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## A G-MINIMAL MODEL FOR PRINCIPAL G-BUNDLES

by Shrawan KUMAR

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### Introduction.

Sullivan built a minimal model theory for simplicial complexes. He showed that given a simply connected simplicial complex  $X$  with all its Betti numbers being finite, there is associated to it a certain uniquely determined (up to DGA isomorphism) DGA over  $\mathbb{Q}$  (called minimal model for the space  $X$ ) which contains exactly the rational homotopy information of the space  $X$ . Actually large part of this theory goes through for nilpotent simplicial complexes as well. For a quick exposition of this theory, see [3; Sections 1 to 3], [4] or [7].

Suppose  $E \xrightarrow{p} B$  is a principal  $G$ -bundle, then the  $C^\infty$  de-Rham complex  $\Omega(E)$  of  $E$  acquires additional structures due to the action of  $G$  on  $E$ .  $\Omega(E)$  becomes a  $\mathfrak{G}$  (= Lie-algebra of  $G$ ) algebra [see section 1]. In this paper we formulate a certain « natural » model  $\mu_G[E]$  (which we call the  $G$ -minimal model) for the space  $E$  which is a collection of mutually «  $\mathfrak{G}$ -homotopic »  $\mathfrak{G}$ -algebras  $\{A_\theta\}$ , such that the DGA of basic elements in  $A_\theta$  is the minimal model for  $B$  and any  $A_\theta$  has the complete rational homotopy information of the space  $E$  (and  $B$ ) (see theorem (2.2)).

In general (probably) we don't get a  $\mathfrak{G}$ -morphism from any  $A_\theta$  to  $\Omega(E)$  inducing isomorphism in cohomology. We analyze a more general question in theorem (2.3). It turns out that it is equivalent to the existence of a « special » connection in the bundle  $E$ . The nature of « special » connection seems interesting. For example, such a connection  $\Phi_0$  (if it exists) in a principal  $G$ -bundle  $E$  with highly connected base space  $B$ , would have the property that the corresponding (to  $\Phi_0$ ) lower characteristic forms themselves vanish. This actual vanishing of

characteristic forms figures in the definition of secondary characteristic classes by Chern-Simons [2].

Section 1 contains the various definitions and some examples. The main theorems of the paper (Theorems 2.2 and 2.3) are formulated in section 2. Section 3 contains the proofs and examples of some  $G$ -bundles which admit « special » connections. We add an appendix to give a spectral sequence which converges to the cohomology of  $B$  and which has  $H(E) \otimes H(BG)$  as its  $E_1$  term.

We intend to take up the question « which principal  $G$ -bundles admit a « special » connection » in a separate paper.

### Acknowledgements.

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Throughout  $G$  will denote a compact connected real Lie group and  $\mathfrak{G}$  its real Lie-algebra. All the  $G$ -bundles will be principal and in the smooth ( $=C^\infty$ ) category with simply-connected base space  $B$ . Further we assume that all the Betti numbers of  $B$  are finite. Vector spaces will be over reals and linear maps would mean  $\mathbf{R}$ -linear maps. Isomorphism would always mean surjective isomorphism.

### 1. Definitions.

(1.1) DEFINITIONS. — (a) *A Differential Graded Algebra (abbreviated as DGA) is an associative graded algebra  $A = \bigoplus_{k \geq 0} A^k$  with unity and a differential  $d : A \rightarrow A$  of degree  $+1$  satisfying*

1) *A is graded commutative i.e.  $x \cdot y = (-1)^{k'l} y \cdot x$  for  $x \in A^k$  and  $y \in A^l$ .*

2)  $d$  is derivation i.e.

$$d(x.y) = (dx).y + (-1)^k x.dy \text{ for } x \in A^k$$

and

3)  $d^2 = 0$ .

$A$  is connected if  $H^0(A)$  is the ground field.  $A$  is simply connected if in addition  $H^1(A) = 0$ .

(b). — Let  $A$  and  $B$  be two DGA with morphisms  $f, g : A \rightarrow B$ .  $f$  and  $g$  are said to be homotopic, if there exists a morphism  $H : A \rightarrow B \otimes_{\mathbf{R}} \mathbf{R}(t, dt)$  such that  $\varepsilon_0 \circ H = f$  and  $\varepsilon_1 \circ H = g$ , where  $\varepsilon_0, \varepsilon_1 : B \otimes_{\mathbf{R}} \mathbf{R}(t, dt) \rightarrow B$  are evaluations at 0 and 1 respectively.

(c) [1(a), section 4]. — By a  $\mathfrak{G}$ -algebra we mean a DGA  $A$  with two linear maps  $L : \mathfrak{G} \rightarrow \text{Der}_0 A$  and  $i : \mathfrak{G} \rightarrow \text{Der}_{-1} A$  ( $\text{Der}_\ell A$  denotes the set of all derivations of degree  $\ell$  i.e. linear maps  $\theta : A^k \rightarrow A^{k+\ell}$  satisfying  $\theta(ab) = \theta(a)b + (-1)^k a\theta(b)$  for  $a \in A^k$ ) satisfying

- 1)  $i(X) \circ i(X) = 0$
- 2)  $L(X)i(Y) = i(Y)L(X) + i[X, Y]$
- 3)  $L(X) = di(X) + i(X)d$

for all  $X, Y \in \mathfrak{G}$ .

