf{k}_X)$  is said to be simple along  $\Lambda$  if for each  $p \in \Lambda$  there exist an open neighborhood  $U$  of  $p$  in  $\mathring{T}^*X$  and  $G \in \mathbf{D}^b(X; U)$  that is simple along  $\Lambda \cap U$  such that  $i(G) \simeq F$  in  $\mathbf{D}_{/[1]}^b(X; U)$ .

One can prove that if  $F \in \mathbf{D}_{/[1]}^b(X)$  is simple along  $\Lambda$ , then  $\text{id}_F^\mu|_\Lambda : \mathbf{k}_\Lambda \rightarrow \mu\text{hom}(F, F)|_\Lambda$  is an isomorphism.

LEMMA 2.18 (cf. [11, Lem. 6.14]). — Let  $\Lambda_1$  and  $\Lambda_2$  be two conic Lagrangian submanifolds of  $T^*X$  that intersect cleanly. For  $i = 1, 2$ , let  $F_i \in \mathbf{D}_{/[1], (\Lambda_i)}^b(X)$  be simple along  $\Lambda_i$ . Assume that there exists an open neighborhood  $\Omega_i$  of  $\Lambda_i$  for  $i = 1, 2$  and an open covering  $\mathcal{U}$  of  $\Omega_1 \cap \Omega_2$  such that

- (i) each connected component of  $\Lambda_1 \cap \Lambda_2$  is contained in some element of  $\mathcal{U}$ ,
- (ii)  $F_1$  and  $F_2$  are microlocally tame with respect to  $\mathcal{U}$  as objects of  $\mathbf{D}_{/[1]}^b(X; \Omega_1 \cap \Omega_2)$ .

Then  $\mu\text{hom}(F_1, F_2)|_{\Lambda_1 \cap \Lambda_2} \simeq \mathbf{k}_{\Lambda_1 \cap \Lambda_2}$ .

### 3. Tamarkin category and distance for sheaves

In this section, we introduce a modified version of Tamarkin category [24] and the translation distance [5]. Since proofs for the results in this section are almost the same as those of [5, 14], we omit the details here and discuss more precisely in Appendix A.

From now on, until the end of this paper, let  $M$  be a non-empty connected manifold without boundary. Recall also that  $\mathbf{k}$  denotes the field  $\mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$ .

#### 3.1. Definition of Tamarkin category

In this subsection, we define a modified version of Tamarkin category  $\mathcal{D}^P(M)_\theta$ , which is a crucial tool to give a better estimate of displacement energy. For the original definition, see [24] (see also [14]). As mentioned in Remark 1.2, the modifications are the following threefold:

- (i) replacing the additive variable space  $\mathbb{R}$  with  $S_\theta^1 = \mathbb{R}/\theta\mathbb{Z}$  for some  $\theta \in \mathbb{R}_{\geq 0}$ ,
- (ii) adding a parameter manifold  $P$ ,

(iii) using the triangulated orbit category instead of the usual derived category.

Let  $\theta \in \mathbb{R}_{\geq 0}$  and set  $S_\theta^1 := \mathbb{R}/\theta\mathbb{Z}$ . Note that  $S_\theta^1 = \mathbb{R}$  when  $\theta = 0$ . We denote the image of  $t \in \mathbb{R}$  under the quotient map  $\mathbb{R} \rightarrow S_\theta^1$  by  $[t]$  or simply  $t$ . Moreover, let  $P$  be a manifold. Denote by  $(x; \xi)$  a local homogeneous coordinate system on  $T^*M$ , by  $(y; \eta)$  that on  $T^*P$ , and by  $(t; \tau)$  the homogeneous coordinate system on  $T^*S_\theta^1$  and  $T^*\mathbb{R}$ . We define maps  $\tilde{q}_1, \tilde{q}_2, s_\theta: M \times P \times S_\theta^1 \times S_\theta^1 \rightarrow M \times P \times S_\theta^1$  by

$$\begin{aligned}
 (3.1) \quad & \tilde{q}_1(x, y, t_1, t_2) = (x, y, t_1), \\
 & \tilde{q}_2(x, y, t_1, t_2) = (x, y, t_2), \\
 & s_\theta(x, y, t_1, t_2) = (x, y, t_1 + t_2).
 \end{aligned}$$

If there is no risk of confusion, we simply write  $s$  for  $s_\theta$ . We also set

$$\begin{aligned}
 (3.2) \quad & i: M \times P \times S_\theta^1 \rightarrow M \times P \times S_\theta^1, (x, y, t) \mapsto (x, y, -t), \\
 & \ell: M \times P \times \mathbb{R} \rightarrow M \times P \times S_\theta^1, (x, y, t) \mapsto (x, y, [t]).
 \end{aligned}$$

Note also that if  $\theta = 0$  then  $\ell$  is the identity map. We also write  $\ell: \mathbb{R} \rightarrow S_\theta^1, t \mapsto [t]$  by abuse of notation.

DEFINITION 3.1. — For  $F, G \in \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)$ , one sets

$$(3.3) \quad F \star G := R s_! (\tilde{q}_1^{-1} F \otimes \tilde{q}_2^{-1} G),$$

$$\begin{aligned}
 (3.4) \quad & \mathcal{H}om^*(F, G) := R \tilde{q}_{1*} R \mathcal{H}om(\tilde{q}_2^{-1} F, s^! G) \\
 & \simeq R s_* R \mathcal{H}om(\tilde{q}_2^{-1} i^{-1} F, \tilde{q}_1^! G).
 \end{aligned}$$

Note that the functor  $\star$  is a left adjoint to  $\mathcal{H}om^*$ .

For a manifold  $N$ , we set  $\Omega_+(N)_\theta := T^*N \times \{(t; \tau) \mid \tau > 0\} \subset T^*(N \times S_\theta^1)$  and write  $\Omega_+ := \Omega_+(M \times P)_\theta$  for short. We define the map

$$\begin{aligned}
 (3.5) \quad & \rho: \Omega_+ \longrightarrow T^*M \\
 & \quad \cup \qquad \qquad \cup \\
 & (x, y, t; \xi, \eta, \tau) \longmapsto (x; \xi/\tau).
 \end{aligned}$$

We also define an endofunctor  $P_l$  of  $\mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)$  by

$$P_l := R \ell_! \mathbf{k}_{M \times P \times [0, +\infty)} \star (-),$$

which induces the equivalence of categories

$$(3.6) \quad P_l: \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1; \Omega_+) \xrightarrow{\sim} {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times P \times S_\theta^1),$$

where  ${}^\perp(-)$  denotes the left orthogonal.

DEFINITION 3.2. — One defines a category  $\mathcal{D}^P(M)_\theta$  by

$$(3.7) \quad \mathcal{D}^P(M)_\theta := \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1; \Omega_+)$$

and identifies it with the left orthogonal  ${}^{\perp}\mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times P \times S_\theta^1)$ . One also sets  $\text{SS}_+(F) := \text{SS}(F) \cap \Omega_+$  for  $F \in \mathcal{D}^P(M)_\theta$ . For a compact subset  $A$  of  $T^*M$ , one defines a full subcategory  $\mathcal{D}_A^P(M)_\theta$  by

$$(3.8) \quad \mathcal{D}_A^P(M)_\theta := \mathbf{D}_{/[1], \rho^{-1}(A)}^b(M \times P \times S_\theta^1; \Omega_+).$$

If  $P = \text{pt}$ , we omit  $P$  from the above notation.

The bifunctor  $\mathcal{H}om^*$  induces an internal Hom functor  $\mathcal{H}om^* : \mathcal{D}^P(M)_\theta^{\text{op}} \times \mathcal{D}^P(M)_\theta \rightarrow \mathcal{D}^P(M)_\theta$  (see [5] for the details). An argument similar to [14] proves the following.

PROPOSITION 3.3 (cf. [14, Lem. 4.18]). — Let  $q: M \times P \times S_\theta^1 \rightarrow S_\theta^1$  be the projection. For  $F, G \in \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)$ , there are isomorphisms

$$(3.9) \quad \begin{aligned} \text{Hom}_{\mathcal{D}^P(M)_\theta}(Q(F), Q(G)) &\simeq \text{Hom}_{\mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)}(P_l(F), G) \\ &\simeq H^*R\Gamma_{[0, +\infty)}(\mathbb{R}; \ell^1 Rq_* \mathcal{H}om^*(F, G)), \end{aligned}$$

where  $Q: \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1) \rightarrow \mathcal{D}^P(M)_\theta$  is the quotient functor. In particular, if  $F \in {}^{\perp}\mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times P \times S_\theta^1)$ , one has isomorphisms

$$(3.10) \quad \begin{aligned} \text{Hom}_{\mathcal{D}^P(M)_\theta}(Q(F), Q(G)) &\simeq \text{Hom}_{\mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)}(F, G) \\ &\simeq H^*R\Gamma_{[0, +\infty)}(\mathbb{R}; \ell^1 Rq_* \mathcal{H}om^*(F, G)). \end{aligned}$$

### 3.2. Distance and stability with respect to Hamiltonian deformation

We introduce a distance on  $\mathcal{D}^P(M)_\theta$  following [5]. For  $c \in \mathbb{R}$ , we define the translation map

$$(3.11) \quad T_c: M \times P \times S_\theta^1 \rightarrow M \times P \times S_\theta^1, (x, y, t) \mapsto (x, y, t + c).$$

For  $F \in \mathcal{D}^P(M)_\theta$  and  $c, d \in \mathbb{R}$  with  $c \leq d$ , there is a canonical morphism  $\tau_{c,d}(F): T_{c*}F \rightarrow T_{d*}F$  (see Appendix A.3). Using the morphism, we define the translation distance  $d_{\mathcal{D}^P(M)_\theta}$  as in [5].

DEFINITION 3.4 (cf. [5, Def. 4.4]). — Let  $F, G \in \mathcal{D}^P(M)_\theta$ .

- (i) Let  $a, b \in \mathbb{R}_{\geq 0}$ . The pair  $(F, G)$  is said to be  $(a, b)$ -interleaved if there exist morphisms  $\alpha, \delta: F \rightarrow T_{a*}G$  and  $\beta, \gamma: G \rightarrow T_{b*}F$  satisfying the following conditions:

- (1)  $F \xrightarrow{\alpha} T_{a*}G \xrightarrow{T_{a*\beta}} T_{a+b*}F$  is equal to  $\tau_{0,a+b}(F): F \rightarrow T_{a+b*}F$   
and  
(2)  $G \xrightarrow{\gamma} T_{b*}F \xrightarrow{T_{b*\delta}} T_{a+b*}G$  is equal to  $\tau_{0,a+b}(G): G \rightarrow T_{a+b*}G$ .
- (ii) One defines
- $$(3.12) \quad d_{\mathcal{D}^P(M)_\theta}(F, G) := \inf\{a + b \in \mathbb{R}_{\geq 0} \mid a, b \in \mathbb{R}_{\geq 0}, (F, G) \text{ is } (a, b)\text{-interleaved}\},$$
- and calls  $d_{\mathcal{D}^P(M)_\theta}$  the translation distance.

Now we consider Hamiltonian deformations of sheaves. Let  $I$  be an open interval containing the closed interval  $[0, 1]$ . Let  $H: T^*M \times I \rightarrow \mathbb{R}$  be a compactly supported Hamiltonian function and denote by  $\phi^H: T^*M \times I \rightarrow T^*M$  the Hamiltonian isotopy generated by  $H$ . We set

$$(3.13) \quad \|H\| := \int_0^1 \left( \max_p H_s(p) - \min_p H_s(p) \right) ds.$$

Moreover, let  $K^H \in \mathbf{D}^b(M \times S_\theta^1 \times M \times S_\theta^1 \times I)$  be the sheaf quantization associated with  $\phi^H$ , whose existence was proved by Guillermou–Kashiwara–Schapira [13] (see also Appendix A.2). For  $s \in I$ , we set  $K_s^H := K^H|_{M \times S_\theta^1 \times M \times S_\theta^1 \times \{s\}}$ . Then the composition with  $K_s^H$  induces a functor

$$(3.14) \quad \Phi_s^H := K_s^H \circ (-): \mathcal{D}^P(M)_\theta \rightarrow \mathcal{D}^P(M)_\theta,$$

which restricts to  $\mathcal{D}_A^P(M) \rightarrow \mathcal{D}_{\phi_s^H(A)}^P(M)$  for any compact subset  $A$  of  $T^*M$ , by Proposition 2.12. The following proposition is one of the main results of [5], which is a stability result of the translation distance with respect to Hamiltonian deformation. For the outline of the proof, see Appendix A.3.

**PROPOSITION 3.5** (cf. [5, Thm. 4.16]). — *Let  $\phi^H: T^*M \times I \rightarrow T^*M$  be the Hamiltonian isotopy generated by a compactly supported Hamiltonian function  $H: T^*M \times I \rightarrow \mathbb{R}$  and denote by  $\Phi_1^H: \mathcal{D}^P(M)_\theta \rightarrow \mathcal{D}^P(M)_\theta$  the functor associated with  $\phi_1^H$ . Then for  $F \in \mathcal{D}^P(M)_\theta$ , one has an inequality  $d_{\mathcal{D}^P(M)_\theta}(F, \Phi_1^H(F)) \leq \|H\|$ .*

As explained in the introduction, one can obtain a sheaf-theoretic bound for the displacement energy of two compact subsets, using the proposition above (see Proposition A.8).

#### 4. Sheaf quantization of rational Lagrangian immersions

In this section, we prove the existence of sheaf quantizations of a certain class of Lagrangian immersions, following the idea of Guillermou [11, 12].

**4.1. Definitions and statement of the existence result**

First we introduce some notions for Lagrangian immersions. We assume that  $L$  is a compact connected manifold.

DEFINITION 4.1.

- (i) A Lagrangian immersion  $\iota: L \rightarrow T^*M$  is said to be strongly rational if there exists a non-negative number  $\theta(\iota) \in \mathbb{R}_{\geq 0}$  such that the image of the pairing map  $\langle \iota^*\alpha, - \rangle: H_1(L; \mathbb{Z}) \rightarrow \mathbb{R}, \gamma \mapsto \int_\gamma \iota^*\alpha$  is  $\theta(\iota) \cdot \mathbb{Z}$ . We call  $\theta(\iota)$  the period of  $\iota$ .
- (ii) For a strongly rational Lagrangian immersion  $\iota: L \rightarrow T^*M$ , one defines

$$(4.1) \quad r(\iota) := \inf \left( \left\{ \int_l \iota^*\alpha \mid \begin{array}{l} l: [0, 1] \rightarrow L, \\ \iota \circ l(0) = \iota \circ l(1) \end{array} \right\} \cap \mathbb{R}_{>0} \right).$$

Note that the infimum of the empty set is defined to be  $+\infty$ .

Notation 4.2. — Let  $\iota: L \rightarrow T^*M$  be a compact strongly rational Lagrangian immersion with period  $\theta = \theta(\iota)$  and  $f: L \rightarrow S^1_\theta$  be a function satisfying  $\iota^*\alpha = df$ . One defines a conic Lagrangian immersion  $\widehat{\iota}_f$  by

$$(4.2) \quad \widehat{\iota} := \widehat{\iota}_f: L \times \mathbb{R}_{>0} \rightarrow T^*(M \times S^1_\theta), (y, \tau) \mapsto (\tau \iota(y), (-f(y); \tau))$$

and sets

$$(4.3) \quad \Lambda = \Lambda_{\iota, f} := \left\{ (x, t; \xi, \tau) \in T^*(M \times S^1_\theta) \mid \begin{array}{l} \tau > 0, \text{ there exists } y \in L, \\ (x; \xi/\tau) = \iota(y), t = -f(y) \end{array} \right\}.$$

One also sets  $\Lambda_q, \Lambda_r \subset T^*(M \times (0, r(\iota)) \times S^1_\theta)$  by

$$\begin{aligned} \Lambda_q &= \Lambda_{\iota, f, q} := \{(x, u, t; \xi, 0, \tau) \mid (x, t; \xi, \tau) \in \Lambda_{\iota, f}\}, \\ \Lambda_r &= \Lambda_{\iota, f, r} := \{(x, u, t; \xi, -\tau, \tau) \mid (x, t - u; \xi, \tau) \in \Lambda_{\iota, f}\}. \end{aligned}$$

Moreover, we make the following assumption.

ASSUMPTION 4.3. — There exists no curve  $l: [0, 1] \rightarrow L$  with  $l(0) \neq l(1)$ ,  $\iota \circ l(0) = \iota \circ l(1)$ , and  $\int_l \iota^*\alpha = 0$ .

Under Assumption 4.3, the conic Lagrangian immersion  $\widehat{\iota}$  is an embed-  
ding and we identify it with the conic Lagrangian submanifold  $\Lambda$ . Without  
this assumption Propositions 5.20 and 5.21 do not hold in general. More-  
over, thanks to the assumption, we can apply the method in [12] for the  
construction of a sheaf quantization.

The following is the existence result of a sheaf quantization of a strongly  
rational Lagrangian immersion, which we will prove in the next subsection.

THEOREM 4.4. — *Let  $\iota: L \rightarrow T^*M$  be a compact strongly rational Lagrangian immersion with period  $\theta = \theta(\iota)$  satisfying Assumption 4.3. Take a function  $f: L \rightarrow S_\theta^1$  and define  $\Lambda, \Lambda_q, \Lambda_r$  as in Notation 4.2. Then for each  $a \in (0, r(\iota))$ , there exists an object  $G_{(0,a)} \in {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times (0, a) \times S_\theta^1) \simeq \mathcal{D}^{(0,a)}(M)_\theta$  satisfying the following conditions:*

- (1)  $\mathring{\text{SS}}(G_{(0,a)}) \subset (\Lambda_q \cup \Lambda_r) \cap T^*(M \times (0, a) \times S_\theta^1)$ ,
- (2)  $G_{(0,a)}$  is simple along  $\Lambda_q \cap T^*(M \times (0, a) \times S_\theta^1)$ ,
- (3)  $F_0 := (Rj_*G_{(0,a)})|_{M \times \{0\} \times S_\theta^1}$  is isomorphic to 0, where  $j$  is the inclusion  $M \times (0, a) \times S_\theta^1 \rightarrow M \times \mathbb{R} \times S_\theta^1$ ,
- (4) there is an open covering  $\{V_\alpha\}_\alpha$  of  $\Omega_+(M)_\theta$  such that  $G_{(0,a)}$  is microlocally tame with respect to  $\{V_\alpha \times T^*(0, a)\}_\alpha$ .