Remarks. — 1)  $i$  and  $L$  correspond to inner and Lie derivatives respectively.

2) As a consequence of (2) and (3) above,  $L$  is a Lie algebra homomorphism.

Notation. — We denote by  $A^{\mathfrak{G}} = \{a \in A : L(X)a = 0 = i(X)a \text{ for all } X \in \mathfrak{G}\}$  and call them *basic elements* and by

$$I(A) = \{a \in A : L(X)a = 0 \text{ for all } X \in \mathfrak{G}\}$$

and call them *invariant elements*. In the example (1) of (1.2) below, the basic elements correspond exactly to the forms on the base.

(d) Let  $A_1$  and  $A_2$  be two  $\mathfrak{G}$ -algebras. A  $\mathfrak{G}$ -morphism  $\varphi : A_1 \rightarrow A_2$  is a DGA homomorphism commuting with  $L$  and  $i$  actions.

(1.2) *Examples of  $\mathfrak{G}$ -algebras.* — (1) The main motivating example is the smooth de Rham complex  $\Omega(E)$  of the total space  $E$  of a  $G$ -bundle.

(2) *Weil algebra* of  $\mathfrak{G}$ , which is defined to be the algebra  $S(\mathfrak{G}^*) \otimes \Lambda(\mathfrak{G}^*)$  where  $S(\mathfrak{G}^*)$  (respectively  $\Lambda(\mathfrak{G}^*)$ ) denotes the total symmetric (respectively exterior) algebra of  $\mathfrak{G}^*$  (=the dual of  $\mathfrak{G}$ ).

For details of the operators  $d$ ,  $L$  and  $i$  on  $W(\mathfrak{G})$ , see [1(a), section 6].

(3)  $\Lambda(\mathfrak{G}^*)$  considered as a DGA with the operators

$$i(X)\omega = \omega(X) \quad \text{and} \quad [L(X)\omega]Y = -\omega[X, Y]$$

for  $\omega \in \mathfrak{G}^*$  and  $X, Y \in \mathfrak{G}$ . Extend  $i(X)$  and  $L(X)$  as derivations on the whole of  $\Lambda(\mathfrak{G}^*)$ . We denote  $I(\Lambda(\mathfrak{G}^*))$  by  $I_A(\mathfrak{G})$ .

(1.3) DEFINITIONS. — (1) *A connection in a  $\mathfrak{G}$ -algebra  $A$  is, by definition, a  $\mathfrak{G}$ -morphism from  $W(\mathfrak{G})$  to  $A$ .*

*It is not difficult to see that a connection in  $\Omega(E)$  in this sense gives rise to a connection in the  $G$ -bundle  $E$  in the usual geometric sense and vice-versa. See [1(a); sections 5 and 6].*

(2) *We call a  $\mathfrak{G}$ -algebra  $A$  with connection to be irreducible if there does not exist a  $\mathfrak{G}$ -subalgebra  $B$  (of  $A$ ) admitting a connection such that  $A \subsetneq B \supset A^{\mathfrak{G}}$ .*

## 2. Formulations of the main results.

Let  $E \xrightarrow{p} B$  be a principal  $G$ -bundle. We are tacitly assuming that the base space  $B$  is simply connected although this restriction is more of a convenience than necessity. One can have suitable formulations for non simply-connected  $B$  as well by taking  $\ell$ -stage minimal model for the space  $B$ , which always exists for finite  $\ell$ . See [3; theorem (1.1)]. We associate a «  $G$ -model » as below.

(2.1) *A «  $G$ -model » associated to  $E$ .* — Let us fix a minimal model  $\rho : \mu \rightarrow \Omega(B)$  in the sense of Sullivan [3; section 1]. Let  $S_E = \{\theta : \theta \text{ is a DGA morphism from } I = I_S(\mathfrak{G}) \text{ to } \mu \text{ such that the map induced in cohomology : } I \rightarrow H^*(\mu) \xrightarrow{\simeq} H^*(B) \text{ is the characteristic cohomology homomorphism induced from some (and hence any) connection in } E\}$ .

$I_S(\mathfrak{G}) \subset W(\mathfrak{G})$  denotes the algebra of all the invariant polynomials on  $\mathfrak{G}$ . Since  $I$  is a polynomial algebra, any two morphisms in  $S_E$  are homotopic. (Actually,  $\theta \in S_E$  is nothing but an induced map at the minimal model level corresponding to the unique homotopy class of maps  $B \rightarrow B(G)$  determined by  $E$ ).

Given a  $\theta \in S_E$ , we associate a  $\mathfrak{G}$ -algebra  $A_\theta = W(\mathfrak{G}) \otimes_I \mu$ , where  $\mu$  is considered as an  $I$ -module via  $\theta$ . The operators  $i_X$  and  $L_X$ , for all  $X \in \mathfrak{G}$ , are defined to be 0 on  $\mu$ .  $i_X, L_X$  and  $d$ , being  $I$ -linear on both  $W(\mathfrak{G})$  and  $\mu$ , extend to operators on  $W(\mathfrak{G}) \otimes_I \mu$ . It is easy to see that  $A_\theta$  becomes a  $\mathfrak{G}$ -algebra.

Let  $\Phi_{res} : I \rightarrow \Omega(B)$  be the characteristic homomorphism (i.e. the evaluation of the invariant polynomial after substituting the curvature) corresponding to a smooth connection  $\Phi$  on the bundle  $E$ .

As the maps  $\gamma = \rho \circ \theta, \Phi_{res}$  are homotopic, there is a diagram of  $\mathfrak{G}$ -algebras (and  $\mathfrak{G}$ -morphisms)

$$\begin{array}{ccc}
 A_\theta = W(\mathfrak{G}) \otimes_I \mu & \xrightarrow{\text{Id.} \otimes \rho} & W(\mathfrak{G}) \otimes_I^\gamma \Omega(B) \\
 & & \swarrow \varepsilon_0 \\
 \text{(D)} & & W(\mathfrak{G}) \otimes_I [\Omega(B) \otimes_R \mathbf{R}(t, dt)] \\
 & & \searrow \varepsilon_1 \\
 \Omega(E) & \xleftarrow{\tilde{\Phi}} & W(\mathfrak{G}) \otimes_I^{\Phi_{res}} \Omega(B)
 \end{array}$$

$W(\mathfrak{G}) \otimes_I^\gamma \Omega(B)$  denotes the tensor product, where  $\Omega(B)$  is considered as an  $I$ -module via  $\gamma$ . The map  $\tilde{\Phi}$  is extension of the connection  $\Phi : W(\mathfrak{G}) \rightarrow \Omega(E)$  and the canonical inclusion  $\Omega(B) \hookrightarrow \Omega(E)$ .

In view of the lemma (3.3) of this paper, all the maps in diagram (D) induce isomorphism in cohomology. Since  $E$  is a nilpotent space ( $B$  being simply connected, by assumption), for any  $\theta \in S_E$ , the DGA  $A_\theta$  contains all the rational homotopy information of the space  $E$ . (Of course, the minimal model  $\mu$ , of the base space, sits inside  $A_\theta$  as exactly the set of its basic elements and hence the  $\mathfrak{G}$ -algebra  $A_\theta$  contains the complete rational homotopy information of the base space as well).

If we choose another  $\theta_1 \in S_E$  then,  $\theta, \theta_1$  being homotopic,  $A_\theta$  and  $A_{\theta_1}$  are «  $\mathfrak{G}$ -homotopic » in the following sense.

$$\begin{array}{ccc}
 & & W(\mathfrak{G}) \otimes_{\mathbb{1}}^{\theta} \mu = A_\theta \\
 & \nearrow^{\epsilon_0} & \\
 W(\mathfrak{G}) \otimes_{\mathbb{1}} [\mu \otimes_{\mathbb{R}} \mathbf{R}(t, dt)] & & \\
 & \searrow_{\epsilon_1} & \\
 & & W(\mathfrak{G}) \otimes_{\mathbb{1}}^{\theta_1} \mu = A_{\theta_1}
 \end{array}$$

Now let  $E \xrightarrow{p} B$  and  $E' \xrightarrow{p'} B'$  be two bundles with a  $G$ -morphism  $f: E \rightarrow E'$ . This induces, of course, a morphism  $\Omega(B') \rightarrow \Omega(B)$  and hence a map  $\tilde{f}: \mu' \rightarrow \mu$  at the minimal model level. It is easy to see that, for any  $\theta' \in S_{E'}$ ,  $\tilde{f}\theta' \in S_E$ . There exists a canonical  $\mathfrak{G}$ -morphism  $\mu_G[f]:$

$$A_{\theta'} = W(\mathfrak{G}) \otimes_{\mathbb{1}} \mu' \xrightarrow{\text{Id.} \otimes \tilde{f}} A_{\tilde{f}\theta'} = W(\mathfrak{G}) \otimes_{\mathbb{1}} \mu.$$

We summarize all this in the following.

(2.2) THEOREM. — *Let  $E \xrightarrow{p} B$  be a  $G$ -bundle ( $B$  being simply connected and having all its betti nos. finite). There is associated a collection  $\mu_G[E] = \{A_\theta\}_{\theta \in S_E}$  of mutually «  $\mathfrak{G}$ -homotopic »  $\mathfrak{G}$ -algebras admitting connections, as defined above. Moreover, for any  $\theta \in S_E$ ,  $H^*(A_\theta)$  is isomorphic with  $H^*(E)$  and  $A_\theta^{\mathfrak{G}}$  is a minimal model for  $B$ . In fact,  $A_\theta$  contains the complete rational homotopy information of the space  $E$  (and  $B$ ).*

*Further, given two  $G$ -bundles  $E, E'$  and a  $G$ -morphism  $f: E \rightarrow E'$ , there exists a « natural »  $\mathfrak{G}$ -morphism  $\mu_G[f]$  from  $\mu_G[E']$  to  $\mu_G[E]$  (that is, for any  $\theta' \in S_{E'}$  there exists a  $\theta \in S_E$  and a « natural »  $\mathfrak{G}$ -morphism  $A_{\theta'} \rightarrow A_\theta$ ) as defined above.*

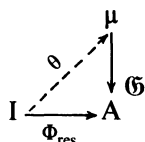
We call  $\mu_G[E]$  the  $G$ -minimal model associated to the bundle  $E$ .

*Remark.* — Similarly, we can associate a  $\mathfrak{G}$ -minimal model to any  $\mathfrak{G}$ -algebra  $A$  which is finite dimensional in each degree, admits a connection and such that  $A^{\mathfrak{G}}$  is simply-connected.