Moreover, the object  $G_{(0,a)}$  automatically satisfies

$$d_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, 0) \leq a.$$

For the reason why we take  $a \in (0, r(\iota))$ , see Remark 4.16.

Example 4.5 (An object associated with the unit circle). — The embedding  $\iota: \mathbb{R}/2\pi\mathbb{Z} \rightarrow T^*\mathbb{R}, s \mapsto (\cos s, \sin s)$  is strongly rational with  $\theta(\iota) = r(\iota) = \pi$ . The function  $f: \mathbb{R}/2\pi\mathbb{Z} \rightarrow S_\pi^1, s \mapsto \frac{1}{2}s - \frac{1}{4}\sin 2s$  satisfies  $df = \iota^*\alpha$ . Applying Theorem 4.4 to these  $\iota$  and  $f$ , we obtain  $G_{(0,a)} \in {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(\mathbb{R} \times (0, a) \times S_\pi^1)$  for each  $a \in (0, \pi)$ . The boundary set of the support of  $G_{(0,a)}$  is

$$\begin{aligned} & \pi_{\mathbb{R} \times (0,a) \times S_\pi^1}(\mathring{\text{SS}}(G_{(0,a)})) \\ (4.4) \quad & = \{(\cos s, u, -f(s)) \mid s \in \mathbb{R}/2\pi\mathbb{Z}, u \in (0, a)\} \\ & \quad \cup \{(\cos s, u, -f(s) + u) \mid s \in \mathbb{R}/2\pi\mathbb{Z}, u \in (0, a)\} \\ & \subset \mathbb{R} \times (0, a) \times S_\pi^1. \end{aligned}$$

The support of  $G_{(0,a)}$  is the closure of the bounded regions enclosed by the boundary set with respect to the standard metric. The support of  $G_{(0,a)}$  can also be written as

$$(4.5) \quad \begin{aligned} & \text{Supp}(G_{(0,a)}) \\ & = \{(x, u, t) \in \mathbb{R} \times (0, a) \times S_\pi^1 \mid (x - \cos g(-t))(x - \cos g(-t + u)) \leq 0\}, \end{aligned}$$

where  $g: S_\pi^1 \rightarrow \mathbb{R}/2\pi\mathbb{Z}$  is the inverse of  $f$ . See Figure 4.1.

At each interior point of  $\text{Supp}(G_{(0,a)})$ , the stalk of  $G_{(0,a)}$  is isomorphic to  $\mathbf{k}$ . At each boundary point of  $\text{Supp}(G_{(0,a)})$ , the stalk of  $G_{(0,a)}$  is isomorphic to  $\mathbf{k}$  or 0. Indeed, for any point  $(x, u, t) \in \mathbb{R} \times (0, a) \times S_\pi^1$ , the stalk of  $G_{(0,a)}$  at  $(x, u, t)$  is isomorphic to  $\mathbf{k}$  if and only if  $(x, u, t + \varepsilon) \in \text{Supp}(G_{(0,a)})$  for sufficiently small  $\varepsilon > 0$ .

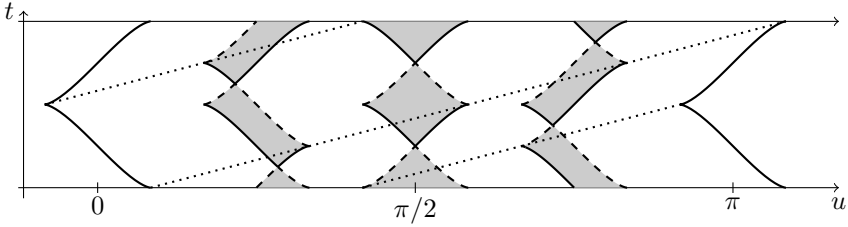


Figure 4.1.  $G_{(0,a)}$  associated with the unit circle

*Remark 4.6.* — For any Legendrian submanifold  $\mathcal{L}$  of  $ST^*(M \times S^1_\theta)$ , there exist  $n \in \mathbb{Z}_{\geq 0}$ , a strongly rational immersion  $\iota: L \rightarrow T^*M$  with period  $n\theta$  satisfying Assumption 4.3, and a primitive function  $f: L \rightarrow S^1_{n\theta}$  such that  $p_n(\Lambda_{\iota, f})/\mathbb{R}_{>0} = \mathcal{L}$ , where  $p_n: \mathring{T}^*(M \times S^1_{n\theta}) \rightarrow \mathring{T}^*(M \times S^1_\theta)$  is the natural covering map. Thus, Theorem 4.4 gives a sheaf quantization object for a Legendrian submanifold of  $ST^*(M \times S^1_\theta)$ .

### 4.2. Construction

In this subsection, we prove Theorem 4.4 following the idea of [12, Thm. 12.2.2 and §12.3]. We prepare some notions introduced by [12].

#### 4.2.1. Kashiwara–Schapira stack

First we introduce Kashiwara–Schapira stack [12, Part 10]. This is an important tool to construct the sheaf quantization  $G_{(0,a)}$ .

**DEFINITION 4.7.** — *Let  $\Lambda$  be a locally closed conic Lagrangian submanifold of  $T^*X$ .*

(i) *For  $V \subset \Lambda$ , one defines a category  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}(V)$  as follows:*

$$\begin{aligned}
 \text{Ob} \left( \mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}(V) \right) &:= \text{Ob} \left( \mathbf{D}_{/[1],(V)}^{\text{b},\text{mt}}(X) \right), \\
 \text{Hom}_{\mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}(V)}(F, G) &:= \text{Hom}_{\mathbf{D}_{/[1],(V)}^{\text{b}}(X)}(F, G) \\
 &\text{for } F, G \in \text{Ob} \left( \mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}(V) \right).
 \end{aligned}
 \tag{4.6}$$

*The correspondence  $V \mapsto \mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}(V)$  defines a prestack  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}$  on  $\Lambda$ .*

- (ii) One defines the Kashiwara–Schapira stack  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}$  on  $\Lambda$  as the associated stack with  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt},0}$ . The quotient functor gives a functor  $\mathbf{m}_\Lambda : \mathbf{D}_{/[1],(\Lambda)}^{\text{b,mt}}(X) \rightarrow \mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}(\Lambda)$  (see also Definition 2.5)
- (iii) An object  $\mathcal{F} \in \mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}(V)$  is said to be simple if  $\mathcal{F}$  is obtained by gluing simple objects.

Remark 4.8. — The stack  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}$  defined above is smaller than or equal to the Kashiwara–Schapira stack  $\mu\text{Sh}_{/[1]}(\mathbf{k}_\Lambda)$  in [12]. We put the microlocally tameness condition since they are easier to treat.

Arguments similar to [12, §10.4] show the following proposition.

PROPOSITION 4.9. — Let  $\Lambda$  be a locally closed conic Lagrangian submanifold of  $T^*X$ . The category  $\mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}(\Lambda)$  has a unique simple object.

#### 4.2.2. Doubling functor and doubled sheaves

First we construct  $G_{(0,\varepsilon)}$  for a sufficiently small  $\varepsilon > 0$  so that  $G_{(0,\varepsilon)}$  is locally isomorphic to an image of  $\Psi_U$ , which we define below. We introduce a variant of the convolution functor  $\star$  in Section 3. Set  $\gamma := \{(u, t) \mid 0 \leq t < u\} \subset \mathbb{R}_{>0} \times \mathbb{R}$ . For an open subset  $U \subset M \times S_\theta^1$ , we define

$$(4.7) \quad U_\gamma := \{(x, u, t) \in M \times \mathbb{R}_{>0} \times S_\theta^1 \mid (x, t - [c]) \in U \text{ for any } c \in [0, u]\}$$

We also define a functor  $\Psi_U : \mathbf{D}_{/[1]}^{\text{b}}(U) \rightarrow \mathbf{D}_{/[1]}^{\text{b}}(U_\gamma)$  by  $\Psi_U(F) := R_{s_U!}(F \boxtimes \mathbf{k}_\gamma)|_{U_\gamma}$ , where  $s_U : U \times \mathbb{R}_{>0} \times \mathbb{R} \rightarrow M \times \mathbb{R}_{>0} \times S_\theta^1$  is  $(x, t_1, u, t_2) \mapsto (x, u, t_1 + [t_2])$ .

The next lemma follows from [12, Thm. 11.1.7].

LEMMA 4.10. — Let  $s_{23}$  be the swapping map  $M \times S_\theta^1 \times \mathbb{R} \rightarrow M \times \mathbb{R} \times S_\theta^1$ ,  $(x, t, u) \mapsto (x, u, t)$  and  $j_U$  be the open embedding  $U_\gamma \rightarrow U_\gamma \cup s_{23}(U \times \mathbb{R}_{\leq 0})$ . Then  $Rj_{U*}\Psi_U(F)|_{s_{23}(U \times \{0\})} \simeq 0$  for any  $F \in \mathbf{D}_{/[1]}^{\text{b}}(U)$ .

DEFINITION 4.11 (cf. [12, Def. 11.4.1]). — Let  $\Lambda$  be a conic Lagrangian submanifold of  $\Omega_+(M)_\theta$  such that  $\Lambda/\mathbb{R}_{>0}$  is compact and  $\Lambda/\mathbb{R}_{>0} \rightarrow M$  is finite. A finite family  $\mathcal{U} = \{U_b\}_{b \in B}$  of open subsets of  $M \times S_\theta^1$  is said to be adapted to  $\Lambda$  if it satisfies the following conditions:

- (1)  $\pi_{M \times S_\theta^1}(\Lambda) \subset \bigcup_{b \in B} U_b$ .
- (2) For each  $b \in B$ , there exist an open subset  $W_b$  of  $M$  and a contractible open subset  $I_b$  of  $S_\theta^1$  such that  $U_b = W_b \times I_b$  and  $\pi_{M \times S_\theta^1}(\Lambda) \cap U_b \subset W_b \times K$  for some compact subset  $K$  of  $I_b$ .
- (3) For any  $B_1 \subset B$ ,  $R\mathcal{H}om(\mathbf{k}_{U^{B_1}}, \mathbf{k}_{M \times S_\theta^1}) \simeq \mathbf{k}_{\overline{U^{B_1}}}$  where  $U^{B_1} := \bigcup_{b \in B_1} U_b$ .



(4) Setting  $\Lambda_+ := \Lambda \cup T_{M \times S_\theta^1}^*(M \times S_\theta^1)$ , one has

$$(4.8) \quad (\text{SS}(\mathbf{k}_{U^{B_1}}) \widehat{+} \text{SS}(\mathbf{k}_{U^{B_2}})^a) \cap (\Lambda_+ \widehat{+} (\Lambda_+)^a) \subset T_{M \times S_\theta^1}^*(M \times S_\theta^1)$$

for any  $B_1, B_2 \subset B$ .

See [17, Def. 6.2.3(v) and Rem. 6.2.8(ii)] for the definition of  $\widehat{+}$  in (4.8).

Similarly to [12, Lemma 11.4.2], we obtain the following.

LEMMA 4.12. — *Let  $\Lambda$  be a conic Lagrangian submanifold of  $\Omega_+(M)_\theta$  such that  $\Lambda/\mathbb{R}_{>0}$  is compact and let  $\{\Lambda_j\}_{j \in J}$  be a finite open covering of  $\Lambda$  by conic subsets. Then there exist*

- (i) *a homogeneous Hamiltonian isotopy  $\widehat{\phi}$  on  $\Omega_+(M)_\theta$ , as closed to id as desired and*
- (ii) *a finite family  $\{U_b\}_{b \in B}$  of open subsets of  $M \times S_\theta^1$  that is adapted to  $\widehat{\phi}(\Lambda)$*

*such that for each  $b \in B$ , each connected component of  $\widehat{\phi}(\Lambda) \cap T^*U_b$  is contained in  $\widehat{\phi}(\Lambda_j)$ , for some  $j \in J$ .*

DEFINITION 4.13. — *Let  $\Lambda$  and  $\mathcal{U} = \{U_b\}_{b \in B}$  be as in Definition 4.11. Let  $U$  be an open subset of  $M \times S_\theta^1$ . We denote by  $\mathbf{D}_{/[1], \Lambda, \mathcal{U}}^{\text{dbl}}(U)$  the subcategory of  $\mathbf{D}_{/[1]}^b(U \times \mathbb{R}_{>0})$  formed by  $F$  such that, for sufficiently small  $\varepsilon > 0$*

- (i)  *$\text{Supp}(F) \cap (U \times (0, \varepsilon)) \subset \{(x, t + [c], u) \in U \times (0, \varepsilon) \mid (x, t) \in \pi(\Lambda), c \in [0, u]\}$ ,*
- (ii) *every point of  $U$  has a neighborhood  $W$  such that  $\pi_0(\Lambda \cap T^*W) = \{\Lambda_i\}_i$  is finite, and for each  $\Lambda_i$  there exist a subset  $B_i \subset B$  and a microlocally tame object  $F_i \in \mathbf{D}_{/[1], \Lambda_i}^b(\mathbf{k}_W)$  with  $\mathring{\text{SS}}(F_i) = \Lambda_i$  such that*

$$(4.9) \quad F|_{W^\varepsilon} \simeq \bigoplus_i \Psi_W(R\Gamma_{U^{B_i}}(F_i))|_{W^\varepsilon},$$

where  $W^\varepsilon := W_\gamma \cap W \times (0, \varepsilon)$  and  $U^{B_i} := \bigcup_{b \in B_i} U_b$ .

For  $F \in \mathbf{D}_{/[1], \Lambda, \mathcal{U}}^{\text{dbl}}(U)$ , there exists a well-defined open subset  $\text{SS}^{\text{dbl}}(F)$  of  $\Lambda \cap T^*U$  locally defined by  $\text{SS}^{\text{dbl}}(F) \cap T^*W := \bigcup_i (\Lambda_i \cap T^*U^{B_i})$  with the notation of Definition 4.13. For an object  $F \in \mathbf{D}_{/[1], \Lambda, \mathcal{U}}^{\text{dbl}}(M \times S_\theta^1)$  satisfying  $\text{SS}^{\text{dbl}}(F) = \Lambda$ , the functor  $\mathbf{m}_\Lambda: \mathbf{D}_{/[1], (\Lambda)}^{\text{b}, \text{mt}}(U) \rightarrow \mu\text{Sh}_{/[1], \Lambda}^{\text{mt}}(\Lambda \cap T^*U)$  defines  $\mathbf{m}_\Lambda^{\text{dbl}}(F) \in \mu\text{Sh}_{/[1], \Lambda}^{\text{mt}}(\Lambda)$  so that  $\mathbf{m}_\Lambda^{\text{dbl}}(F)|_{\Lambda_i} \simeq \mathbf{m}_{\Lambda_i}(F_i)|_{\Lambda_i}$ , again with the notation of Definition 4.13. See [12, Part 12] for more details. Arguing similarly to [12] with some  $\mathbb{R}$ 's replaced by  $S_\theta^1$ 's, one can prove the following.

PROPOSITION 4.14 (cf. [12, Thm. 12.2.2]). — *Let  $\Lambda$  and  $\mathcal{U} = \{U_b\}_{b \in B}$  be as in Definition 4.11. For any object  $\mathcal{F} \in \mu\text{Sh}_{/[1],\Lambda}^{\text{mt}}(\Lambda)$  there exists an object  $F \in \mathbf{D}_{/[1],\Lambda,\mathcal{U}}^{\text{dbl}}(M \times S_\theta^1)$  such that  $\text{SS}^{\text{dbl}}(F) = \Lambda$  and  $\mathfrak{m}_\Lambda^{\text{dbl}}(F) \simeq \mathcal{F}$ .*

Now we give a proof of Theorem 4.4.

*Proof of Theorem 4.4.* — Since the conditions in Theorem 4.4 are preserved by the action of a homogeneous Hamiltonian isotopy on  $\Omega_+(M)_\theta$ , we may assume that there exists a family  $\mathcal{U}$  adapted to  $\Lambda$  by Lemma 4.12. By Proposition 4.9 and Proposition 4.14, there exists  $F \in \mathbf{D}_{/[1],\Lambda,\mathcal{U}}^{\text{dbl}}(M \times S_\theta^1)$  such that  $\text{SS}^{\text{dbl}}(F) = \Lambda$  and  $\mathfrak{m}_\Lambda^{\text{dbl}}(F)$  is simple. For a sufficiently small  $a > 0$ , the object  $F|_{M \times (0,a) \times S_\theta^1} \in \mathbf{D}_{/[1]}^b(M \times (0,a) \times S_\theta^1)$  satisfies the conditions (1)–(4), where (3) follows from Lemma 4.10 and (4) is verified by the microlocally tameness of  $F_i$ 's in Definition 4.13.

The construction for a larger  $a \in (0, r(\iota))$  is parallel to that in [12, §12.3]. We use a homogeneous Hamiltonian isotopy  $\tilde{\phi} = (\tilde{\phi}_u)_{u \in (0,a)} : \tilde{T}^*(M \times S_\theta^1) \times (0,a) \rightarrow \tilde{T}^*(M \times S_\theta^1)$  such that

- (a)  $\tilde{\phi}_\varepsilon = \text{id}$  for some  $\varepsilon \in (0, a)$ ,
- (b)  $\tilde{\phi}_u$  is identity on  $\Lambda$  for any  $u \in (0, a)$ ,
- (c) for  $\varepsilon \in (0, a)$  given in (a), any  $u \in (0, a)$ , and any  $(x, t; \xi, \tau) \in \Lambda$ , one has  $\tilde{\phi}_u(x, t + \varepsilon; \xi, \tau) = (x, t + u; \xi, \tau)$ .