For this, we choose a connection  $\Phi: W(\mathfrak{G}) \rightarrow A$ . This gives a  $\mathfrak{G}$ -morphism:  $W(\mathfrak{G}) \otimes_{\mathbb{1}}^{\Phi_{\text{res}}} A^{\mathfrak{G}} \rightarrow A$ , which induces isomorphism in

cohomology by lemma (3.3). Now we choose a minimal model  $\rho : \mu \rightarrow A^{\mathfrak{G}}$  and take various homotopy lifts  $\theta$  to make the construction of

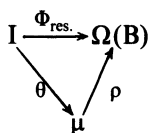
$$A_{\theta} = W(\mathfrak{G}) \underset{I}{\overset{\theta}{\otimes}} \mu.$$



Observe that, homotopy class of the map  $\Phi_{res.}$  does not depend upon the particular choice of connection in  $A$ . □

Now we study the existence of a  $\mathfrak{G}$ -morphism :  $A_{\theta} \rightarrow \Omega(E)$ .

There exists a  $\mathfrak{G}$ -morphism  $\varphi : A_{\theta} \rightarrow \Omega(E)$  inducing the map  $\rho$  at the base if and only if there exists a connection  $\Phi$  in the bundle  $E$  such that the following diagram is (actually) commutative

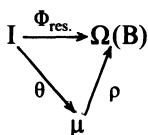


More generally, we have the following result.

(2.3) THEOREM. — Let  $E \xrightarrow{p} B$  be a  $G$ -bundle. There exists a  $\mathfrak{G}$ -algebra  $A = \bigoplus_{k \geq 0} A^k$  and a  $\mathfrak{G}$ -morphism  $\varphi : A \rightarrow \Omega(E)$  satisfying

- 1)  $A^k$  is finite dimensional for all  $k \geq 0$  and  $A^0$  is the ground field.
- 2)  $\varphi$  induces isomorphism in cohomology.

3)  $\varphi|_{A^{\mathfrak{G}}} : A^{\mathfrak{G}} \rightarrow \Omega(B)$  is a minimal model in the sense of Sullivan if and only if there exist a connection  $\Phi : W(\mathfrak{G}) \rightarrow \Omega(E)$ , a minimal model  $\rho : \mu \rightarrow \Omega(B)$  and a DGA morphism  $\theta : I = I_s(\mathfrak{G}) \rightarrow \mu$  making the following diagram actually (not merely homotopically, which always exists) commutative.



(D')...



*Notes.* — (1) We call any such connection a « special » connection. (2) Given such a diagram (D'), there is a « canonical »  $\mathfrak{G}$ -morphism  $\varphi_{\Phi, D'} : A_{\mathfrak{G}} \rightarrow \Omega(E)$ , induced from the connection  $\Phi$  and the map  $\rho : \mu \rightarrow \Omega(B) \hookrightarrow \Omega(E)$ , satisfying (1), (2) and (3) above.

(2.4) COROLLARY. — *If A is any  $\mathfrak{G}$ -algebra with a  $\mathfrak{G}$ -morphism  $\varphi : A \rightarrow \Omega(E)$  satisfying (1), (2) and (3) above then there exist a « special » connection  $\Phi$  in the algebra  $\Omega(E)$  and a commutative diagram (D') with the property that there exists a  $\mathfrak{G}$ -morphism  $\alpha : A_{\mathfrak{G}} \rightarrow A$  satisfying  $\varphi \circ \alpha = \varphi_{\Phi, D'}$ .*

*So, if A is irreducible,  $\alpha$  is a surjective morphism. We prove theorem (2.3) and its corollary in the next section.*

(2.5) Remark. — The following result due to Kostant [6; Theorem 0.2. and lemma 1] gives that, as a graded vector space over  $\mathbb{R}$ ,  $A_{\mathfrak{G}}$  can be identified with  $\Lambda(\mathfrak{G}^*) \otimes_{\mathbb{R}} H \otimes_{\mathbb{R}} \mu$ .

« Let  $H$  be any graded  $\mathfrak{G}$ -submodule of  $S(\mathfrak{G}^*)$  satisfying  $I_S(\mathfrak{G})^+ S(\mathfrak{G}^*) \oplus H = S(\mathfrak{G}^*)$  ( $I_S(\mathfrak{G})^+$  denotes the set of all the  $\mathfrak{G}$ -invariant polynomials on  $\mathfrak{G}$  with zero constant term). Then, the canonical map from  $H \otimes I_S(\mathfrak{G})$  to  $S(\mathfrak{G}^*)$ , given by  $f \otimes g \mapsto fg$ , is a  $\mathfrak{G}$ -module isomorphism.

$H$  can be taken to be, for example, the set of all  $G$ -harmonic polynomials on  $\mathfrak{G}$  where  $G$  is the adjoint group of  $\mathfrak{G}$ . »

### 3. Proofs and some examples.

First we prove the following lemmas.

(3.1) LEMMA. — *Any  $\mathfrak{G}$ -algebra A, with a  $\mathfrak{G}$ -morphism  $\varphi : A \rightarrow \Omega(E)$  satisfying (1), (2) and (3) of theorem (2.3) admits a connection. In fact (3) can be replaced by a weaker assumption that  $A^{\mathfrak{G}} \rightarrow \Omega(B)$  induces isomorphism in cohomology.*

*Proof.* — We show that there exists a linear map  $\xi : \mathfrak{G}^* \rightarrow A^1$  commuting with the actions  $i$  and  $L$ .