Such  $\tilde{\phi}$  exists since  $\Lambda$  is disjoint from  $T'_u\Lambda$  for any  $u \in (0, a)$ , where  $T'_u : \tilde{T}^*(M \times S_\theta^1) \rightarrow \tilde{T}^*(M \times S_\theta^1)$  is the lift of  $T_u : M \times S_\theta^1 \rightarrow M \times S_\theta^1$ . Hence we can apply a parallel argument to obtain an object  $G'_{(0,a)}$  on  $M \times (0, a) \times S_\theta^1$ . Since  $a$  is strictly smaller than  $r(\iota)$ , near the  $\{u = a\}$ -part, the boundedness of the quantization of  $\tilde{\phi}$  is guaranteed. Defining  $G_{(0,a)} := Pl(G'_{(0,a)}) \in {}^\perp\mathbf{D}_{/[1],\{\tau \leq 0\}}^b(M \times (0, a) \times S_\theta^1)$ , one can check the conditions (1)–(4) for  $G_{(0,a)}$  by using those of the object corresponding to a smaller  $a$ .

Let us show  $d_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, 0) \leq a$ . Take  $\tilde{a} \in (a, r)$  and  $G_{(0,\tilde{a})} \in \mathcal{D}_L^{(0,\tilde{a})}(M)_\theta$  satisfying the conditions in Theorem 4.4 with  $a$  replaced by  $\tilde{a}$  so that  $G_{(0,\tilde{a})}|_{M \times (0,a) \times S_\theta^1}$  is isomorphic to  $G_{(0,a)}$ . Define  $D_{\tilde{a}} := \{(u, s) \in \mathbb{R}^2 \mid 0 < u < s < \tilde{a}\}$  and denote by  $p : M \times D_{\tilde{a}} \times S_\theta^1 \rightarrow M \times (0, \tilde{a}) \times S_\theta^1$ ,  $(x, u, s, t) \mapsto (x, u, t)$  the projection. Moreover, we set  $\mathcal{G} := p^{-1}G_{(0,\tilde{a})} \in \mathcal{D}_L^{D_{\tilde{a}}}(M)_\theta$ . Define the map

$$(4.10) \quad \begin{aligned} k : M \times D_{\tilde{a}} \times S_\theta^1 &\rightarrow M \times (-\infty, a) \times (-\infty, \tilde{a}) \times S_\theta^1, \\ (x, u, s, t) &\mapsto (x, u - s + a, s, t) \end{aligned}$$

and set  $\mathcal{G}' := Rk_! \mathcal{G}|_{M \times (0,a) \times (-\infty, \bar{a}) \times S^1_\theta}$ . Then it satisfies  $\mathcal{G}'|_{\{s=0\}} \simeq 0$ ,  $\mathcal{G}'|_{\{s=a\}} \simeq G_{(0,a)}$  and  $\text{SS}(\mathcal{G}') \subset T^*(M \times (0,a)) \times \{0 \leq \sigma \leq \tau\}_{T^*((-\infty, \bar{a}) \times S^1_\theta)}$ . Thus we obtain the result by Lemma A.5.  $\square$

*Remark 4.15.* — In our situation, we cannot apply the above construction for  $a > r(\iota)$  since  $T'_u \Lambda$  may intersect  $\Lambda$  if  $u \geq r(\iota)$  and an isotopy  $\tilde{\phi}$  as above does not exist. This is one of the differences from the construction of [12]. We also remark that a conic half-line in the intersection  $\Lambda \cap T'_u \Lambda$  corresponds to a Reeb chord of  $\Lambda/\mathbb{R}_{>0}$  in the cosphere bundle.

*Remark 4.16.* — One could take  $a = r(\iota)$  to obtain an object  $G_{(0,r(\iota))}$ . For construction, we need to use the sheaf quantization of a homogeneous Hamiltonian isotopy  $\tilde{\phi} = (\tilde{\phi}_u)_{u \in (0,r(\iota))}$  that diverges at  $u = r(\iota)$ . Hence the boundedness of the quantization of  $\tilde{\phi}$  and the well-definedness of  $G_{(0,r(\iota))}$  are unclear. This is why we take  $a \in (0, r(\iota))$  and construct  $G_{(0,a)}$ . Moreover, it gets more complicated to obtain similar results to Section 5 with the possibly constructed  $G_{(0,r(\iota))}$ .

## 5. Intersection of rational Lagrangian immersions

In this section, using the refined version of Tamarkin category introduced in Section 3 and the sheaf quantization constructed in Section 4, we give explicit estimates for the displacement energy and the number of the intersection points of rational Lagrangian immersions (Theorems 5.4 and 5.5 below). These are proved by a purely sheaf-theoretic method and partial generalizations of results of Chekanov [6], Akaho [1], and Liu [19] (see Remark 5.7 for more details).

### 5.1. Statements of theorems

First we give the definition of rational Lagrangian immersions. Here again we assume that  $L$  is a compact connected manifold.

DEFINITION 5.1.

- (i) A Lagrangian immersion  $\iota : L \rightarrow T^*M$  is said to be rational if there exists  $\sigma(\iota) \in \mathbb{R}_{\geq 0}$  such that

$$(5.1) \quad \left\{ \int_{D^2} v^* \omega \mid (v, \bar{v}) \in \Sigma(\iota) \right\} = \sigma(\iota) \cdot \mathbb{Z},$$

where

$$(5.2) \quad \Sigma(\iota) := \left\{ (v, \bar{v}) \left| \begin{array}{l} v: D^2 \rightarrow T^*M, \bar{v}: \partial D^2 \rightarrow L, \\ v|_{\partial D^2} = \iota \circ \bar{v} \end{array} \right. \right\}.$$

We call  $\sigma(\iota)$  the rationality constant of  $\iota$ .

(ii) For a rational Lagrangian immersion  $\iota: L \rightarrow T^*M$ , one defines

$$(5.3) \quad e(\iota) := \inf \left( \left\{ \int_{D^2} v^* \omega \mid (v, \bar{v}) \in E(\iota) \amalg \Sigma(\iota) \right\} \cap \mathbb{R}_{>0} \right),$$

where

$$(5.4) \quad E(\iota) := \left\{ (v, \bar{v}) \left| \begin{array}{l} v: D^2 \rightarrow T^*M, \bar{v}: [0, 1] \rightarrow L, \\ \bar{v}(0) \neq \bar{v}(1), \iota \circ \bar{v}(0) = \iota \circ \bar{v}(1), \\ v|_{\partial D^2} \circ \exp(2\pi\sqrt{-1}(-)) = \iota \circ \bar{v} \end{array} \right. \right\}.$$

*Remark 5.2.* — A strongly rational Lagrangian immersion is rational. Indeed, for a strongly rational Lagrangian immersion  $\iota$  with period  $\theta(\iota)$ , one can check that it is rational with rationality constant  $n\theta(\iota)$  for some  $n \in \mathbb{Z}_{\geq 0}$ . However, the converse is not true. For example, the graph of any closed 1-form  $\beta$  on a compact connected manifold  $M$  is rational with rationality constant 0, but this embedding has a period  $\theta(\iota)$  if and only if there exists a primitive element  $b \in H^1(M; \mathbb{Z})$  such that  $[\beta] = \theta(\iota) \cdot b \in H^1(M; \mathbb{R})$ .

We make the following assumption, which we will use in the reduction to the case of a strongly rational Lagrangian immersion with Assumption 4.3 in the next subsection (see Lemma 5.11).

ASSUMPTION 5.3. — *There exists no  $(v, \bar{v}) \in E(\iota)$  with  $\int_{D^2} v^* \omega = 0$ .*

Our results are the following: the first one is an estimate for the number of Lagrangian intersection for immersions by the total Betti number of  $L$  under the transverse assumption, and the second is an estimate by the cup-length of  $L$ .

THEOREM 5.4. — *Let  $\iota: L \rightarrow T^*M$  be a compact rational Lagrangian immersion satisfying Assumption 5.3. If  $\|H\| < e(\iota)$  and  $\iota: L \rightarrow T^*M$  intersects  $\phi_1^H \circ \iota: L \rightarrow T^*M$  transversally, then*

$$(5.5) \quad \# \{ (y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y') \} \geq \sum_{i=0}^{\dim L} b_i(L).$$

**THEOREM 5.5.** — *Let  $\iota: L \rightarrow T^*M$  be a compact rational Lagrangian immersion satisfying Assumption 5.3. If a Hamiltonian function  $H$  satisfies  $\|H\| < \min(\{e(\iota)\} \cup \{\sigma(\iota)/2\} \cap \mathbb{R}_{>0})$ , then*

$$(5.6) \quad \#\{(y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y')\} \geq \text{cl}(L) + 1,$$

where  $\text{cl}(L)$  denotes the cup-length of  $L$  over  $\mathbb{F}_2$  (see Section 5.3.3 for the definition).

*Remark 5.6.* — If  $e(\iota) \neq \sigma(\iota)$  then  $\min(\{e(\iota)\} \cup \{\sigma(\iota)/2\} \cap \mathbb{R}_{>0}) = e(\iota)$ .

*Remark 5.7.* — Our theorems are partial generalizations of results of Chekanov [6], Akaho [1], and Liu [19] in the following sense. Their results hold on any compact symplectic manifold and do *not* require Assumption 5.3. Remark that they all used Floer-theoretic methods to prove the results and our method is independent from theirs.

- (i) The result of Chekanov [6] is Theorem 5.4 for a rational Lagrangian *embedding*  $\iota$  (i.e., rational Lagrangian submanifold) with rationality constant  $\sigma(\iota) > 0$ . Remark that  $e(\iota) = \sigma(\iota)$  in this case.
- (ii) The result of Akaho [1] is Theorem 5.4 for an *exact* Lagrangian immersion  $\iota$ , which corresponds to  $\sigma(\iota) = 0$ , under the condition that the non-injective points are transverse.
- (iii) Liu [19] proved that for a rational Lagrangian *embedding*  $\iota$  with rationality constant  $\sigma(\iota) > 0$ , if  $\|H\| < e(\iota) = \sigma(\iota)$  then the estimate (5.6) holds. This estimate is Theorem 5.5 with the bound  $\min(\{e(\iota)\} \cup \{\sigma(\iota)/2\} \cap \mathbb{R}_{>0}) = \sigma(\iota)/2$  replaced by  $\sigma(\iota)$ .

*Remark 5.8.* — In the Floer-theoretic approach, one can study the cases  $\|H\| \geq e(\iota)$  using bounding cochains in the sense of [2, 8, 9]. Fukaya–Oh–Ohta–Ono [8, 9, Thm. J] and [10, Thm. 6.1] gave an estimate for the number of the intersection points of Lagrangian submanifolds. Moreover, they proved a common generalization of the results of Chekanov [6] and [8, 9, Thm. J] with a slight modification of the definition of bounding cochains [8, Thm. 6.5.47].

## 5.2. Reduction to strongly rational case

In this subsection, we reduce the problem to the strongly rational case.

*Notation 5.9.* — For a Lagrangian immersion  $\iota: L \rightarrow T^*M$ , one defines  $F(\iota)$  to be the set of non-injective points:  $F(\iota) := \{(y, y') \in L \times L \mid y \neq y', \iota(y) = \iota(y')\}$ .

LEMMA 5.10. — *Let  $\iota: L \rightarrow T^*M$  be a compact connected rational Lagrangian immersion with rationality constant  $\sigma(\iota)$ . Assume that  $\pi_1(\pi \circ \iota): \pi_1(L) \rightarrow \pi_1(M)$  is surjective. Then, there exists a closed 1-form  $\beta$  on  $M$  such that the immersion  $\iota + \beta: L \rightarrow T^*M, y \mapsto \iota(y) + \beta(\pi \circ \iota(y))$  is strongly rational with  $\theta(\iota + \beta) = \sigma(\iota + \beta) = \sigma(\iota)$  and  $r(\iota + \beta) = e(\iota + \beta) = e(\iota)$ .*

*Proof.* — Since  $\pi_1(L) \rightarrow \pi_1(M)$  is surjective, so is the induced homomorphism of groups  $[\pi_1(L), \pi_1(L)] \rightarrow [\pi_1(M), \pi_1(M)]$ . Consider the commutative diagram of groups

$$\begin{array}{ccccccc}
 & & & & 1 & & 1 \\
 & & & & \downarrow & & \downarrow \\
 & & & & [\pi_1(L), \pi_1(L)] & \twoheadrightarrow & [\pi_1(M), \pi_1(M)] \rightarrow 1 \\
 & & & & \downarrow & & \downarrow \\
 (5.7) \quad 1 & \longrightarrow & \text{Ker}(\pi_1(\pi \circ \iota)) & \hookrightarrow & \pi_1(L) & \twoheadrightarrow & \pi_1(M) \longrightarrow 1 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 0 & \longrightarrow & \text{Ker}(H_1(\pi \circ \iota; \mathbb{Z})) & \hookrightarrow & H_1(L; \mathbb{Z}) & \twoheadrightarrow & H_1(M; \mathbb{Z}) \longrightarrow 0 \\
 & & & & \downarrow & & \downarrow \\
 & & & & 0 & & 0.
 \end{array}$$

By the nine lemma for groups, we find that  $\text{Ker}(\pi_1(\pi \circ \iota)) \rightarrow \text{Ker}(H_1(\pi \circ \iota; \mathbb{Z}))$  is surjective.

Choose a section  $w: H_1(M; \mathbb{R}) \rightarrow H_1(L; \mathbb{R})$  of  $H_1(\pi \circ \iota; \mathbb{R}): H_1(L; \mathbb{R}) \rightarrow H_1(M; \mathbb{R})$  and take a closed 1-form  $\beta$  on  $M$  such that  $[\beta] = \langle w(-), [-\iota^*\alpha] \rangle \in H^1(M; \mathbb{R}) \simeq \text{Hom}(H_1(M; \mathbb{R}), \mathbb{R})$ . Since  $(\iota + \beta)^*\alpha = \iota^*\alpha + (\pi \circ \iota)^*\beta$ , the pairing with  $[(\iota + \beta)^*\alpha]$  vanishes on the image of  $w$ . Thus we obtain

$$\begin{aligned}
 (5.8) \quad & \left\{ \int_{\gamma} (\iota + \beta)^*\alpha \mid \gamma \in H_1(L; \mathbb{Z}) \right\} \\
 & = \left\{ \int_{\gamma} (\iota + \beta)^*\alpha \mid \gamma \in \text{Ker}(H_1(\pi \circ \iota; \mathbb{Z})) \right\} \\
 & = \left\{ \int_{\gamma} \iota^*\alpha \mid \gamma \in \text{Ker}(H_1(\pi \circ \iota; \mathbb{Z})) \right\} \\
 & = \left\{ \int_{\gamma} \iota^*\alpha \mid \gamma \in \text{Ker}(\pi_1(\pi \circ \iota)) \right\} = \sigma(\iota) \cdot \mathbb{Z},
 \end{aligned}$$

where the third equality follows from the surjectivity of  $\text{Ker}(\pi_1(\pi \circ \iota)) \rightarrow \text{Ker}(H_1(\pi \circ \iota; \mathbb{Z}))$ . This proves that  $\iota + \beta$  is strongly rational and  $\theta(\iota + \beta) = \sigma(\iota) = \sigma(\iota + \beta)$ .

For each  $(y_0, y_1) \in F(\iota + \beta) = F(\iota)$ , there exists an element  $(v, \bar{v}) \in E(\iota)$  such that  $\bar{v}(i) = y_i$  ( $i = 0, 1$ ). We obtain such a pair  $(v, \bar{v})$  as follows. Take

a path connecting  $y_0$  and  $y_1$  in  $L$ . Composing  $\pi \circ \iota$  with this path gives an element of  $\pi_1(M, \pi \circ \iota(y_0))$ . We can take a preimage of the element in  $\pi_1(L, y_0)$  by the surjectivity of  $\pi_1(L) \rightarrow \pi_1(M)$ . Concatenating a representative path of the inverse of the preimage to the original path on  $L$ , we obtain a path  $\bar{v}$  connecting  $y_0$  and  $y_1$  that bounds a disk  $v$  in  $T^*M$ . By the existence of such  $(v, \bar{v}) \in E(\iota)$ , we conclude  $r(\iota + \beta) = e(\iota + \beta) = e(\iota)$ .  $\square$

LEMMA 5.11. — *Assume that Theorems 5.4 and 5.5 hold for any strongly rational Lagrangian immersion  $\iota: L \rightarrow T^*M$  satisfying Assumption 4.3,  $\theta(\iota) = \sigma(\iota)$ , and  $r(\iota) = e(\iota)$ . Then Theorems 5.4 and 5.5 hold for any rational Lagrangian immersion.*

*Proof.* — Take the covering  $p: \widetilde{M} \rightarrow M$  corresponding to  $\iota_*(\pi_1(L)) \subset \pi_1(M)$ . Then a lift  $\tilde{\iota}: L \rightarrow T^*\widetilde{M}$  of  $\iota$  induces a surjection on the fundamental groups and  $\sigma(\tilde{\iota}) = \sigma(\iota)$ . By the construction of  $p$ , a non-injective point  $(y, y') \in F(\iota)$  of  $\iota$  is one for  $\tilde{\iota}$  if and only if there exists  $(v, \bar{v}) \in E(\iota)$  with  $(y, y') = (\bar{v}(0), \bar{v}(1))$ . Hence  $e(\tilde{\iota}) = e(\iota)$  and Assumption 5.3 for  $\iota$  is equivalent to Assumption 5.3 for  $\tilde{\iota}$ .