Let us fix a point  $e_0 \in E$ . Consider the map  $\varepsilon : G \rightarrow E$  defined by  $\varepsilon(g) = e_0 g$ .  $\varepsilon$  gives rise to a map  $\varepsilon^* : \Omega(E) \rightarrow \Omega(G)$ . We claim that  $\varepsilon^* \varphi(A^k) \hookrightarrow \Lambda^k(\mathfrak{G}^*)$  (i.e. the left invariant  $k$ -forms on  $G$ ). This is

because, for  $X_1, \dots, X_k \in \mathfrak{G}$  and  $a \in A^k$ ,

$$i(X_1) \circ \dots \circ i(X_k) \circ \varepsilon^* \varphi(a) = \varepsilon^* \varphi \circ i(X_1) \circ \dots \circ i(X_k)(a)$$

which is a constant function on  $G$  (since  $A^0 \simeq \mathbb{R}$ ).

We further assert that  $\varepsilon^* \varphi(A^1) = \mathfrak{G}^*$ . Assuming this for a moment, let  $K$  be the kernel of the map  $\varepsilon^* \varphi : A^1 \rightarrow \mathfrak{G}^*$  and  $K^\perp$  be a  $\mathfrak{G}$ -submodule (under the  $L$  action) of  $A^1$  such that  $K \oplus K^\perp = A^1$ .  $\varepsilon^* \varphi|_{K^\perp}$  is an isomorphism. Taking  $(\varepsilon^* \varphi|_{K^\perp})^{-1} : \mathfrak{G}^* \rightarrow K^\perp \hookrightarrow A^1$  gives a desired map  $\xi$ .

Extend this map to an algebra morphism  $\xi : \Lambda(\mathfrak{G}^*) \rightarrow A$ . We define the curvature from  $\mathfrak{G}^* \rightarrow A^2$  by  $\omega \mapsto d(\xi(\omega)) - \xi(d_{\mathfrak{G}}(\omega))$ , where  $d_{\mathfrak{G}}$  denotes the differential in the complex  $\Lambda(\mathfrak{G}^*)$ , and extend this to  $S(\mathfrak{G}^*)$ . These two maps together give a unique algebra map (again denoted by)  $\xi : W(\mathfrak{G}) \rightarrow A$ . It is a routine checking that the map  $\xi$  is a connection in the  $\mathfrak{G}$ -algebra  $A$ .

We return to prove that  $\varepsilon^* \varphi(A^1) = \mathfrak{G}^*$ . Let  $\omega$  be a primitive element in  $I_A^k(\mathfrak{G})$ . As  $\omega$  is universally transgressive, there exists a form  $\tilde{\omega} \in \Omega^k(E)$  such that  $\varepsilon^* \tilde{\omega} = \omega$  and  $d\tilde{\omega} \in p^*(\Omega^{k+1}(B))$ . We can further assume that  $\tilde{\omega} \in I(\Omega^k(E))$ , i.e.  $L(X)\tilde{\omega} = 0$  for all  $X \in \mathfrak{G}$ . Since  $H^{k+1}(A^{\mathfrak{G}}) \simeq H^{k+1}(B)$ , there exists an element  $y \in A^{\mathfrak{G}}$  such that  $dy = 0$  and  $\varphi(y) = d\tilde{\omega} + p^*(d\theta)$  for some  $\theta \in \Omega^k(B)$ . But then by taking  $\tilde{\omega} + p^*(\theta)$  in place of  $\tilde{\omega}$ , we can assume that  $\varphi(y) = d\tilde{\omega}$ . By assumption  $H(A) \simeq H(E)$ , so that  $y = dx$  for some  $x \in A^k$ . Since  $H(I(A)) \simeq H(A)$  (as can be easily seen from the relation  $L(X) = di(X) + i(X)d$ ), we can choose  $x \in I(A^k)$ .

Now  $d(\varphi(x) - \tilde{\omega}) = 0$  and hence  $\varphi(x) - \tilde{\omega} = \varphi(y') + d\theta'$  for some form  $\theta' \in I(\Omega^{k-1}(E))$  and  $y' \in I(A^k)$  (We are using  $H(I(A)) \simeq H(I(\Omega(E)))$ ). This gives  $\varepsilon^* \varphi(x) - \varepsilon^* \tilde{\omega} = \varepsilon^* \varphi(y') + d\varepsilon^*(\theta')$ . Since  $d\varepsilon^*(\theta')$  is a bi-invariant form on  $G$  which is a coboundary and hence is 0. So  $\varepsilon^* \tilde{\omega} = \omega \in \varepsilon^* \varphi(A)$  and hence  $\varepsilon^* \varphi(A)$  contains all the bi-invariant forms on  $G$ . But the image  $\varepsilon^* \varphi(A)$  is closed under the actions of  $i(X)$  and  $L(X)$  which would imply that  $\varepsilon^* \varphi(A^1) = \mathfrak{G}^*$ , proving the lemma.

(3.2) LEMMA. — *Let  $A$  be a  $\mathfrak{G}$ -algebra admitting a connection  $\Phi$ . Let  $Z$  denote the subalgebra of horizontal elements i.e.*

$$Z = \{a \in A : i(X)a = 0 \text{ for all } X \in \mathfrak{G}\}.$$

Then the map  $\beta : \Lambda(\mathfrak{G}^*) \otimes Z \rightarrow A$ , defined by  $\beta|_{\Lambda(\mathfrak{G}^*)} = \Phi|_{\Lambda(\mathfrak{G}^*)}$  and  $\beta|_Z$  is the inclusion, is a graded algebra (but not DGA in general) isomorphism commuting with the natural  $i$  and  $L$  actions.

*Proof.* — Let us choose a basis  $\{X_1, \dots, X_n\}$  of  $\mathfrak{G}$  and let  $\{X_1^*, \dots, X_n^*\}$  be the dual basis (of  $\mathfrak{G}^*$ ).

(a)  $\beta$  is injective. — For let

$$\beta \left( \sum_{\substack{0 \leq k \leq \ell \\ i_1 < \dots < i_k}} X_{i_1}^* \wedge \dots \wedge X_{i_k}^* \otimes h_{i_1, \dots, i_k} \right) = 0.$$

By operating  $i(X_{j_\ell}) \circ \dots \circ i(X_{j_1})$  on both the sides, we get  $h_{j_1, \dots, j_\ell} = 0$  and hence  $\beta$  is injective.

(b)  $\beta$  is surjective. — Let  $A_\ell$  denote the set

$$\{a \in A : i(Y_1) \circ \dots \circ i(Y_\ell)a = 0 \quad \text{for all } Y_1, \dots, Y_\ell \in \mathfrak{G}\}.$$

Clearly  $A = A_{n+1} \supset A_n \supset \dots \supset A_1 = Z$ . Assume, by induction, that  $A_\ell$  is in the image of  $\beta$  (of course  $A_1$  is in the image of  $\beta$ ) and let  $a \in A_{\ell+1}$ . Consider the element

$$b = \sum_{i_1 < \dots < i_\ell} \beta(X_{i_1}^* \wedge \dots \wedge X_{i_\ell}^*) \cdot i(X_{i_\ell}) \circ \dots \circ i(X_{i_1})a.$$

By operating  $i(X_{j_\ell}) \circ \dots \circ i(X_{j_1})$  on both the sides, we get

$$i(X_{j_\ell}) \circ \dots \circ i(X_{j_1})b = i(X_{j_\ell}) \circ \dots \circ i(X_{j_1})a.$$

This implies that  $b - a \in A_\ell$  and hence, by induction hypothesis,  $b - a \in \text{Image } \beta$ , but  $b \in \text{Image } \beta$  and hence  $a$  also is in the image.

We prove the following lemma which is analogue of Leray-Serre spectral sequence for fibrations.

(3.3) LEMMA. — Let  $A$  be a  $\mathfrak{G}$ -algebra admitting a connection which is finite dimensional in each degree. Then there exists a convergent spectral sequence with  $E_2^{p,q} \simeq H^q(\mathfrak{G}) \otimes H^p(A^{\mathfrak{G}})$  and converging to the cohomology of  $A$ .

*Remarks.* — (1) Observe that a principal  $G$ -bundle (for  $G$  a connected group, which we are always assuming) is always orientable.

(2) The hypothesis that  $A$  admits a connection is necessary. For, take a  $\mathfrak{G}$ -algebra  $A$  with connection and then define

$$B = \sum_{l \geq 1} \Lambda(\mathfrak{G}^*) \otimes Z^l \oplus A^0.$$

For « appropriate »  $A$ ,  $B$  will provide a counter example.

*Proof* (of the lemma). — Let  $\Phi$  be a connection in  $A$ . By the previous lemma (3.2), this induces an isomorphism  $\Lambda(\mathfrak{G}^*) \otimes Z \simeq A$ . Consider the filtration  $A = A_0 \supset A_1 \supset \dots \supset A_p \supset \dots$  where  $A_p = \sum_{l \geq p} \Lambda(\mathfrak{G}^*) \otimes Z^l$ . This is of course a convergent filtration bounded above. We compute  $E_r^{p,q}$  for  $r = 0, 1, 2$ .

Clearly  $E_0^{p,q} \simeq \Lambda^q(\mathfrak{G}^*) \otimes Z^p$ . Further  $E_1^{p,q} \simeq H^q(\mathfrak{G}, Z^p) \simeq H^q(\mathfrak{G}, (A^\mathfrak{G})^p)$ . We are using the fact that the Lie-algebra cohomology of a reductive Lie-algebra  $\mathfrak{G}$ , with coefficients in a nontrivial finite dimensional irreducible  $\mathfrak{G}$ -module  $V_p$ , vanishes i.e.  $H(\mathfrak{G}, V_p) = 0$ . See [5; Section 5-theorem 10]. Lastly  $E_2^{p,q} \simeq H^q(\mathfrak{G}) \otimes H^p(A^\mathfrak{G})$ .

*Note.* — The above given filtration does not depend upon the choice of the connection in  $A$ .

Now the proofs of the theorem (2.3) and its corollary are immediate.

(3.4) *Proof* (of theorem (2.3)). — The existence of a « special » connection is necessary, for take any connection  $\Phi'$  in  $A$  (which exists by the Lemma 3.1) and compose this with the  $\mathfrak{G}$ -morphism  $\varphi : A \rightarrow \Omega(E)$  to get a connection  $\Phi = \varphi \circ \Phi'$  in the bundle  $E$ . It is easy to see that  $\Phi$  is a « special » connection.