Take a closed 1-form  $\beta$  on  $\widetilde{M}$  satisfying the conclusion of Lemma 5.10 for  $\tilde{\iota}$ . By the surjectivity of  $\pi_1(\widetilde{M})$ , Assumption 4.3 for  $\tilde{\iota} + \beta$  is equivalent to Assumption 5.3 for  $\tilde{\iota} + \beta$ , which is equivalent to Assumption 5.3 for  $\iota$ . Furthermore, for a Hamiltonian function  $H$  on  $T^*M$ , setting  $\tilde{H}$  to be the composite of  $H$  and the projection  $T^*\widetilde{M} \rightarrow T^*M$ , we get  $\|\tilde{H}\| = \|H\|$  and

$$\begin{aligned}
 & \{(y, y') \in L \times L \mid \iota(y) = \phi_1^H \circ \iota(y')\} \\
 (5.9) \quad & \supset \left\{ (y, y') \in L \times L \mid \tilde{\iota}(y) = \phi_1^{\tilde{H}} \circ \tilde{\iota}(y') \right\} \\
 & = \left\{ (y, y') \in L \times L \mid (\tilde{\iota} + \beta)(y) = \phi_1^{\tilde{H}} \circ (\tilde{\iota} + \beta)(y') \right\}.
 \end{aligned}$$

Thus Theorems 5.4 and 5.5 for  $\iota$  are reduced to those for  $\tilde{\iota} + \beta$ .  $\square$

### 5.3. Proof for strongly rational cases

This subsection is devoted to the proofs of Theorems 5.4 and 5.5 for a strongly rational Lagrangian immersion. In what follows, we assume the following.

ASSUMPTION 5.12. — *An immersion  $\iota: L \rightarrow T^*M$  is a strongly rational Lagrangian immersion satisfying Assumption 4.3,  $\theta(\iota) = \sigma(\iota)$ , and  $r(\iota) = e(\iota)$ .*

We write  $\theta = \theta(\iota)$  for simplicity. Set  $\Lambda = \Lambda_{\iota, f}$  as in (4.3). Let  $a \in (0, r(\iota))$  and  $G_{(0,a)} \in {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times (0, a) \times S_\theta^1)$  be an object given in Theorem 4.4. We denote by  $j_a: M \times (0, a) \times S_\theta^1 \rightarrow M \times \mathbb{R} \times S_\theta^1$  the open embedding and define

$$(5.10) \quad F_{(0,a)} := j_{a!} G_{(0,a)}, \quad F_{[0,a]} := Rj_{a*} G_{(0,a)} \in \mathbf{D}_{/[1]}^b(M \times \mathbb{R} \times S_\theta^1).$$

Note that  $F_{(0,a)} \in {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times \mathbb{R} \times S_\theta^1)$  and

$$(5.11) \quad \text{Hom}(F_{(0,a)}, F_{[0,a]}) \simeq H^* R\Gamma_{[0, +\infty)}(\mathbb{R}; \ell^1 Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}))$$

by Proposition 3.3, where  $F_{[0,a]}$  also means  $Q(F_{[0,a]}) \in \mathcal{D}^{\mathbb{R}}(M)_\theta$  by abuse of notation and  $\text{Hom}(-, -)$  denotes  $\text{Hom}_{\mathcal{D}^{\mathbb{R}}(M)_\theta}(-, -)$  unless otherwise specified hereafter. Using the fact that  $F_{(0,a)}$  is in the left orthogonal, we also find that  $\text{Hom}(F_{(0,a)}, F_{[0,a]})$  is naturally isomorphic to  $\text{End}(G_{(0,a)})$  by the adjunction  $j_{a!} \dashv j_a^{-1}$ .

*Notation 5.13.* — One defines subsets of  $T^*\mathbb{R}$  by

$$\begin{aligned} \mathbf{c}(a) &:= \{(0; v) \mid -1 \leq v \leq 0\} \cup \{(u; v) \mid 0 \leq u \leq a, v = 0, -1\}, \\ \mathbf{d}(a) &:= \mathbf{c}(a) \cup \{(a; v) \mid v \geq -1\}, \\ \mathbf{q}(a) &:= \mathbf{c}(a) \cup \{(a; v) \mid v \leq 0\}, \\ \mathbf{l}(a) &:= \{(a; v) \mid -1 < v < 0\}. \end{aligned}$$

One also defines their “conifications”, which are subsets of  $\Omega_+(\mathbb{R})_\theta$ , by

$$\begin{aligned} \widehat{\mathbf{c}}(a) &:= \{(u, -uv; \tau v, \tau) \mid (u; v) \in \mathbf{c}(a)\}, \\ \widehat{\mathbf{d}}(a) &:= \widehat{\mathbf{c}}(a) \cup \{(a, 0; v, \tau) \mid v > 0\} \cup \{(a, [a]; v, \tau) \mid v > -\tau\}, \\ \widehat{\mathbf{q}}(a) &:= \widehat{\mathbf{c}}(a) \cup \{(a, 0; v, \tau) \mid v < 0\} \cup \{(a, [a]; v, \tau) \mid v < -\tau\}, \\ \widehat{\mathbf{l}}(a) &:= \{(a, [a]; v, \tau) \mid -\tau < v < 0\}. \end{aligned}$$

*Notation 5.14.* — For cones  $C_1 \subset \Omega_+(M)_\theta$  and  $C_2 \subset \Omega_+(P)_\theta$ , one defines

$$(5.12) \quad C_1 \boxplus C_2 := \left\{ (x, y, t_1 + t_2; \xi, \eta, \tau) \left| \begin{array}{l} (x, t_1; \xi, \tau) \in C_1, \\ (y, t_2; \eta, \tau) \in C_2 \end{array} \right. \right\} \subset \Omega_+.$$

LEMMA 5.15.

- (i) One has  $\text{SS}_+(F_{(0,a)}) \subset \Lambda \boxplus \widehat{\mathbf{d}}(a)$  and  $\text{SS}_+(F_{[0,a]}) \subset \Lambda \boxplus \widehat{\mathbf{q}}(a)$ . In particular,  $F_{(0,a)}, F_{[0,a]}$  are objects of  $\mathcal{D}_{\iota(L)}^{\mathbb{R}}(M)_\theta$ .
- (ii) One has

$$(5.13) \quad \mathring{\text{SS}}(F_{(0,a)}) \cap \{\tau = 0\}_{T^*(M \times \mathbb{R} \times S_\theta^1)} \subset \{u = a, \xi = 0, v \geq 0\}_{T^*(M \times \mathbb{R} \times S_\theta^1)},$$

$$(5.14) \quad \mathring{\text{SS}}(F_{[0,a]}) \cap \{\tau = 0\}_{T^*(M \times \mathbb{R} \times S_\theta^1)} \subset \{u = a, \xi = 0, v \leq 0\}_{T^*(M \times \mathbb{R} \times S_\theta^1)}.$$



*Proof.* — In this proof,  $\{-\}$  denotes  $\{-\}_{T^*(M \times \mathbb{R} \times S_\theta^1)}$ . Since  $\Lambda_q \cup \Lambda_r \subset \{v = 0, -\tau\}$  and  $N^*(M \times (0, a) \times S_\theta^1) \subset \{\tau = 0\}$ , we find that  $\overline{\text{SS}(G_{(0,a)})} \cap N^*(M \times (0, a) \times S_\theta^1)$  and  $\overline{\text{SS}(G_{(0,a)})} \cap N^*(M \times (0, a) \times S_\theta^1)^a$  are contained in the zero-section. By Proposition 2.11, we obtain  $\text{SS}(F_{(0,a)}) \subset \overline{\text{SS}(G_{(0,a)})} + N^*(M \times (0, a) \times S_\theta^1)^a$  and  $\text{SS}(F_{[0,a]}) \subset \overline{\text{SS}(G_{(0,a)})} + N^*(M \times (0, a) \times S_\theta^1)$ . Fiberwise computations show

$$\begin{aligned} \text{SS}(F_{(0,a)}) \cap \{u = 0\} &\subset \{(x, t; \xi, \tau) \in \Lambda, v \leq 0\} \cup \{\tau = 0, \xi = 0, v \leq 0\}, \\ \text{SS}(F_{[0,a]}) \cap \{u = 0\} &\subset \{(x, t; \xi, \tau) \in \Lambda, v \geq -\tau\} \cup \{\tau = 0, \xi = 0, v \geq 0\}, \\ \text{SS}(F_{(0,a)}) \cap \{u = a\} &\subset \{(x, t; \xi, \tau) \in \Lambda, v \geq 0\} \\ &\quad \cup \{(x, t - a; \xi, \tau) \in \Lambda, v \geq -\tau\} \\ &\quad \cup \{\tau = 0, \xi = 0, v \geq 0\}, \\ \text{SS}(F_{[0,a]}) \cap \{u = a\} &\subset \{(x, t; \xi, \tau) \in \Lambda, v \leq 0\} \\ &\quad \cup \{(x, t - a; \xi, \tau) \in \Lambda, v \leq -\tau\} \\ &\quad \cup \{\tau = 0, \xi = 0, v \leq 0\}. \end{aligned}$$

The cone of the natural morphism  $F_{(0,a)} \rightarrow F_{[0,a]}$  is supported on  $M \times \{a\} \times S_\theta^1$ , since  $F_{[0,a]}|_{M \times \{0\} \times S_\theta^1}$  is isomorphic to 0 by Theorem 4.4(3). Hence, by the triangle inequality (Proposition 2.3(ii)), we obtain

$$(5.15) \quad \begin{aligned} \mathring{\text{SS}}(F_{(0,a)}) \cap \{u = 0\} &= \mathring{\text{SS}}(F_{[0,a]}) \cap \{u = 0\} \\ &\subset \{(x, t; \xi, \tau) \in \Lambda, -\tau \leq v \leq 0\}, \end{aligned}$$

which proves the results. □

Now let  $H: T^*M \times I \rightarrow \mathbb{R}$  be a compactly supported Hamiltonian function and denote by  $\phi^H = (\phi_s^H)_{s \in I}: T^*M \times I \rightarrow T^*M$  the Hamiltonian isotopy generated by  $H$ .

*Notation 5.16.*

- (i) One sets  $\iota^H := \phi_1^H \circ \iota$  and  $f^H := f - \ell \circ h: L \rightarrow S_\theta^1$  with  $h := u_1 \circ \iota: L \rightarrow \mathbb{R}$  (see Appendix A.2 for the definition of  $u_1$ ).
- (ii) One also sets  $C(\iota, H) := \{(y, y') \in L \times L \mid \iota(y) = \iota^H(y')\}$  and defines  $g: C(\iota, H) \rightarrow S_\theta^1$  by  $g(y, y') := f^H(y') - f(y)$ .
- (iii) For  $y \in L$ , one sets  $l(y) := \{(x, -f(y); \xi, \tau) \in \Lambda \mid (x; \xi/\tau) = \iota(y)\} \subset T^*(M \times S_\theta^1)$ .
- (iv) One defines  $\Lambda^H := \widehat{\phi}_1^H(\Lambda)$  (see Appendix A.2 for  $\widehat{\phi}_1^H$ ), namely

$$(5.16) \quad \Lambda^H = \left\{ (x, t; \xi, \tau) \in T^*(M \times S_\theta^1) \left| \begin{array}{l} \tau > 0, \text{ there exists } y \in L, \\ (x; \xi/\tau) = \iota^H(y), t = -f^H(y) \end{array} \right. \right\}.$$

We denote by  $\Phi_1^H : \mathcal{D}^P(M)_\theta \rightarrow \mathcal{D}^P(M)_\theta$  the functor associated with  $\phi_1^H$  (see (3.14)) and set  $F_{[0,a]}^H := \Phi_1^H(F_{[0,a]})$ . Note that  $\text{SS}_+(F_{[0,a]}^H) \subset \Lambda^H \boxplus \widehat{\mathbf{q}}(a)$  and (5.14) also holds with  $F_{[0,a]}$  replaced by  $F_{[0,a]}^H$ .

We denote by  $q : M \times \mathbb{R} \times S_\theta^1 \rightarrow S_\theta^1$  the projection and by  $\ell$  the quotient map  $\mathbb{R} \rightarrow S_\theta^1$ . From now on, for simplicity we write  $T_c$  instead of  $T_{c*}$  for  $c \in \mathbb{R}$ .

PROPOSITION 5.17.

- (i) One has  $d_{\mathcal{D}(M)_\theta}(F_{[0,a]}, F_{[0,a]}^H) \leq \|H\|$ . In particular, for any  $a' > \|H\|$ , there exist  $b \in [0, a']$  and morphisms  $\alpha : F_{[0,a]} \rightarrow T_b F_{[0,a]}^H$ ,  $\beta : F_{[0,a]}^H \rightarrow T_{a'-b} F_{[0,a]}$  such that  $\tau_{0,a'} : F_{[0,a]} \rightarrow T_{a'} F_{[0,a]}$  is equal to  $T_b \beta \circ \alpha$ .
- (ii) One has

$$(5.17) \quad \pi(\mathring{\text{SS}}(\ell^! Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}^H))) \subset \left\{ c \in \mathbb{R} \left| \begin{array}{l} \text{there exists } (y, y') \in C(\iota, H), \\ g(y, y') \equiv -c \pmod{\theta} \text{ or} \\ g(y, y') \equiv -c - a \pmod{\theta} \end{array} \right. \right\}.$$

- (iii) If  $a' < a$ , then  $\tau_{0,a'} : \text{Hom}(F_{(0,a)}, F_{[0,a]}) \rightarrow \text{Hom}(F_{(0,a)}, T_{a'} F_{[0,a]})$  is an isomorphism.

*Proof.*

(i). — It follows from Proposition 3.5 and Definition 3.4.

(ii). — Let  $T'_c$  be the translation map  $\Omega_+ \rightarrow \Omega_+$  or  $\Omega_+(\mathbb{R})_\theta \rightarrow \Omega_+(\mathbb{R})_\theta$  that is the lift of  $T_c$ . By Lemma 5.15 and the above remark on  $F_{[0,a]}^H$ , we have  $\mathring{\text{SS}}(i^{-1} F_{(0,a)}) \cap \mathring{\text{SS}}(F_{[0,a]}^H) = \emptyset$ . Hence by Proposition 2.9(ii) and Proposition 2.7,

$$(5.18) \quad \begin{aligned} &\pi(\mathring{\text{SS}}(\ell^! Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}^H))) \\ &\subset \{-c \mid \mathring{\text{SS}}(F_{(0,a)}) \cap T'_c(\mathring{\text{SS}}(F_{[0,a]}^H)) \neq \emptyset\} \\ &\subset \{-c \mid \Lambda \boxplus \widehat{\mathbf{d}}(a) \cap T'_c(\Lambda^H \boxplus \widehat{\mathbf{q}}(a)) \neq \emptyset\}. \end{aligned}$$

If  $(x, u, t; \xi, v, \tau) \in \Lambda \boxplus \widehat{\mathbf{d}}(a) \cap T'_c(\Lambda^H \boxplus \widehat{\mathbf{q}}(a))$ , then there exist  $t_1, t_2, t_3, t_4 \in S_\theta^1$  with  $t = t_1 + t_2 = t_3 + t_4 + [c]$  such that  $(x, t_1; \xi, \tau) \in \Lambda$ ,  $(u, t_2; v, \tau) \in \widehat{\mathbf{d}}(a)$ ,  $(x, t_3; \xi, \tau) \in \Lambda^H$ ,  $(u, t_4; v, \tau) \in \widehat{\mathbf{q}}(a)$ . Then

$$(u, t_2; v, \tau) \in \widehat{\mathbf{d}}(a) \cap T'_{t_2-t_4}(\widehat{\mathbf{q}}(a)),$$

where we use  $T'_{c'}$  for  $c' \in S^1_\theta$  by abuse of notation. Since

$$(5.19) \quad \widehat{\mathbf{d}}(a) \cap T'_{c'}(\widehat{\mathbf{q}}(a)) = \begin{cases} \widehat{\mathbf{c}}(a) & (c' = 0) \\ \widehat{\mathbf{I}}(a) & (c' = [a]) \\ \emptyset & (\text{otherwise}), \end{cases}$$

we have  $t_2 - t_4 = 0, [a]$ . By definition, there exist  $y, y' \in L$  such that  $\iota(y) = \iota^H(y') = (x, \xi/\tau), t_1 = -f(y)$ , and  $t_3 = -f^H(y')$ . Then  $g(y, y') = -t_3 + t_1 = [c] - (t_2 - t_4)$ , which implies that the sets in (5.18) are contained in the right-hand side of (5.17) and the assertion holds.

(iii). — By Proposition 3.3, we have

$$(5.20) \quad \text{Hom}(F_{(0,a)}, T_c F_{[0,a]}) \simeq H^* R\Gamma_{[-c, +\infty)}(\mathbb{R}; \ell^1 Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}))$$

for any  $c \in \mathbb{R}$ . Set  $\mathcal{H} = \ell^1 Rq_* \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]})$ . Applying (ii) to the case  $H$  is a constant function, we get  $[-a', 0) \cap \pi(\text{SS}_+(\mathcal{H})) = \emptyset$ . Hence by the microlocal Morse lemma (Proposition 2.8) for  $\mathcal{H}$  and the five lemma, we find that  $H^* R\Gamma_{[0, +\infty)}(\mathbb{R}; \mathcal{H}) \rightarrow H^* R\Gamma_{[-a', +\infty)}(\mathbb{R}; \mathcal{H})$  is an isomorphism, which proves the result.  $\square$

Now we assume that the Hamiltonian function  $H$  satisfies  $\|H\| < r(\iota)$ . Moreover, we fix  $a, a' \in \mathbb{R}_{>0}$  such that  $\|H\| < a' < a < r(\iota)$ . By Proposition 5.17(i) and (iii), the isomorphism  $\tau_{0,a'}$  factors as

$$(5.21) \quad \text{Hom}(F_{(0,a)}, F_{[0,a]}) \rightarrow \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H) \rightarrow \text{Hom}(F_{(0,a)}, T_{a'} F_{[0,a]})$$

for some  $b \in [0, a']$ . We also fix such  $b$  in what follows.