Conversely, we fix a « special » connection  $\Phi$  in  $E$  and a commutative diagram (D') as stated in the theorem. We have a  $\mathfrak{G}$ -morphism  $\varphi_{\Phi, D'} : A_\theta \rightarrow \Omega(E)$  as defined in Note (2) of the theorem. Since the map  $\varphi_{\Phi, D'} : A_\theta \rightarrow \Omega(E)$  preserves the filtrations (given in the proof of lemma 3.3) of  $A_\theta$  and  $\Omega(E)$ , it induces maps

$$\varphi_{\Phi, D'}^* : E_r^{p,q}(A_\theta) \rightarrow E_r^{p,q}(\Omega(E)).$$

Moreover  $\varphi_{\Phi, D'}^* : E_2^{p,q}(A_\theta) \rightarrow E_2^{p,q}(\Omega(E))$  is an isomorphism for all  $p$  and  $q$  (lemma 3.3) and hence  $\varphi_{\Phi, D'}$  induces isomorphism in cohomology. This proves the theorem.

(3.5) *Proof of the corollary (2.4).* — Let us fix a connection  $\Phi'$  in  $A$  (exists by lemma 3.1). Then  $\Phi = \varphi \circ \Phi'$  is a special connection in the bundle  $E$ . Consider the commutative diagram

$$\begin{array}{ccc}
 & I & \\
 \Phi'_{res.} = \theta \swarrow & & \searrow \Phi_{res.} \\
 \mu = A^{\mathfrak{G}} & \xrightarrow{\varphi_{res.} = \rho} & \Omega(B)
 \end{array}$$

It is easily seen that the map  $\alpha : A_{\theta} \rightarrow A$ , defined by  $\alpha|_{W(\mathfrak{G})} = \Phi'$  and  $\alpha|_{\mu}$  is the inclusion, is a  $\mathfrak{G}$ -morphism satisfying  $\varphi \circ \alpha = \varphi_{\Phi, D'}$ .  $\square$

Let  $\mathcal{A}(E)$  denote the set of  $\mathfrak{G}$ -isomorphism classes of all the irreducible  $\mathfrak{G}$ -algebras  $A$  with a  $\mathfrak{G}$ -morphism  $A \rightarrow \Omega(E)$  satisfying (1), (2) and (3) of theorem (2.3). The following remark describes  $\mathcal{A}(E)$ , in fact it gives slightly sharper result.

(3.6) *Remark.* — Let  $J$  and  $J'$  be graded ideals in  $A_{\theta}$  and  $A_{\theta'}$  respectively which are closed under  $d, i$  and  $L$ , so that  $A_{\theta}/J$  (respectively  $A_{\theta'}/J'$ ) itself is a  $\mathfrak{G}$ -algebra. Assume further that  $J \cap A_{\theta}^{\mathfrak{G}} = 0 = J' \cap A_{\theta'}^{\mathfrak{G}}$  (and hence  $(A_{\theta}/J)^{\mathfrak{G}} \cong A_{\theta}^{\mathfrak{G}}$ ). If there exists a  $\mathfrak{G}$ -morphism  $f : A_{\theta}/J \rightarrow A_{\theta'}/J'$  inducing isomorphism in cohomology, then there exists a DGA isomorphism  $\tilde{f} : \mu \rightarrow \mu$  making the following diagram commutative.

$$\begin{array}{ccc}
 & I_S(\mathfrak{G}) & \\
 \theta \swarrow & & \searrow \theta' \\
 \mu & \xrightarrow{\tilde{f}} & \mu
 \end{array}$$

and hence  $A_{\theta}$  is  $\mathfrak{G}$ -isomorphic with  $A_{\theta'}$ . To prove this, observe the following

(1)  $A_{\theta}$  admits a unique connection.

(2) Let  $A, A'$  be two  $\mathfrak{G}$ -algebras with connection which are finite dimensional in each degree and  $f$  a  $\mathfrak{G}$ -morphism from  $A$  to  $A'$  which induces isomorphism in cohomology, then the map  $f_{res.} : A^{\mathfrak{G}} \rightarrow A'^{\mathfrak{G}}$  also induces isomorphism in cohomology. This follows from the spectral sequence given in the appendix.

(3) A morphism of minimal differential algebras inducing an isomorphism in cohomology is itself an isomorphism, see [4; lecture 12].

(3.7) *Examples.* — We give below some examples of G-bundles which admit special connections.

(1) If G is abelian (i.e. G is a torus) then any G-bundle admits a special connection.

Since  $\mathfrak{G}$  acts trivially on  $S(\mathfrak{G}^*)$ , the characteristic ring is the total algebra  $S(\mathfrak{G}^*)$ . Choose a basis  $C = \{C_1, \dots, C_n\}$  of  $\mathfrak{G}^*$ . Let  $\Phi_0$  be a connection in E and let  $\{\beta_1, \dots, \beta_n\}$  be the corresponding characteristic forms with respect to the basis C (i.e.  $\beta_i = \Phi_0(C_i)$ ). Let  $\{\alpha_1, \dots, \alpha_n\}$  be arbitrary elements in  $\Omega^1(B)$ . It can be easily seen that there exists a connection  $\Phi$  in the bundle E such that the characteristic forms, with respect to the connection  $\Phi$ , are  $\{\beta_i + d\alpha_i\}_{1 \leq i \leq n}$ . This ensures that E admits special connections. Moreover, it can be seen that the  $\mathfrak{G}$ -algebra  $A_\theta$  does not depend (upto  $\mathfrak{G}$ -isomorphism) on  $\theta$ .

(2) Let  $E(G) \xrightarrow{p} B(G)$  be a universal G-bundle. Let  $\Phi$  be a connection in  $E(G)$ . As is well known, the homomorphism  $\Phi_{\text{res.}} : I_S(\mathfrak{G}) \rightarrow \Omega(B(G))$  induces isomorphism in cohomology (this follows easily from the spectral sequence given in the appendix) and  $I_S(\mathfrak{G})$  is a polynomial algebra. Hence  $\Phi_{\text{res.}}$  is a minimal model for the base space  $B(G)$ . This implies that the bundle  $E(G)$  admits special connections. Moreover, it can be easily seen that any  $A_\theta$  is  $\mathfrak{G}$ -isomorphic with  $W(\mathfrak{G})$ .

*Note.* — This bundle is not in the finite dimensional smooth category, but the underlying difficulty is not serious and we omit the precise formulation.

(3) Let  $E \xrightarrow{p} B$  be a G-bundle which admits a special connection and let  $f : B' \rightarrow B$  be a map inducing isomorphism at de-Rham cohomology level, then  $f^*(E)$  (the pull-back bundle) also admits a special connection.

(4) Let  $E_i \xrightarrow{p_i} B_i$  be  $G_i$  bundles which admit special connections for  $i = 1, 2$ . Then the  $G_1 \times G_2$  bundle  $E_1 \times E_2 \xrightarrow{p_1 \times p_2} B_1 \times B_2$  also admits a special connection.

(5) Let  $E \xrightarrow{p} B$  be a G-bundle admitting a special connection and let  $\rho : G \rightarrow H$  be a Lie-group homomorphism. Let  $E_\rho$  denote the associated principal H-bundle, then  $E_\rho$  also admits a special connection. In particular

a  $G$ -bundle, which admits a reduction of its structural group to a maximal torus of  $G$ , has a special connection.

(6) Let  $E \xrightarrow{p} B$  be a  $G$ -bundle. Suppose that a compact connected Lie-group  $H$  operates on  $E$  by bundle morphisms and hence  $H$  acts on the base  $B$ . Let  $I_H(\Omega(B))$  denote the set of  $H$ -invariant forms on  $B$ . Then, of course,  $I_H(\Omega(B)) \hookrightarrow \Omega(B)$  induces isomorphism in cohomology. If we can choose a minimal model  $\rho : \mu_B \rightarrow I_H(\Omega(B))$  for the algebra  $I_H(\Omega(B))$  so that  $\rho$  is surjective (e.g. if  $B$  is a symmetric space under the action of  $H$ ) then  $E$  admits a special connection, because an  $H$  invariant connection in  $E$  can be checked to be « special ».

### Appendix.

**THEOREM.** — *Let  $A$  be a  $\mathfrak{G}$ -algebra, which is finite dimensional in each degree and which admits a connection. Then, there is a « natural » spectral sequence with  $E_1^{p,q} \simeq H^{q-p}(A) \otimes I_p^q(\mathfrak{G})$  and converging to the cohomology of  $A^{\mathfrak{G}}$ .*

$I_p^q(\mathfrak{G})$  denotes the set of all the invariant homogeneous polynomials on  $\mathfrak{G}$  of degree  $p$  (and hence grade degree  $2p$ ).

*Proof.* — We sketch the derivation of this spectral sequence. Consider the tensor product of two  $\mathfrak{G}$ -algebras  $A \otimes W(\mathfrak{G})$ . There is a canonical inclusion  $A \rightarrow A \otimes W(\mathfrak{G})$ . Restriction of this map from  $A^{\mathfrak{G}} \rightarrow [A \otimes W(\mathfrak{G})]^{\mathfrak{G}}$  induces isomorphism in cohomology, see [1(b); Theorem 3]. The projection

$$A \otimes W(\mathfrak{G}) = A \otimes \Lambda(\mathfrak{G}^*) \otimes S(\mathfrak{G}^*) \rightarrow A \otimes S(\mathfrak{G}^*)$$

induces bijection of  $[A \otimes W(\mathfrak{G})]^{\mathfrak{G}}$  onto  $I(A \otimes S(\mathfrak{G}^*))$  (i.e. the set of invariants). So, by transporting, we get a differential  $D$  in the algebra  $I(A \otimes S(\mathfrak{G}^*))$  to make it a DGA. Explicitly, this differential  $D$  is given by

$$D(a \otimes b) = (da) \otimes b - \sum_{j=1}^n i(X_j)a \otimes X_j^*b$$

for  $a \in A$  and  $b \in S(\mathfrak{G}^*)$ , where  $\{X_j\}_{1 \leq j \leq n}$  is a basis of  $\mathfrak{G}$  and  $\{X_j^*\}$  is the dual basis. (Although  $D$  is defined

on  $A \otimes S(\mathfrak{G}^*)$ ,  $D^2$  may not be 0 on the whole of  $A \otimes S(\mathfrak{G}^*)$ . Consider the filtration  $F_0 \supset F_1 \supset \cdots \supset F_p \supset \cdots$ .

$$F_p = \sum_{l \geq p} I(A \otimes S^l(\mathfrak{G}^*)).$$

Now it is not difficult to see that

$$E_1^{p,q} \simeq H^{q-p}(A) \otimes I_p^q(\mathfrak{G}).$$

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