In order to study the second object in (5.21), we set

$$(5.22) \quad \begin{aligned} \mathcal{H}_b &:= \ell^1 Rq_* \mathcal{H}om^*(F_{(0,a)}, T_b F_{[0,a]}^H) \\ &\simeq \ell^1 Rq_* T_b \mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}^H). \end{aligned}$$

Note that  $H^* R\Gamma_{[c, +\infty)}(\mathbb{R}; \mathcal{H}_b) \simeq \text{Hom}(F_{(0,a)}, T_{b-c} F_{[0,a]}^H)$  for  $c \in \mathbb{R}$ . We also define

$$(5.23) \quad \begin{aligned} W_c &:= H^* R\Gamma_{[c, +\infty)}(\mathcal{H}_b)_c \\ &\simeq H^* R\Gamma_{[0, +\infty)}(\ell^1 Rq_* \mathcal{H}om^*(F_{(0,a)}, T_{b-c} F_{[0,a]}^H))_0 \end{aligned}$$

for  $c \in \mathbb{R}$ .

The following proposition is an essential tool for the proofs of theorems below, which decomposes the information of  $\text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H)$  into that of  $W_c$ 's.

PROPOSITION 5.18. — *In the situation above we have the following.*

- (i) *Assume that  $c \in \mathbb{R}$  is not an accumulation point of  $\pi(\text{SS}_+(\mathcal{H}_b))$ . Take  $d, d' \in \mathbb{R}$  satisfying (1)  $d \leq c < d'$  and (2)  $\pi(\text{SS}_+(\mathcal{H}_b)) \cap [d, d'] \subset \{c\}$  and define*

$$(5.24) \quad A_c := \text{Coker}(\text{Hom}(F_{(0,a)}, T_{b-d'}F_{[0,a]}^H) \rightarrow \text{Hom}(F_{(0,a)}, T_{b-d}F_{[0,a]}^H)),$$

$$(5.25) \quad B_c := \text{Ker}(\text{Hom}(F_{(0,a)}, T_{b-d'}F_{[0,a]}^H) \rightarrow \text{Hom}(F_{(0,a)}, T_{b-d}F_{[0,a]}^H)).$$

*Then there exists a short exact sequence of right  $\text{End}(G_{(0,a)})$ -modules*

$$(5.26) \quad 0 \rightarrow A_c \rightarrow W_c \rightarrow B_c \rightarrow 0.$$

- (ii) *Assume that  $\pi(\text{SS}_+(\mathcal{H}_b))$  is a discrete set and let*

$$(5.27) \quad \pi(\text{SS}_+(\mathcal{H}_b)) \cap [-a, 0) = \{c_1, \dots, c_n\}$$

*with  $c_1 < \dots < c_n$ . Take  $d_1, \dots, d_{n-1} \in \mathbb{R}$  satisfying  $c_1 < d_1 < c_2 < \dots < d_{n-1} < c_n$  and set  $d_0 = -a, d_n = 0$ . Define*

$$(5.28) \quad V_d := \text{Im}(\text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H) \rightarrow \text{Hom}(F_{(0,a)}, T_{b-d}F_{[0,a]}^H))$$

*for  $d \in [-a, 0]$ . Then for any  $i = 0, \dots, n$  there exists a submodule  $\tilde{B}_{c_i}$  of  $B_{c_i}$  and a short exact sequence of right  $\text{End}(G_{(0,a)})$ -modules*

$$(5.29) \quad 0 \rightarrow \tilde{B}_{c_i} \rightarrow V_{d_i} \rightarrow V_{d_{i-1}} \rightarrow 0.$$

*Moreover,  $V_{d_0} \simeq 0$ .*

- (iii) *Assume that  $\pi(\text{SS}_+(\mathcal{H}_b))$  is a discrete set and let*

$$(5.30) \quad \pi(\text{SS}_+(\mathcal{H}_b)) \cap [0, a) = \{c_{n+1}, \dots, c_{n+m}\}$$

*with  $c_{n+1} < \dots < c_{n+m}$ . Take  $d_{n+1}, \dots, d_{n+m-1} \in \mathbb{R}$  satisfying  $c_{n+1} < d_{n+1} < c_{n+2} < \dots < d_{n+m-1} < c_{n+m}$  and set  $d_n = 0, d_{n+m} = a$ . Define*

$$(5.31) \quad V_d := \text{Im}(\text{Hom}(F_{(0,a)}, T_{b-d}F_{[0,a]}^H) \rightarrow \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H))$$

*for  $d \in [0, a]$ . Then for any  $i = n+1, \dots, n+m$  there exists a quotient submodule  $\tilde{A}_{c_i}$  of  $A_{c_i}$  and a short exact sequence of right  $\text{End}(G_{(0,a)})$ -modules*

$$(5.32) \quad 0 \rightarrow V_{d_i} \rightarrow V_{d_{i-1}} \rightarrow \tilde{A}_{c_i} \rightarrow 0.$$

*Moreover,  $V_{d_{n+m}} \simeq 0$ .*

*Proof.*

(i). — Consider the exact triangle

$$(5.33) \quad R\Gamma_{[d',+\infty)}(\mathbb{R}; \mathcal{H}_b) \rightarrow R\Gamma_{[d,+\infty)}(\mathbb{R}; \mathcal{H}_b) \rightarrow R\Gamma_{[d,d')}(\mathbb{R}; \mathcal{H}_b) \xrightarrow{+1},$$

where the third object is isomorphic to  $R\Gamma_{[c,+\infty)}(\mathcal{H}_b)_c$  by the microlocal Morse lemma (Proposition 2.8). Note that in the triangulated orbit category  $\mathbf{D}_{/[1]}^b(\mathbf{k})$  the shift functor  $[1]$  is naturally isomorphic to the identity functor. Hence, applying the cohomological functor  $H^* = \text{Hom}_{\mathbf{D}_{/[1]}^b(\mathbf{k})}(\mathbf{k}, -)$  gives the long exact sequence

$$(5.34) \quad \begin{array}{c} \cdots \longrightarrow W_c \longrightarrow \\ \downarrow \quad \quad \quad \downarrow \\ \longrightarrow \text{Hom}(F_{(0,a)}, T_{b-d'} F_{[0,a]}^H) \longrightarrow \text{Hom}(F_{(0,a)}, T_{b-d} F_{[0,a]}^H) \longrightarrow W_c \longrightarrow \\ \downarrow \quad \quad \quad \downarrow \\ \longrightarrow \text{Hom}(F_{(0,a)}, T_{b-d'} F_{[0,a]}^H) \longrightarrow \text{Hom}(F_{(0,a)}, T_{b-d} F_{[0,a]}^H) \longrightarrow \cdots, \end{array}$$

which induces the short exact sequence (5.26) of  $\mathbf{k}$ -vector spaces. Through the natural ring homomorphism

$$(5.35) \quad \text{End}(G_{(0,a)})^{\text{op}} \xrightarrow{j_{a!}} \text{End}(F_{(0,a)})^{\text{op}} \rightarrow \text{End}(\mathcal{H}_b),$$

we find that the exact sequence is that of right  $\text{End}(G_{(0,a)})$ -modules.

(ii) (iii). — Defining  $\tilde{B}_{c_i} := B_{c_i} \cap V_{d_i}$ , we obtain the exact sequence (5.29) of  $\mathbf{k}$ -vector spaces.

The induced morphism  $A_{c_i} \rightarrow \text{Coker}(V_{d_i} \rightarrow V_{d_{i-1}})$  is surjective and  $\text{Coker}(V_{d_i} \rightarrow V_{d_{i-1}})$  is isomorphic to a quotient module  $\tilde{A}_{c_i}$  of  $A_{c_i}$ . This gives the exact sequence (5.32) of  $\mathbf{k}$ -vector spaces.

The constructions above are natural with respect to  $\mathcal{H}_b$  and the exact sequences are those of right  $\text{End}(G_{(0,a)})$ -modules.

Let us prove  $V_{d_0} \simeq 0$ . The proof for  $V_{d_{n+m}} \simeq 0$  is similar. Since  $\tau_{0,a}(F_{(0,a)}) = j_{a!}\tau_{0,a}(G_{(0,a)})$ , it is enough to show  $\tau_{0,a}(G_{(0,a)}) = 0$ . Applying the microlocal Morse lemma (Proposition 2.8) to  $\text{Hom}^*(F_{(0,a)}, F_{[0,a]})$ , for a sufficiently small  $\varepsilon > 0$ , we find that

$$(5.36) \quad \begin{aligned} & \text{Im}(\text{Hom}_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, G_{(0,a)}) \rightarrow \text{Hom}_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, T_a G_{(0,a)})) \\ & \simeq \text{Im}(\text{Hom}_{\mathcal{D}^{\mathbb{R}}(M)_\theta}(F_{(0,a)}, F_{[0,a]}) \rightarrow \text{Hom}_{\mathcal{D}^{\mathbb{R}}(M)_\theta}(F_{(0,a)}, T_a F_{[0,a]})) \\ & \simeq \text{Im}(\text{Hom}_{\mathcal{D}^{\mathbb{R}}(M)_\theta}(F_{(0,a)}, F_{[0,a]}) \rightarrow \text{Hom}_{\mathcal{D}^{\mathbb{R}}(M)_\theta}(F_{(0,a)}, T_{a+\varepsilon} F_{[0,a]})) \\ & \simeq \text{Im}(\text{Hom}_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, G_{(0,a)}) \rightarrow \text{Hom}_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, T_{a+\varepsilon} G_{(0,a)})). \end{aligned}$$

Therefore the result follows from the assertion  $d_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, 0) \leq a$  in Theorem 4.4.  $\square$

5.3.1. Study of  $\mu hom$  between sheaf quantizations

In this subsection, we compute  $\text{End}(G_{(0,a)})$  and  $W_c$  using  $\mu hom$  functor.

LEMMA 5.19.

(i) For  $c \in \mathbb{R}$ , there is an isomorphism

$$(5.37) \quad \begin{aligned} W_c &\simeq H^* R\Gamma(\Omega_+; \mu hom(F_{(0,a)}, T_{b-c}F_{[0,a]}^H)) \\ &= \text{Hom}_{\Omega_+}^\mu(F_{(0,a)}, T_{b-c}F_{[0,a]}^H). \end{aligned}$$

(ii) For  $c \in \mathbb{R}$ ,

$$(5.38) \quad \text{Supp}(\mu hom(F_{(0,a)}, T_c F_{[0,a]}^H)|_{\Omega_+}) \subset C_1(a, c) \cup \overline{C_2(a, c)},$$

where

$$C_1(a, c) := \bigcup_{g(y,y')=[c]} l(y) \boxplus \widehat{\mathbf{c}}(a) \quad \text{and} \quad C_2(a, c) := \bigcup_{g(y,y')+[a]=[c]} l(y) \boxplus \widehat{\mathbf{1}}(a).$$

*Proof.*

(i). — The proof is essentially the same as that of [15, §4.3]. The only and slight difference appears in checking that  $\delta: (M \times \mathbb{R}) \times S_\theta^1 \times S_\theta^1 \rightarrow (M \times \mathbb{R}) \times (M \times \mathbb{R}) \times S_\theta^1 \times S_\theta^1$  is non-characteristic, which can also be verified easily.

(ii). — By Proposition 2.13 and Lemma 5.15, we get

$$(5.39) \quad \begin{aligned} \text{Supp}(\mu hom(F_{(0,a)}, T_c F_{[0,a]}^H)|_{\Omega_+}) &\subset \text{SS}_+(F_{(0,a)}) \cap T'_c(\text{SS}_+(F_{[0,a]}^H)) \\ &\subset \Lambda \boxplus \widehat{\mathbf{d}}(a) \cap T'_c(\Lambda^H \boxplus \widehat{\mathbf{q}}(a)), \end{aligned}$$

where  $T'_c: \Omega_+ \rightarrow \Omega_+$  is the lift of  $T_c$ . The equality  $\Lambda \boxplus \widehat{\mathbf{d}}(a) \cap T'_c(\Lambda^H \boxplus \widehat{\mathbf{q}}(a)) = C_1(a, c) \cup \overline{C_2(a, c)}$  has been checked in the proof of Proposition 5.17(ii).  $\square$

PROPOSITION 5.20.

(i) The object  $F_{(0,a)}$  (resp.  $F_{[0,a]}$ ) is simple along  $\Lambda \boxplus (\widehat{\mathbf{d}}(a) \setminus \widehat{\mathbf{c}}(a))$  (resp.  $\Lambda \boxplus (\widehat{\mathbf{q}}(a) \setminus \widehat{\mathbf{c}}(a))$ ).

(ii) There exists an isomorphism  $\mu hom(F_{(0,a)}, F_{(0,a)})|_{\Omega_+} \simeq \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}$  such that the diagram

$$(5.40) \quad \begin{array}{ccc} \mathbf{k}_{\Omega_+} & \xrightarrow{\quad} & \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} \\ & \searrow \text{id}_{F_{(0,a)}}^\mu & \downarrow \wr \\ & & \mu hom(F_{(0,a)}, F_{(0,a)})|_{\Omega_+} \end{array}$$

commutes. In particular,  $\text{End}_{\Omega_+}^\mu(F_{(0,a)}) \simeq H^*(L) = \bigoplus_{i \in \mathbb{Z}} H^i(L; \mathbf{k})$  as  $\mathbf{k}$ -vector spaces. Moreover,  $\circ_{F_{(0,a)}, F_{(0,a)}, F_{(0,a)}}^\mu$  induces the cup product on  $H^*(L)$  through this isomorphism. Similarly, there is an isomorphism  $\mu\text{hom}(F_{[0,a]}, F_{[0,a]})|_{\Omega_+} \simeq \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}$ .

(iii) There exists an isomorphism  $\mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+} \simeq \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)}$  such that the diagram

$$(5.41) \quad \begin{array}{ccc} \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} & \xrightarrow{\hspace{10em}} & \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)} \\ \wr \downarrow & & \downarrow \wr \\ \mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+} & \xrightarrow{\mu\text{hom}(F_{(0,a)}, \psi)|_{\Omega_+}} & \mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+} \end{array}$$

commutes, where  $\psi: F_{(0,a)} \rightarrow F_{[0,a]}$  is the canonical morphism and the left vertical arrow is the isomorphism given in (ii). In particular,  $\text{Hom}_{\Omega_+}^\mu(F_{(0,a)}, F_{[0,a]}) \simeq H^*(L)$  as  $\mathbf{k}$ -vector spaces. Moreover,  $\circ_{F_{(0,a)}, F_{(0,a)}, F_{[0,a]}}^\mu$  induces the usual right  $H^*(L)$ -module structure on  $H^*(L)$  through these isomorphisms.

*Proof.*

(i). — It follows from (ii) and Theorem 4.4(4).

(ii). — Since  $\text{Supp}(\mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+}) \subset \Lambda \boxplus \widehat{\mathbf{d}}(a)$  by Proposition 2.13 and Lemma 5.15, the morphism

$$\text{id}_{F_{(0,a)}}^\mu : \mathbf{k}_{\Omega_+} \rightarrow \mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+}$$

factors through  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}$ . We define  $\mathcal{E}$  to be the cone of the morphism  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} \rightarrow \mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+}$ . We will show that  $\mathcal{E} \simeq 0$ .

Again by Proposition 2.13 and Lemma 5.15, setting  $C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}} := -\mathbf{h}^{-1}(C(\Lambda \boxplus \widehat{\mathbf{d}}(a), \Lambda \boxplus \widehat{\mathbf{d}}(a)))$  we have

$$\text{SS}(\mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+}) \subset C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}}.$$

Since  $\text{SS}(\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}) \subset C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}}$ , by the triangle inequality (Proposition 2.3(ii)),

$$(5.42) \quad \text{SS}(\mathcal{E}) \subset C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}} \subset -\mathbf{h}^{-1}((d\rho)^{-1}C(\mathbf{d}(a), \mathbf{d}(a))).$$

We decompose  $\widehat{\mathbf{d}}(a)$  into the following nine parts

$$\begin{aligned} D_1 &:= \{(a, 0; v, \tau) \mid v > 0\}, & D_2 &:= \{(a, 0; 0, \tau)\}, \\ D_3 &:= \{(u, 0; 0, \tau) \mid 0 < u < a\}, & D_4 &:= \{(0, 0; 0, \tau)\}, \\ D_5 &:= \{(0, 0; v, \tau) \mid -\tau < v < 0\}, & D_6 &:= \{(0, 0; -\tau, \tau)\}, \\ D_7 &:= \{(u, [u]; -\tau, \tau) \mid 0 < u < a\}, & D_8 &:= \{(a, [a]; -\tau, \tau)\}, \\ D_9 &:= \{(a, [a]; v, \tau) \mid v > -\tau\}. \end{aligned}$$

Let  $p: \Lambda \boxplus \widehat{\mathbf{d}}(a) \rightarrow \Lambda$  be the unique continuous map satisfying  $p(x, u, t; \xi, v, \tau) = (x, t'; \xi, \tau)$  for any  $(x, u, t; \xi, v, \tau) \in \Lambda \boxplus \widehat{\mathbf{d}}(a)$  and some  $t'$ . Define  $\Lambda_i := \Lambda \boxplus D_i$  for  $i = 1, \dots, 9$ . Let  $p_i$  be the projection  $\Lambda_i \rightarrow \Lambda$  that is the restriction of  $p$ . For even  $i$ ,  $p_i$  is bijective. For odd  $i$ , we define  $q_i$  that is an extension of  $p_i$  by

$$\begin{aligned} q_1: \Omega_1 &:= \{u = a, v > 0\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, & (x, a, t; \xi, v, \tau) &\mapsto (x, t; \xi, \tau), \\ q_3: \Omega_3 &:= \{0 < u < a, v = 0\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, & (x, u, t; \xi, 0, \tau) &\mapsto (x, t; \xi, \tau), \\ q_5: \Omega_5 &:= \{u = 0, -\tau < v < 0\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, & (x, 0, t; \xi, v, \tau) &\mapsto (x, t; \xi, \tau) \\ q_7: \Omega_7 &:= \{0 < u < a, v = -\tau\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, & (x, u, t; \xi, -\tau, \tau) &\mapsto (x, t - u; \xi, \tau), \\ q_9: \Omega_9 &:= \{u = a, -\tau < v\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, & (x, a, t; \xi, v, \tau) &\mapsto (x, t - a; \xi, \tau). \end{aligned}$$

The image of  $(q_i)_d$  contains  $\text{SS}(\mathcal{E}|_{\Omega_i})$  for each odd  $i$ . Here we show it in the case  $i = 7$ , for example. We denote by  $(x, u, t, \xi, v, \tau; \tilde{x}, \tilde{u}, \tilde{t}, \tilde{\xi}, \tilde{v}, \tilde{\tau})$  a homogeneous coordinate system of  $T^*\Omega_+$ . Let  $i_7: \Omega_7 \rightarrow \Omega_+$  be the inclusion. It is enough to check  $\text{SS}(\mathcal{E}) \cap T^*\Omega_+|_{\Omega_7}$  is contained in  $((i_7)_d)^{-1}(\text{Im}(q_7)_d)$ . A direct computation shows

$$(5.43) \quad ((i_7)_d)^{-1}(\text{Im}(q_7)_d) = \{0 < u < a, v = -\tau, \tilde{u} = -\tilde{t}\}_{T^*\Omega_+}.$$

On the other hand,  $C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}} \cap T^*\Omega_+|_{\Omega_7}$  is the conormal bundle of  $\Lambda_7$  and hence contained in  $\{\tilde{u} = -\tilde{t}\}_{T^*\Omega_+}$ . Hence the image of  $(q_7)_d$  contains  $\text{SS}(\mathcal{E}|_{\Omega_7})$ .

For each odd  $i$ , by Proposition 2.7(iii) and Theorem 4.4(4), there exists an  $E_i \in \mathbf{D}_{[1]}^b(\Omega_+(M)_\theta)$  satisfying  $\text{Supp}(E_i) \subset \Lambda$  and  $\mathcal{E}|_{\Omega_i} \simeq q_i^{-1}E_i$ . We also define  $E_i := \mathcal{E}|_{\Omega_+(M)_\theta \boxplus D_i}$  for even  $i$ . By Theorem 4.4(2), we have  $\mathcal{E}|_{\Omega_+(M)_\theta \boxplus D_3} \simeq 0$ . On a neighborhood of  $\Lambda_2$ , the set  $C_{\widehat{\mathbf{d}}, \widehat{\mathbf{d}}}$  does not intersect  $\{\tilde{u}\tilde{v} < 0\}_{T^*\Omega_+}$ . Using Lemma 2.10(ii) for  $\phi = \pm(u + \frac{v}{\tau} - a)$ , we find that



$E_1 \simeq E_2 \simeq E_3$  and  $\mathcal{E}$  is of the form  $p^{-1}E_1$  on this neighborhood. By similar arguments for  $\Lambda_4, \Lambda_6$  and  $\Lambda_8$ , we get  $\mathcal{E}|_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} \simeq p^{-1}(E_3|_{\Lambda}) \simeq 0$ , which proves the first assertion.

The last assertion is proved in a parallel way.

Let us prove the second assertion. Denote by

$$v \in \text{Hom} \left( \left( \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} \right)^{\otimes 2}, \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)} \right)$$

the morphism corresponding to  $\circ_{F(0,a), F(0,a), F(0,a)}^\mu$  through the isomorphism proved above and by  $v' : H^*(L)^{\otimes 2} \rightarrow H^*(L)$  the induced morphism. Consider the canonical isomorphism  $\zeta : \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}^{\otimes 2} \xrightarrow{\sim} \mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{d}}(a)}$  that induces the cup product  $\cup : H^*(L)^{\otimes 2} \rightarrow H^*(L)$ . The morphism  $w : H^*(L) \rightarrow H^*(L)$  corresponding to  $v \circ \zeta^{-1}$  satisfies  $w(\beta) = w(1) \cup \beta$  for any  $\beta \in H^*(L)$ . By construction,  $v'(\alpha_1 \otimes \alpha_2) = w(\alpha_1 \cup \alpha_2) = w(1) \cup \alpha_1 \cup \alpha_2$  for any  $\alpha_1, \alpha_2 \in H^*(L)$ , which also shows  $w(1) = v'(1 \otimes 1)$ . The morphism  $\text{id}_{F(0,a)}^\mu$  corresponds to  $1 \in H^*(L)$  and hence by the unitality  $v'(1 \otimes 1) = 1$ , which proves the result.

(iii). — The morphism  $\mu\text{hom}(F_{(0,a)}, \psi)|_{\Omega_+}$  factors through  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)}$ . Since  $\psi|_{\{u < a\}_{\Omega_+}}$  is an isomorphism,  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)} \rightarrow \mu\text{hom}(F_{(0,a)}, F_{[0,a]})$  is also isomorphic on  $\{u < a\}_{\Omega_+}$ . The cone  $\mathcal{E}'$  of  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)} \rightarrow \mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+}$  is supported on  $\{u = a\}_{\Omega_+}$ . Since the microsupports of both  $\mathbf{k}_{\Lambda \boxplus \widehat{\mathbf{c}}(a)}$  and  $\mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+}$  are contained in  $-\mathbf{h}^{-1}C(\Lambda \boxplus \widehat{\mathbf{q}}(a), \Lambda \boxplus \widehat{\mathbf{d}}(a))$ ,  $\text{SS}(\mathcal{E}')$  does not intersect  $\{\tilde{u} > 0\}_{T^*\Omega_+}$ . These two properties require  $\mathcal{E}' \simeq 0$ .

The composition morphism

$$\mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+} \otimes \mu\text{hom}(F_{(0,a)}, F_{(0,a)})|_{\Omega_+} \rightarrow \mu\text{hom}(F_{(0,a)}, F_{[0,a]})|_{\Omega_+}$$

is also determined by the unitality as in (ii). □

PROPOSITION 5.21. — *There is an isomorphism of rings*

$$(5.44) \quad \text{End}(G_{(0,a)}) \simeq H^*(L) = \bigoplus_{i \in \mathbb{Z}} H^i(L; \mathbf{k}).$$

*Proof.* — By the functoriality of  $m_{-, -} : \mathbf{D}_{/[1]}^b(X; \Omega) \rightarrow \mathbf{D}_{/[1]}^\mu(X; \Omega)$  (see Definition 2.14(ii)) and Proposition 5.20, we obtain the ring homomorphism

$$(5.45) \quad \text{End}(G_{(0,a)}) \xrightarrow{j_{a1}} \text{End}(F_{(0,a)}) \xrightarrow{m_{F(0,a), F(0,a)}} \text{End}_{\Omega_+}^\mu(F_{(0,a)}) \simeq H^*(L).$$

We check that this morphism is an isomorphism of modules.

For any  $0 < \varepsilon < r(\iota) - a$ , there is an exact triangle of  $\text{End}(G_{(0,a)})$ -modules

$$(5.46) \quad \begin{aligned} & \text{Hom}(F_{(0,a)}, T_{-\varepsilon}F_{[0,a]}) \rightarrow \text{Hom}(F_{(0,a)}, F_{[0,a]}) \\ & \rightarrow H^*R\Gamma_{[0,+\infty)}(\ell^!Rq_*\mathcal{H}om^*(F_{(0,a)}, F_{[0,a]}))_0 \xrightarrow{+1} \end{aligned}$$

The second module is isomorphic to  $\text{End}(G_{(0,a)})$ . Moreover, the third one is isomorphic to  $\text{Hom}_{\Omega_+}^\mu(F_{(0,a)}, F_{[0,a]})$  by Lemma 5.19(i) (the case  $H \equiv 0$  and  $c = b$ ), which is isomorphic to  $H^*(L)$  by Proposition 5.20(iii). Thus by the commutativity of the following diagram, it is enough to prove the first module in (5.46) is 0:

$$(5.47) \quad \begin{array}{ccc} \text{End}(G_{(0,a)}) & & \\ \downarrow j_{a!} & \searrow & \\ \text{End}(F_{(0,a)}) & \xrightarrow{\psi \circ -} & \text{Hom}(F_{(0,a)}, F_{[0,a]}) \\ \downarrow m_{F_{(0,a)}, F_{(0,a)}} & & \downarrow m_{F_{(0,a)}, F_{[0,a]}} \\ \text{End}_{\Omega_+}^\mu(F_{(0,a)}) & \longrightarrow & \text{Hom}_{\Omega_+}^\mu(F_{(0,a)}, F_{[0,a]}) \\ \downarrow & & \downarrow \\ H^*(L) & \longrightarrow & H^*(L), \end{array}$$

where  $\psi: F_{(0,a)} \rightarrow F_{[0,a]}$  is the canonical morphism. All the arrows in the diagram are morphisms of right  $\text{End}(G_{(0,a)})$ -modules and the three arrows in the left column are ring homomorphisms. Note that the unlabeled arrows are all isomorphisms.

If  $a < r(\iota)/2$ , we can choose  $0 < \varepsilon_1, \varepsilon_2 < r(\iota) - a$  so that  $\varepsilon_2 - \varepsilon_1 > a$ . The isomorphism  $\text{Hom}(F_{(0,a)}, T_{-\varepsilon_2}F_{[0,a]}) \rightarrow \text{Hom}(F_{(0,a)}, T_{-\varepsilon_1}F_{[0,a]})$  is induced by  $\tau_{-\varepsilon_2, -\varepsilon_1}(G_{(0,a)})$ , which is the zero morphism since  $d_{\mathcal{D}^{(0,a)}(M)_\theta}(G_{(0,a)}, 0) \leq a$  by Theorem 4.4.

Now assume  $a > r(\iota)/2$ . In this case, we take  $a < \tilde{a} < r(\iota)$  and construct an object  $\mathcal{H} \in \mathcal{D}^{(0,\tilde{a})}(\text{pt})_\theta$  such that  $\mathcal{H}|_{S_\theta^1 \times \{u\}} \simeq Rq_*\mathcal{H}om^*(F_{(0,u)}, F_{[0,u]})$  for any  $u \in (0, \tilde{a})$  as follows. Let  $D_{\tilde{a}}$  and  $\mathcal{G} \in \mathcal{D}_L^{D_{\tilde{a}}}(M)_\theta$  be as in the proof of Theorem 4.4. Set

$$(5.48) \quad \begin{aligned} \mathcal{F}_1 &:= Rj_!\mathcal{G}, \quad \mathcal{F}_2 := Rj_*\mathcal{G} \in \mathcal{D}^{\mathbb{R} \times (0,\tilde{a})}(M)_\theta, \\ \mathcal{H} &:= Rq'_*\mathcal{H}om^*(\mathcal{F}_1, \mathcal{F}_2) \in \mathcal{D}^{(0,\tilde{a})}(\text{pt})_\theta, \end{aligned}$$

where  $j: M \times D_{\tilde{a}} \times S_\theta^1 \rightarrow M \times \mathbb{R} \times (0, \tilde{a}) \times S_\theta^1$  is the inclusion and  $q': M \times \mathbb{R} \times (0, \tilde{a}) \times S_\theta^1 \rightarrow (0, \tilde{a}) \times S_\theta^1$  is the projection. Then the object  $\mathcal{H}|_{(0,\tilde{a}) \times \{\varepsilon\}}$  is locally constant on  $(0, \tilde{a})$  for  $0 < \varepsilon < r(\iota) - \tilde{a}$ . This shows

that  $\text{Hom}(F_{(0,u)}, T_{-\varepsilon}F_{[0,u]})$  does not depend on  $u \in (0, \tilde{a})$  and the result follows from the case  $a < r(\iota)/2$ .  $\square$

By Proposition 5.21, the modules  $W_c, A_c, B_c$ , etc. that appeared in Proposition 5.18 are equipped with a  $H^*(L)$ -action.

5.3.2. Betti number estimate: Proof of Theorem 5.4

In this subsection, in order to prove Theorem 5.4 for a strong rational Lagrangian immersion satisfying Assumption 5.12, we assume the following.

ASSUMPTION 5.22. — *The strongly rational Lagrangian immersion  $\iota: L \rightarrow T^*M$  and the Hamiltonian function  $H: T^*M \times I \rightarrow \mathbb{R}$  satisfy the following conditions:*

- (i)  $\|H\| < r(\iota)$ ,
- (ii)  $\iota$  and  $\iota^H = \phi_1^H \circ \iota$  intersect transversally.

Under the assumption,  $\pi(\text{SS}_+(\mathcal{H}_b))$  is discrete by Proposition 5.17(ii).

LEMMA 5.23. — *With the notation of Proposition 5.18, for  $t \in S_\theta^1$ , take any  $\tilde{t} \in \ell^{-1}(t)$  and set  $W_t := W_{\tilde{t}}, A_t := A_{\tilde{t}}$ , and  $B_t := B_{\tilde{t}}$ , which do not depend on the choice of  $\tilde{t}$ . Let  $c_1, \dots, c_{n+m}$  be as in Proposition 5.18(ii) and (iii). Then*

$$(5.49) \quad \begin{aligned} \sum_{t \in S_\theta^1} \dim B_t &\geq \sum_{i=1}^n \dim B_{c_i} \geq \dim H^*(L), \\ \sum_{t \in S_\theta^1} \dim A_t &\geq \sum_{i=n+1}^{n+m} \dim A_{c_i} \geq \dim H^*(L). \end{aligned}$$

In particular,

$$(5.50) \quad \sum_{t \in S_\theta^1} \dim W_t \geq 2 \dim H^*(L).$$

*Proof.* — Since the composite (5.21) is an isomorphism and

$$\text{Hom}(F_{(0,a)}, F_{[0,a]}) \simeq H^*(L)$$

by Proposition 5.21, we have

$$(5.51) \quad \dim \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H) \geq \dim H^*(L).$$

By Proposition 5.18(ii), noticing that

$$V_{d_0} \simeq 0 \quad \text{and} \quad V_{d_n} \simeq \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H),$$

we get

$$(5.52) \quad \sum_{i=1}^n \dim B_{c_i} \geq \sum_{i=1}^n \dim \tilde{B}_{c_i} = \dim \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H).$$

Similarly by Proposition 5.18(iii), noticing that

$$V_{d_{n+m}} \simeq 0 \quad \text{and} \quad V_{d_n} \simeq \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H),$$

we get

$$(5.53) \quad \sum_{i=n+1}^{n+m} \dim A_{c_i} \geq \dim \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H).$$

Moreover, by Proposition 5.18(i),  $\dim B_t + \dim A_t = \dim W_t$ . Combining these inequalities, we obtain the result.  $\square$

PROPOSITION 5.24. — *For  $c \in \mathbb{R}$ , there is an isomorphism*

$$(5.54) \quad \begin{aligned} & \mu\text{hom}(F_{(0,a)}, T_c F_{[0,a]}^H)|_{\Omega_+} \\ & \simeq \bigoplus_{g(y,y')=[c]} \mathbf{k}_{l(y) \boxplus \widehat{\mathbf{c}}(a)} \oplus \bigoplus_{g(y,y')+[a]=[c]} \mathbf{k}_{l(y) \boxplus \widehat{\mathbf{1}}(a)}. \end{aligned}$$

*Proof.* — We argue similarly to Proposition 5.20 and use the same notation as in its proof and Lemma 5.19. Moreover, we set

$$\mathcal{F} := \mu\text{hom}(F_{(0,a)}, F_{[0,a]}^H)|_{\Omega_+} \in \mathbf{D}_{/[1]}^b(\Omega_+), D_{10} := \{(a, [a]; 0, \tau)\}, D_{11} := \widehat{\mathbf{1}}(a),$$

and

$$(5.55) \quad \begin{aligned} q_{11} : \Omega_{11} & := \{u = a, -\tau < v < 0\}_{\Omega_+} \rightarrow \Omega_+(M)_\theta, \\ & (x, a, t; \xi, v, \tau) \mapsto (x, t - a; \xi, \tau). \end{aligned}$$

By Lemma 5.19(ii),  $\text{Supp}(\mathcal{F}) \subset C_1(a, c) \cup \overline{C_2(a, c)}$ . Since  $C_1(a, c)$  and  $\overline{C_2(a, c)}$  are disjoint,  $\mathcal{F}$  admits a direct sum decomposition of the form  $\mathcal{F} \simeq \mathcal{F}' \oplus \mathcal{F}''$  with  $\text{Supp}(\mathcal{F}') \subset C_1(a, c)$  and  $\mathcal{F}'' \subset \overline{C_2(a, c)}$ . By Proposition 2.13 and Lemma 5.15, we have

$$(5.56) \quad \begin{aligned} \text{SS}(\mathcal{F}) & \subset -\mathbf{h}^{-1}(C(\Lambda \boxplus \widehat{\mathbf{d}}(a), \Lambda^H \boxplus \widehat{\mathbf{q}}(a))) \\ & \subset -\mathbf{h}^{-1}((d\rho)^{-1}C(\mathbf{d}(a), \mathbf{q}(a))). \end{aligned}$$

The image of  $(q_i)_d$  contains  $\text{SS}(\mathcal{F}'|_{\Omega_i})$  for each odd  $i$ . Therefore, by Proposition 2.7(iii), there exists a locally tame object  $F'_i \in \mathbf{D}_{/[1]}^b(\Omega_+(M)_\theta)$  with  $\text{Supp}(F'_i) \subset \Lambda$  and  $\mathcal{F}'|_{\Omega_i} \simeq q_i^{-1}F'_i$  for any odd  $i$ . We also define  $F'_i := \mathcal{F}'|_{\Omega_+(M)_\theta \boxplus D_i}$  for even  $i$ . By Theorem 4.4 and Lemma 2.18, we have  $F'_3 \simeq \bigoplus_{g(y,y')=c} \mathbf{k}_{l(y) \boxplus D_3}$ . On a neighborhood of  $\Lambda_2$ , the set  $-\mathbf{h}^{-1}C(\Lambda \boxplus \widehat{\mathbf{d}}(a), \Lambda \boxplus \widehat{\mathbf{q}}(a))$  does not intersect  $\{\tilde{u}\tilde{v} < 0\}_{T^*\Omega_+}$ . Using Lemma 2.10(ii) for  $\phi = u - \frac{v}{\tau} - a$ , we find that  $F'_2 \simeq F'_3$  and  $\mathcal{F}'|_{\Lambda \boxplus \widehat{\mathbf{c}}(a)}$  is of the form

$p^{-1}F'_3$  on this neighborhood. By similar arguments for  $\Lambda_4, \Lambda_6$  and  $\Lambda_8$ , we get  $\mathcal{F}' \simeq \bigoplus_{g(y,y')=[c]} \mathbf{k}_{l(y)\widehat{\text{III}}(a)}$ .

By Proposition 5.20(i) and Lemma 2.18,

$$\mathcal{F}''|_{\Omega_{11}} \simeq \bigoplus_{g(y,y')+[a]=[c]} \mathbf{k}_{l(y)\widehat{\text{III}}(a)}|_{\Omega_{11}}.$$

Using Lemma 2.10(i) for  $\phi = u - \frac{v}{\tau} - a, -(u + \frac{v}{\tau} - a) - 1$ , we obtain  $\mathcal{F}'' \simeq \bigoplus_{g(y,y')+[a]=[c]} \mathbf{k}_{l(y)\widehat{\text{III}}(a)}$ .

Combining these isomorphisms, we obtain the result. □

*Proof of Theorem 5.4.* — For  $t \in S_\theta^1$ , take  $\tilde{t} \in \ell^{-1}(t)$  as in Lemma 5.23. By Lemma 5.19(i) and Proposition 5.24,

$$\begin{aligned} \dim W_t &= \dim H^* R\Gamma(\Omega_+; \mu\text{hom}(F_{(0,a)}, T_{b-\tilde{t}} F_{[0,a]}^H)) \\ (5.57) \quad &= \# \{(y, y') \in C(\iota, H) \mid g(y, y') = [b] - t\} \\ &\quad + \# \{(y, y') \in C(\iota, H) \mid g(y, y') + [a] = [b] - t\} \end{aligned}$$

for any  $t \in S_\theta^1$ . Hence, we get  $\sum_{t \in S_\theta^1} \dim W_t = 2\#C(\iota, H)$ . Combining this equality with Lemma 5.23, we obtain the theorem. □

### 5.3.3. Cup-length estimate: Proof of Theorem 5.5

In this subsection, we give a proof of Theorem 5.5 for a strongly rational Lagrangian immersion satisfying Assumption 5.12.

First we introduce an algebraic counterpart of cup-length and study some properties.

DEFINITION 5.25. — *Let  $R$  be an associative (not necessarily commutative nor unital) algebra over  $\mathbf{k}$ . For a right  $R$ -module  $A$ , define*

$$(5.58) \quad \text{cl}_R(A) := \inf \left\{ k - 1 \mid \begin{array}{l} k \in \mathbb{Z}_{\geq 0}, a_0 \cdot r_1 \cdots r_k = 0 \\ \text{for any } (r_i)_i \in R^k \text{ and} \\ \text{for any } a_0 \in A \end{array} \right\} \in \mathbb{Z}_{\geq -1} \cup \{\infty\}.$$

Note that

- (i)  $\text{cl}_R(A) = -1$  if and only if  $A = 0$ .
- (ii)  $\text{cl}_R(A) = 0$  if and only if  $A \neq 0$  and  $ar = 0$  for any  $a \in A$  and any  $r \in R$ .

If there is no risk of confusion, we simply write  $\text{cl}(A)$  for  $\text{cl}_R(A)$ .

By definition, one can easily show the following two lemmas.

LEMMA 5.26. — *For an exact sequence  $A \rightarrow B \rightarrow C$  of right  $R$ -modules,  $\text{cl}(B) \leq \text{cl}(A) + \text{cl}(C) + 1$ .*

LEMMA 5.27. — *Let  $R, R'$  be rings and  $A$  be a right  $R$ -module. If  $R'$  is a non-zero unital ring and the action of  $R$  on  $A$  factors as  $R \rightarrow R' \rightarrow \text{End}(A)^{\text{op}}$ , then  $\text{cl}_R(A) \leq \text{cl}_R(R')$ .*

The usual notion of cup-length is related to the above definition as follows. Let  $X$  be a manifold. We define the ring  $R_X := \bigoplus_{i \geq 1} H^i(X; \mathbf{k})$  equipped with the cup product and  $\text{cl}(X) := \text{cl}_{R_X}(H^*(X; \mathbf{k}))$ . The number  $\text{cl}(X) \in \mathbb{Z}_{\geq -1} \cup \{\infty\}$  is called the *cup-length* of  $X$ .

Now we start the proof of Theorem 5.5. In what follows, we assume the following.

ASSUMPTION 5.28. — *The Hamiltonian function  $H$  satisfies  $\|H\| < \min(r(\iota), \theta(\iota)/2)$ .*

Take  $a \in \mathbb{R}$  satisfying  $\|H\| < a < \min(r(\iota), \theta(\iota)/2)$ . From now on, until the end of this subsection, set  $R := R_L = \bigoplus_{i \geq 1} H^i(L; \mathbf{k})$ . Recall again that by Proposition 5.21 the right  $\text{End}(G_{(0,a)})$ -modules that appeared in Proposition 5.18 can be regarded as  $H^*(L)$ -modules and hence  $R$ -modules.

LEMMA 5.29. — *Assume that  $\pi(\text{SS}_+(\mathcal{H}_b))$  is a discrete set and let  $c_1, \dots, c_n$  be as in Proposition 5.18(ii). Then*

$$(5.59) \quad n + \sum_{i=1}^n \text{cl}(W_{c_i}) \geq \text{cl}(L) + 1.$$

*Proof.* — First recall that the composite (5.21) is an isomorphism and  $\text{Hom}(F_{(0,a)}, F_{[0,a]}) \simeq H^*(L)$  as  $R$ -modules by Proposition 5.21. Hence we have

$$(5.60) \quad \text{cl}(\text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H)) \geq \text{cl}(H^*(L)).$$

Applying Lemma 5.26 to the exact sequences (5.29) and (5.26) of right  $R$ -modules, we have

$$(5.61) \quad \text{cl}(V_{d_i}) \leq \text{cl}(W_{c_i}) + \text{cl}(V_{d_{i-1}}) + 1.$$

Noticing that  $V_{d_0} \simeq 0$  and  $V_{d_n} \simeq \text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H)$ , by induction we obtain

$$(5.62) \quad n + \sum_{i=1}^n \text{cl}(W_{c_i}) \geq \text{cl}(\text{Hom}(F_{(0,a)}, T_b F_{[0,a]}^H)) + 1,$$

which proves the result. □

It remains to see the action of  $R$  on each  $W_{c_i} \simeq \text{Hom}_{\Omega_+}^\mu(F_{(0,a)}, T_{b-c_i}F_{[0,a]}^H)$ . Recall that for any  $c \in \mathbb{R}$ ,

$$(5.63) \quad \begin{aligned} \text{Hom}_{\rho^{-1}(U)}^\mu(F_{(0,a)}, T_c F_{[0,a]}^H) \\ \simeq H^* R\Gamma(\rho^{-1}(U); \mu\text{hom}(F_{(0,a)}, T_c F_{[0,a]}^H)) \end{aligned}$$

admits a right  $\text{End}(G_{(0,a)})$ -module structure. Hence it is equipped with a right  $R$ -module structure through the ring homomorphism  $R \hookrightarrow H^*(L) \simeq \text{End}(G_{(0,a)})$ .

PROPOSITION 5.30. — *Let  $U$  be an open subset of  $T^*M$ . Then for any  $c \in \mathbb{R}$ ,*

$$(5.64) \quad \text{cl} \left( \text{Hom}_{\rho^{-1}(U)}^\mu(F_{(0,a)}, T_c F_{[0,a]}^H) \right) \leq \text{cl}(H^*(\iota^{-1}(U))).$$

*Proof.* — By the functoriality of  $m_{-, -}$  (see Definition 2.14(ii)), the action of  $\text{End}(F_{(0,a)})$  on  $\text{Hom}_{\rho^{-1}(U)}^\mu(F_{(0,a)}, T_c F_{[0,a]}^H)$  factors through  $\text{End}_{\rho^{-1}(U)}^\mu(F_{(0,a)})$ , and so does the action of  $R$ . The ring  $\text{End}_{\rho^{-1}(U)}^\mu(F_{(0,a)})$  is isomorphic to  $H^* R\Gamma(\rho^{-1}(U); \mathbf{k}_{\Lambda \boxplus d(a) \cap \rho^{-1}(U)}) \simeq H^*(\iota^{-1}(U))$  by Proposition 5.20(ii). Hence the assertion follows from Lemma 5.27 if  $\iota^{-1}(U)$  is non-empty.

If  $\iota^{-1}(U)$  is empty,  $\text{Hom}_{\rho^{-1}(U)}^\mu(F_{(0,a)}, T_c F_{[0,a]}^H)$  is zero by Lemma 5.19(ii). Hence both sides of (5.64) are  $-1$ . □

*Proof of Theorem 5.5.* — We may assume that  $C(\iota, H)$  is discrete and let  $c_1, \dots, c_n$  be as in Lemma 5.29. Since  $a < \theta/2$ , for any  $(y, y') \in C(\iota, H)$ , the set

$$(5.65) \quad \left\{ c \in \mathbb{R} \left| \begin{array}{l} g(y, y') \equiv -c + b \pmod{\theta} \text{ or} \\ g(y, y') \equiv -c + b - a \pmod{\theta} \end{array} \right. \right\} \cap [-a, 0)$$

is a singleton or empty. Hence, we have  $\#C(\iota, H) \geq n$ .

Let  $c$  be any of  $c_1, \dots, c_n$  and set

$$(5.66) \quad \begin{aligned} \{(y_1, y'_1), \dots, (y_k, y'_k)\} \\ := \left\{ (y, y') \in C(\iota, H) \left| \begin{array}{l} g(y, y') \equiv -c + b \pmod{\theta} \\ \text{or } g(y, y') \equiv -c + b - a \pmod{\theta} \end{array} \right. \right\}. \end{aligned}$$

Take a sufficiently small contractible open neighborhood  $U_j$  of  $\iota(y_j) = \iota^H(y'_j)$  in  $T^*M$  for  $j = 1, \dots, k$  and set  $U := \bigcup_{j=1}^k U_j$ . Then, by Lemma 5.19, we obtain

$$(5.67) \quad \begin{aligned} W_c \simeq H^* R\Gamma(\Omega_+; \mu\text{hom}(F_{(0,a)}, T_{b-c}F_{[0,a]}^H)) \\ \simeq H^* R\Gamma(\rho^{-1}(U); \mu\text{hom}(F_{(0,a)}, T_{b-c}F_{[0,a]}^H)). \end{aligned}$$

Therefore, by Proposition 5.30, we have  $\text{cl}(W_c) \leq \text{cl}(H^*(\iota^{-1}(U))) = 0$ , which proves the theorem by Lemma 5.29.  $\square$

*Remark 5.31.* — The quantity  $\text{cl}+1$  in the proof of Theorem 5.5 and  $\dim$  in the proof of Theorem 5.4 play similar but a bit different roles in the following sense. For a short exact sequence  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  of right  $R$ -modules,  $\text{cl}(B) + 1$  can be strictly smaller than  $\text{cl}(A) + 1 + \text{cl}(C) + 1$  while  $\dim B = \dim A + \dim C$  always holds. Because of this difference, the proof of Lemma 5.23 with  $\dim$  replaced by  $\text{cl}+1$  does not proceed in the same way.

*Remark 5.32.* — If  $\min(\{r(\iota)\} \cup (\{\theta(\iota)/2\} \cap \mathbb{R}_{>0})) \neq r(\iota)$ , then  $r(\iota) = \theta(\iota)$  as remarked in Remark 5.6. In such a case, for  $a \in (\theta/2, r(\iota))$ , the set (5.65) may have two elements and our estimate for  $\sigma(\iota)/2 \leq \|H\| < e(\iota)$  can be worse than (5.6).

A possible way to prove (5.6) for  $\sigma(\iota)/2 \leq \|H\| < e(\iota)$  is to take  $a = r(\iota)$  as mentioned in Remark 4.16. In this case, the set (5.65) is a singleton for each  $(y, y') \in C(\iota, H)$ . However, in the case  $a = r(\iota)$ , Lemma 5.19 does not hold and proofs for Propositions 5.21 and 5.30 become more complicated.

Note that we have proved  $\#g(C(\iota, H)) \geq \text{cl}(L) + 1$  assuming  $C(\iota, H)$  is discrete in the proof of Theorem 5.5. More generally, we obtain the following. We denote by  $\text{pr}_1: C(\iota, H) \rightarrow L$  the first projection.

**PROPOSITION 5.33.** — *Assume that the number of the values of  $g$  is finite. Let  $g(C(\iota, H)) = \{t_1, \dots, t_l\}$  and set  $T_i := g^{-1}(t_i)$  for  $i = 1, \dots, l$ . Moreover, let  $V_i$  be an open neighborhood of  $\text{pr}_1(T_i)$  in  $L$  for  $i = 1, \dots, l$ . Then*

$$(5.68) \quad l + \sum_{i=1}^l \text{cl}(H^*(V_i)) \geq \text{cl}(L) + 1.$$

*Proof.* — Let  $c_1, \dots, c_n$  be as in Lemma 5.29. Then  $l \geq n$  and we obtain the result by Lemma 5.29 and a slight modified version of Proposition 5.30.  $\square$

We can also deduce a similar statement without mentioning  $g$ .

**PROPOSITION 5.34.** — *Assume that the number of the path-connected components of  $C(\iota, H)$  is finite and let  $\{C_1, \dots, C_m\}$  be the set of the path-connected components. Moreover, let  $U_i$  be an open neighborhood of  $\text{pr}_1(C_i)$  in  $L$  for  $i = 1, \dots, m$ . Then*

$$(5.69) \quad m + \sum_{i=1}^m \text{cl}(H^*(U_i)) \geq \text{cl}(L) + 1.$$



*Proof.* — Since  $g$  is constant on each path-connected component, the assumption of Proposition 5.33 is satisfied. We use the same notation as in Proposition 5.33. We define  $\kappa: \{1, \dots, m\} \rightarrow \{1, \dots, l\}$  so that  $C_i \subset D_{\kappa(i)}$  for each  $i = 1, \dots, m$ . Set  $V_j := \bigcup_{i \in \kappa^{-1}(j)} U_i$ . It is enough to check that

$$(5.70) \quad \sum_{i \in \kappa^{-1}(j)} (\text{cl}(H^*(U_i)) + 1) \geq \text{cl}(H^*(V_j)) + 1$$

for each  $j \in \{1, \dots, l\}$ . This is obtained by iterative use of Lemma 5.35 below.  $\square$

LEMMA 5.35. — *For open subsets  $W_1$  and  $W_2$  of  $L$ ,*

$$(5.71) \quad \text{cl}(H^*(W_1)) + \text{cl}(H^*(W_2)) + 1 \geq \text{cl}(H^*(W_1 \cup W_2)).$$

*Proof.* — By the Mayer–Vietoris sequence and Lemma 5.26, we get

$$(5.72) \quad \text{cl}(H^*(W_1 \cup W_2)) \leq \text{cl}(H^*(W_1 \cap W_2)) + \text{cl}(H^*(W_1) \oplus H^*(W_2)) + 1.$$

Since  $\text{cl}(H^*(W_1 \cap W_2)) \leq \min\{\text{cl}(H^*(W_1)), \text{cl}(H^*(W_2))\}$  and  $\text{cl}(H^*(W_1) \oplus H^*(W_2)) = \max\{\text{cl}(H^*(W_1)), \text{cl}(H^*(W_2))\}$ , the assertion holds.  $\square$

Although in Proposition 5.34 we state the result for a strongly rational Lagrangian immersion satisfying Assumption 5.28, we can show that the statement holds for any rational Lagrangian immersion as in Theorem 5.5 by an argument similar to Section 5.2.

## Appendix A. Modified Tamarkin category and energy estimate

In this section, we give a more detailed exposition on the modified version of Tamarkin category  $\mathcal{D}^P(M)_\theta$ . We continue to use the notation in Section 3.

### A.1. Separation theorem

First noticing that  $\ell: M \times P \times \mathbb{R} \rightarrow M \times P \times S_\theta^1$  is a covering map, we obtain the following.

LEMMA A.1.

- (i) *Let  $G \in \mathbf{D}_{[1]}^b(M \times P \times S_\theta^1)$ . If  $\ell^!G \simeq 0$  then  $G \simeq 0$ .*

- (ii) The functor  $\ell^! : \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1) \rightarrow \mathbf{D}_{/[1]}^b(M \times P \times \mathbb{R})$  is conservative. That is, a morphism  $f$  in  $\mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)$  is an isomorphism if and only if so is  $\ell^! f$ .

Applying the proper base change and the projection formula, one can prove the following.

LEMMA A.2. — For  $F, G \in \mathbf{D}_{/[1]}^b(M \times P \times \mathbb{R})$ , there is a natural isomorphism

$$(A.1) \quad R\ell_! F \star R\ell_! G \simeq R\ell_!(F \star G),$$

where  $\star$  in the right-hand side stands for the convolution  $\star$  for the case  $\theta = 0$ .

We define endofunctors  $P_l$  and  $P_r$  of  $\mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1)$  by

$$P_l := R\ell_! \mathbf{k}_{M \times P \times [0, +\infty)} \star (-) \quad \text{and} \quad P_r := \mathcal{H}om^*(R\ell_! \mathbf{k}_{M \times P \times [0, +\infty)}, -).$$

Using Lemma A.1 and Lemma A.2 and arguing similarly to [14], we can show the equivalence of categories

$$\begin{aligned} P_l : \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1; \Omega_+) &\xrightarrow{\sim} {}^\perp \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times P \times S_\theta^1), \\ P_r : \mathbf{D}_{/[1]}^b(M \times P \times S_\theta^1; \Omega_+) &\xrightarrow{\sim} \mathbf{D}_{/[1], \{\tau \leq 0\}}^b(M \times P \times S_\theta^1)^\perp, \end{aligned}$$

where  ${}^\perp(-)$  (resp.  $(-)^\perp$ ) denotes the left (resp. right) orthogonal.

For an object  $F \in \mathcal{D}^P(M)$ , we take the canonical representative  $P_l(F) \in {}^\perp \mathbf{D}_{\{\tau \leq 0\}}^b(M \times P \times \mathbb{R})$  unless otherwise specified. The support of an object  $F \in \mathcal{D}^P(M)$  is defined to be that of  $P_l(F)$ . For a compact subset  $A$  of  $T^*M$  and  $F \in \mathcal{D}_A^P(M)$ , the canonical representative  $P_l(F) \in {}^\perp \mathbf{D}_{\{\tau \leq 0\}}^b(M \times P \times \mathbb{R})$  satisfies  $\text{SS}(P_l(F)) \subset \overline{\rho^{-1}(A)}$ .

The following is a slight generalization of Tamarkin’s separation theorem.

PROPOSITION A.3 (see also [24, Thm. 3.2 and Lem. 3.8] and [14, Thm.4.28]). — Let  $q$  denote the projection  $M \times P \times S_\theta^1 \rightarrow S_\theta^1$ . Let  $A, B$  be compact subsets of  $T^*M$  and  $F \in \mathcal{D}_A^P(M)_\theta, G \in \mathcal{D}_B^P(M)_\theta$ . Assume

- (i)  $A \cap B = \emptyset$ ,
- (ii)  $q$  is proper on  $\text{Supp}(F) \cup \text{Supp}(G)$ .

Then  $Rq_* \mathcal{H}om^*(F, G) \simeq 0$ .

### A.2. Sheaf quantization of Hamiltonian isotopies

In this subsection, we briefly recall the existence theorem of a sheaf quantization of a Hamiltonian isotopy due to Guillermou–Kashiwara–Schapira [13], with a slight modification so that it can be applied to our setting.

Let  $I$  be an open interval containing the closed interval  $[0, 1]$ . Let  $H: T^*M \times I \rightarrow \mathbb{R}$  be a compactly supported Hamiltonian function and denote by  $X_s$  the associated Hamiltonian vector field on  $T^*M$  defined by  $d\alpha(X_s, -) = -dH_s$ . We also denote by  $\phi^H: T^*M \times I \rightarrow T^*M$  the Hamiltonian isotopy generated by  $X_s$ . We consider the conification of  $\phi^H$  as follows. Define  $\widehat{H}: T^*M \times \dot{T}^*S_\theta^1 \times I \rightarrow \mathbb{R}$  by  $\widehat{H}_s(x, t; \xi, \tau) := \tau \cdot H_s(x; \xi/\tau)$ . Note that  $\widehat{H}$  is homogeneous of degree 1, that is,  $\widehat{H}_s(x, t; c\xi, c\tau) = c \cdot \widehat{H}_s(x, t; \xi, \tau)$  for any  $c \in \mathbb{R}_{>0}$ . The Hamiltonian isotopy  $\widehat{\phi}: T^*M \times \dot{T}^*S_\theta^1 \times I \rightarrow T^*M \times \dot{T}^*S_\theta^1$  associated with  $\widehat{H}$  makes the following diagram commute (recall that we have set  $\rho: \Omega_+ \rightarrow T^*M, (x, t; \xi, \tau) \mapsto (x; \xi/\tau)$ ):

$$(A.2) \quad \begin{array}{ccc} \Omega_+ \times I & \xrightarrow{\widehat{\phi}} & \Omega_+ \\ \rho \times \text{id} \downarrow & & \downarrow \rho \\ T^*M \times I & \xrightarrow{\phi^H} & T^*M. \end{array}$$

Defining a  $C^\infty$ -function  $u = (u_s)_{s \in I}: T^*M \times I \rightarrow \mathbb{R}$  by  $u_s(p) := \int_0^s (H_{s'} - \alpha(X_{s'}))(\phi_{s'}^H(p)) ds'$ , we find that

$$(A.3) \quad \widehat{\phi}_s(x, t; \xi, \tau) = (x', t + [u_s(x; \xi/\tau)]; \xi', \tau),$$

where  $(x'; \xi'/\tau) = \phi_s^H(x; \xi/\tau)$ . By construction,  $\widehat{\phi}$  is a homogeneous Hamiltonian isotopy:  $\widehat{\phi}_s(x, t; c\xi, c\tau) = c \cdot \widehat{\phi}_s(x, t; \xi, \tau)$  for any  $c \in \mathbb{R}_{>0}$ . We define a conic Lagrangian submanifold  $\Lambda_{\widehat{\phi}} \subset T^*M \times \dot{T}^*S_\theta^1 \times T^*M \times \dot{T}^*S_\theta^1 \times T^*I$  by

$$(A.4) \quad \Lambda_{\widehat{\phi}} := \left\{ \left( \widehat{\phi}_s(x, t; \xi, \tau), (x, t; -\xi, -\tau), (s; -\widehat{H}_s \circ \widehat{\phi}_s(x, t; \xi, \tau)) \right) \mid (x; \xi) \in T^*M, (t; \tau) \in \dot{T}^*S_\theta^1, s \in I \right\}.$$

By construction, we have

$$(A.5) \quad \widehat{H}_s \circ \widehat{\phi}_s(x, t; \xi, \tau) = \tau \cdot (H_s \circ \phi_s^H(x; \xi/\tau)).$$

Note also that

$$(A.6) \quad \Lambda_{\widehat{\phi}} \circ T_s^*I = \left\{ \left( \widehat{\phi}_s(x, t; \xi, \tau), (x, t; -\xi, -\tau) \right) \mid (x, t; \xi, \tau) \in T^*M \times \dot{T}^*S_\theta^1 \right\} \subset T^*M \times \dot{T}^*S_\theta^1 \times T^*M \times \dot{T}^*S_\theta^1$$

for any  $s \in I$  (see (2.7) for the definition of  $A \circ B$ ). The following was proved by Guillermou–Kashiwara–Schapira [13].

THEOREM A.4 (cf. [13, Thm. 4.3]). — *In the preceding situation, there exists a unique object  $K^H \in \mathbf{D}^b(M \times S_\theta^1 \times M \times S_\theta^1 \times I)$  satisfying the following conditions:*

- (1)  $\mathring{S}\mathring{S}(K^H) \subset \Lambda_{\widehat{\phi}}$ ,
- (2)  $K^H|_{M \times S_\theta^1 \times M \times S_\theta^1 \times \{0\}} \simeq \mathbf{k}_{\Delta_{M \times S_\theta^1}}$ , where  $\Delta_{M \times S_\theta^1}$  is the diagonal of  $M \times S_\theta^1 \times M \times S_\theta^1$ .

Moreover both projections  $\text{Supp}(K^H) \rightarrow M \times S_\theta^1 \times I$  are proper.

The object  $K^H$  is called the *sheaf quantization* of  $\widehat{\phi}$  or associated with  $\phi^H$ .

### A.3. Hamiltonian deformation for sheaves and translation distance

In this subsection, we give the outline of the proof of Proposition 3.5.

Let  $F \in \mathcal{D}^P(M)_\theta$ . Then the canonical morphism  $R\ell_! \mathbf{k}_{M \times P \times [0, +\infty)} \star F \rightarrow F$  is an isomorphism. Moreover, for any  $c \in \mathbb{R}$ , we have an isomorphism  $T_{c*}(R\ell_! \mathbf{k}_{M \times P \times [0, +\infty)} \star F) \simeq R\ell_! \mathbf{k}_{M \times P \times [c, +\infty)} \star F$ . Hence, for any  $c, d \in \mathbb{R}$  with  $c \leq d$ , the canonical morphism  $\mathbf{k}_{M \times P \times [c, +\infty)} \rightarrow \mathbf{k}_{M \times P \times [d, +\infty)}$  induces a morphism  $\tau_{c,d}(F): T_{c*}F \rightarrow T_{d*}F$  in  $\mathcal{D}^P(M)_\theta$ . Using the morphism, we define the translation distance as in Definition 3.4.

The following is a modified version of the key lemma in [5], which we used once in the proof of Theorem 4.4.

LEMMA A.5 (cf. [5, Prop. 4.3]). — *Denote by  $q: M \times P \times S_\theta^1 \times I \rightarrow M \times P \times S_\theta^1$  the projection. Let  $\mathcal{H} \in \mathbf{D}_{\{\tau \geq 0\}}^b(M \times P \times S_\theta^1 \times I)$  and  $s_1 < s_2$  be in  $I$ . Assume that there exist  $a, b, r \in \mathbb{R}_{>0}$  satisfying*

$$(A.7) \quad \text{SS}(\mathcal{H}) \cap \pi^{-1}(M \times P \times S_\theta^1 \times (s_1 - r, s_2 + r)) \subset T^*(M \times P) \times (S_\theta^1 \times I) \times \gamma_{a,b},$$

where  $\gamma_{a,b} := \{(\tau, \sigma) \in \mathbb{R}^2 \mid -a\tau \leq \sigma \leq b\tau\} \subset \mathbb{R}^2$ . Then

- (i)  $d_{\mathcal{D}^P(M)_\theta}(Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times [s_1, s_2]}), 0) \leq a(s_2 - s_1)$ ,
- (ii)  $d_{\mathcal{D}^P(M)_\theta}(Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times (s_1, s_2]})), 0) \leq b(s_2 - s_1)$ ,
- (iii)  $d_{\mathcal{D}^P(M)_\theta}(\mathcal{H}|_{M \times P \times S_\theta^1 \times \{s_1\}}, \mathcal{H}|_{M \times P \times S_\theta^1 \times \{s_2\}}) \leq (a + b)(s_2 - s_1)$ .

*Outline of the proof.* — We can prove (i) and (ii) similarly to that of [5, Prop. 4.3], using Lemma A.6 below instead of the usual microlocal cut-off lemma. Similarly to [5, Lem. 4.14], we can show that if  $F \rightarrow G \rightarrow H \xrightarrow{+1}$  is an exact triangle in  $\mathbf{D}_{\{\tau \geq 0\}}^b(M \times P \times S_\theta^1)$  and  $d_{\mathcal{D}^P(M)_\theta}(F, 0) \leq c$  with

$c \in \mathbb{R}_{\geq 0}$ , then  $d_{\mathcal{D}^P(M)_\theta}(G, H) \leq c$ . Hence, applying it to the exact triangles

$$\begin{aligned}
 (A.8) \quad Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times \{s_1, s_2\}}) &\rightarrow Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times [s_1, s_2]}) \\
 &\rightarrow \mathcal{H}|_{M \times P \times S_\theta^1 \times \{s_1\}} \xrightarrow{+1}, \\
 Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times [s_1, s_2]}) &\rightarrow Rq_*(\mathcal{H}_{M \times P \times S_\theta^1 \times \{s_1, s_2\}}) \\
 &\rightarrow \mathcal{H}|_{M \times P \times S_\theta^1 \times \{s_2\}} \xrightarrow{+1},
 \end{aligned}$$

we obtain (iii) by the triangle inequality for  $d_{\mathcal{D}^P(M)_\theta}$ . □

LEMMA A.6. — *Define*

$$\begin{aligned}
 \bar{s}: M \times P \times S_\theta^1 \times \mathbb{R} \times \mathbb{R} \times \mathbb{R} &\rightarrow M \times P \times S_\theta^1 \times \mathbb{R}, \\
 (x, y, t_1, s_1, t_2, s_2) &\mapsto (x, y, t_1 + [t_2], s_1 + s_2), \\
 \bar{q}_1: M \times P \times S_\theta^1 \times \mathbb{R} \times \mathbb{R} \times \mathbb{R} &\rightarrow M \times P \times S_\theta^1 \times \mathbb{R}, \\
 (x, y, t_1, s_1, t_2, s_2) &\mapsto (x, y, t_1, s_1), \\
 \bar{q}_2: M \times P \times S_\theta^1 \times \mathbb{R} \times \mathbb{R} \times \mathbb{R} &\rightarrow M \times P \times \mathbb{R} \times \mathbb{R}, \\
 (x, y, t_1, s_1, t_2, s_2) &\mapsto (x, y, t_2, s_2).
 \end{aligned}$$

Let  $\gamma$  be a closed convex cone in  $\mathbb{R}^2$  with  $0 \in \gamma$  and  $\mathcal{H} \in \mathbf{D}^b(M \times P \times S_\theta^1 \times \mathbb{R})$ . Then the canonical morphism  $R\bar{s}_*(\bar{q}_1^{-1}\mathcal{H} \otimes \bar{q}_2^{-1}\mathbf{k}_{M \times P \times \gamma}) \rightarrow \mathcal{H}$  is an isomorphism if and only if  $\text{SS}(\mathcal{H}) \subset T^*(M \times P) \times (S_\theta^1 \times \mathbb{R}) \times \gamma^\circ$ , where  $\gamma^\circ$  denotes the polar cone of  $\gamma$ .

*Outline of the proof of Proposition 3.5.* — Let  $K^H$  be the sheaf quantization associated with  $\phi^H$ . Define  $\mathcal{H} := K^H \circ F \in \mathbf{D}^b(M \times P \times S_\theta^1 \times I)$ . Then we have  $\mathcal{H}|_{M \times P \times S_\theta^1 \times \{0\}} \simeq F$  and  $\mathcal{H}|_{M \times P \times S_\theta^1 \times \{1\}} \simeq \Phi_1^H(F)$ . By Proposition 2.12 and (A.4), we get

$$\begin{aligned}
 (A.9) \quad \text{SS}(\mathcal{H}) \\
 \subset T^*(M \times P) \times \left\{ (t, s; \tau, \sigma) \mid -\max_p H_s(p) \cdot \tau \leq \sigma \leq -\min_p H_s(p) \cdot \tau \right\}.
 \end{aligned}$$

Using Lemma A.5 (iii) and arguing similarly to [5, Prop. 4.15], for any  $n \in \mathbb{Z}_{\geq 0}$  we obtain

$$(A.10) \quad d_{\mathcal{D}^P(M)_\theta}(F, \Phi_1^H(F)) \leq \sum_{k=0}^{n-1} \frac{1}{n} \cdot \left( \max_{s \in [\frac{k}{n}, \frac{k+1}{n}]} f(s) + \max_{s \in [\frac{k}{n}, \frac{k+1}{n}]} g(s) \right),$$

where  $f(s) = \max_p H_s(p)$  and  $g(s) = -\min_p H_s(p)$ . For any  $\varepsilon \in \mathbb{R}_{>0}$ , there exists  $n \in \mathbb{Z}_{\geq 0}$  such that the right-hand side of (A.10) is less than  $\|H\| + \varepsilon$ , which proves the result. □

As an application, we give a sheaf-theoretic bound for the displacement energy of two compact subset of  $T^*M$ . For compact subsets  $A$  and  $B$  of  $T^*M$ , we define their displacement energy  $e(A, B)$  by

$$(A.11) \quad e(A, B) := \inf \left\{ \|H\| \mid \begin{array}{l} H: T^*M \times I \rightarrow \mathbb{R} \text{ with compact support,} \\ A \cap \phi_1^H(B) = \emptyset \end{array} \right\}$$

Using  $\mathcal{H}om^*$  and the translation distance on  $\mathcal{D}(\text{pt})_\theta$ , we introduce a sheaf-theoretic energy.

DEFINITION A.7 (cf. [5, Def. 4.17]). — *Let  $q$  denote the projection  $M \times P \times S_\theta^1 \rightarrow S_\theta^1$ . One defines*

$$(A.12) \quad \begin{aligned} e_{\mathcal{D}^P(M)_\theta}(F, G) &:= d_{\mathcal{D}(\text{pt})_\theta}(Rq_* \mathcal{H}om^*(F, G), 0) \\ &= \inf \{c \in \mathbb{R}_{\geq 0} \mid \tau_{0,c}(Rq_* \mathcal{H}om^*(F, G)) = 0\}. \end{aligned}$$

Note that by Proposition 3.3, we have

$$(A.13) \quad \begin{aligned} e_{\mathcal{D}^P(M)_\theta}(F, G) \\ \geq \inf \{c \in \mathbb{R}_{\geq 0} \mid \text{Hom}_{\mathcal{D}^P(M)_\theta}(F, G) \rightarrow \text{Hom}_{\mathcal{D}^P(M)_\theta}(F, T_{c*}G) \text{ is zero}\}. \end{aligned}$$

Combining Proposition A.3 with Proposition 3.5, we obtain the following refined version of the main theorem of [5]. Note that we do not use this result in the previous sections, since we need more precise arguments for the estimates of the number of the intersection points.

PROPOSITION A.8 (cf. [5, Thm. 4.18]). — *Let  $q$  denote the projection  $M \times P \times S_\theta^1 \rightarrow S_\theta^1$ . Moreover, let  $A$  and  $B$  be compact subsets of  $T^*M$ . Then for any  $F \in \mathcal{D}_A^P(M)_\theta$  and  $G \in \mathcal{D}_B^P(M)_\theta$  such that  $q$  is proper on  $\text{Supp}(F) \cup \text{Supp}(G)$ ,*

$$(A.14) \quad e(A, B) \geq e_{\mathcal{D}^P(M)_\theta}(F, G).$$

*In particular, for such  $F$  and  $G$ ,*

$$(A.15) \quad \begin{aligned} e(A, B) \\ \geq \inf \{c \in \mathbb{R}_{\geq 0} \mid \text{Hom}_{\mathcal{D}^P(M)_\theta}(F, G) \rightarrow \text{Hom}_{\mathcal{D}^P(M)_\theta}(F, T_{c*}G) \text{ is zero}\}. \end{aligned}$$

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